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**Information technology — Data  
interchange on 120 mm and 80 mm  
optical disk using +RW DL format —  
Capacity: 8,55 Gbytes and 2,66 Gbytes  
per side (recording speed 2,4X)**

*Technologies de l'information — Échange de données sur disques  
optiques de 120 mm et 80 mm utilisant le format +RW DL — Capacité:  
8,55 Go et 2,66 Go par face (vitesse d'enregistrement 2,4X)*

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## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 29642 was prepared by Ecma International (as ECMA-374) and was adopted, under a special “fast-track procedure”, by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, in parallel with its approval by national bodies of ISO and IEC.

This second edition cancels and replaces the first edition (ISO/IEC 29642:2007), which has been technically revised.



## Introduction

Ecma Technical Committee TC31 was established in 1984 for the standardization of Optical Disks and Optical Disk Cartridges (ODC). Since its establishment, the Committee has made major contributions to ISO/IEC toward the development of International Standards for 80 mm, 90 mm, 120 mm, 300 mm, and 356 mm media. Numerous standards have been developed by TC31 and published by Ecma, almost all of which have also been adopted by ISO/IEC under the fast-track procedure as International Standards.

In June 2006, a group of companies proposed that TC31 develop a standard for 120 mm dual layer rewritable optical disks using phase change recording technology and based on ISO/IEC 16448, ISO/IEC 17341 and ISO/IEC 26925. TC31 adopted this project and started the work that has resulted in this International Standard.

This International Standard specifies two Types of rewritable optical disks: one (Type S9) making use of recording on only a single side of the disk and yielding a nominal capacity of 8,55 Gbytes or 2,66 Gbytes per disk and the other (Type D18) making use of recording on both sides of the disk and yielding a nominal capacity of 17,1 Gbytes or 5,32 Gbytes per disk.

This International Standard, taken together with a standard for volume and file structure, such as for instance developed in Ecma Technical Committee TC15, provides the requirements for information interchange between systems.



# Information technology — Data interchange on 120 mm and 80 mm optical disk using +RW DL format — Capacity: 8,55 Gbytes and 2,66 Gbytes per side (recording speed 2,4X)

## 1 Scope

This International Standard specifies the mechanical, physical and optical characteristics of 120 mm rewritable optical disks with capacities of 8,55 Gbytes and 17,1 Gbytes. It specifies the quality of the recorded and unrecorded signals, the format of the data and the recording method, thereby allowing for information interchange by means of such disks. The data can be written, read and overwritten many times using the phase change method. These disks are identified as +RW DL.

This International Standard also specifies 80 mm disks with capacities of 2,66 Gbytes and 5,32 Gbytes. These disks have the same characteristics as the 120 mm disks, except for some parameters related to the smaller dimensions. All parameters unique for the 80 mm disks are specified in Annex A.

This International Standard specifies the following:

- two related but different Types of this disk (see Clause 7);
- the conditions for conformance;
- the environments in which the disk is to be tested, operated and stored;
- the mechanical, physical and dimensional characteristics of the disk, so as to provide mechanical interchange between data processing systems;
- the format of the information on the disk, including the physical disposition of the tracks and sectors, the error correcting codes and the coding method;
- the characteristics of the signals recorded on the disk, thus enabling data processing systems to read the data from the disk.

This International Standard provides for the interchange of disks between optical disk drives. Together with a standard for volume and file structure, it provides for full data interchange between data processing systems.

## 2 Conformance

### 2.1 Optical disk

A claim of conformance with this International Standard shall specify the Type implemented. An optical disk is in conformance with this International Standard if it meets all mandatory requirements specified for its Type.

### 2.2 Generating system

A generating system is in conformance with this International Standard if the optical disk it generates is in accordance with 2.1.

## 2.3 Receiving system

A receiving system is in conformance with this International Standard if it is able to handle both Types of optical disk according to 2.1.

## 2.4 Compatibility statement

A claim of conformance by a generating or receiving system with this International Standard shall include a statement listing any other standards supported. This statement shall specify the numbers of the standards, the optical disk types supported (where appropriate) and whether support includes reading only or both reading and writing.

## 3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 4873:1991, *Information technology — ISO 8-bit code for information interchange — Structure and rules for implementation*

ISO/IEC 16448:2002, *Information technology — 120 mm DVD — Read-only disk*

ECMA-287, *Safety of electronic equipment* (2002)

## 4 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

### 4.1

#### **channel bit**

elements by which the binary values ZERO and ONE are represented by marks and spaces on the disk

### 4.2

#### **clamping zone**

annular part of the disk within which the clamping force is applied by the clamping device

### 4.3

#### **Digital Sum Value**

##### **DSV**

arithmetic sum obtained from a bit stream by allocating the decimal value +1 to bits set to ONE and the decimal value –1 to bits set to ZERO

### 4.4

#### **disk reference plane**

plane defined by the perfectly flat annular surface of an ideal spindle onto which the clamping zone of the disk is clamped, and which is normal to the axis of rotation

### 4.5

#### **dummy substrate**

layer, which can be transparent or not, provided for the mechanical support of the disk and, in some cases, of the recording layer as well

### 4.6

#### **entrance surface**

surface of the disk onto which the optical beam first impinges

**4.7****field**

subdivision of a sector

**4.8****groove**

trench-like feature of the disk, applied before the recording of any information and used to define the track location

NOTE The groove is located nearer to the entrance surface than the “land” in between the grooves. The recording is made on the groove.

**4.9****interleaving**

process of reallocating the physical sequence of units of data so as to render the data more immune to burst errors

**4.10****mark**

feature of the recording layer that can take the form of an amorphous domain, a pit or any other type or form that can be sensed by the optical system

NOTE The pattern of marks and spaces represents the data on the disk.

**4.11****phase change**

physical effect by which the area of a recording layer irradiated by a laser beam is heated so as to change from an amorphous state to a crystalline state and vice versa

**4.12****physical sector**

smallest addressable part of a track in the Information Zone of a disk that can be accessed independently of other addressable parts of the zone

**4.13****recording layer**

layer of the disk on which data is written during manufacture and/or use

**4.14****Reed–Solomon code****RS**

error detection and/or correction code

**4.15****reference velocity**

linear velocity that results in the nominal channel bit rate of 26,156 25 Mbit/s

**4.16****space**

feature of the recording layer that can take the form of a crystal, a non-pit or any other type or form that can be sensed by the optical system

NOTE The pattern of marks and spaces represents the data on the disk.

**4.17****spacer**

transparent layer of the disk, provided for achieving an accurate separation of the pair of recording layers which are accessed by the optical beam through the same entrance surface

**4.18**

**substrate**

transparent layer of the disk, provided for mechanical support of the recording layer, through which the optical beam accesses the recording layer

**4.19**

**track**

360° turn of a continuous spiral

**4.20**

**track pitch**

distance between adjacent track centrelines, measured in a radial direction

**4.21**

**Video Content Protection System**

**VCPS**

method to prevent unauthorized copying and/or redistribution of video data that is recorded in the DVD+R/+RW video format

**4.22**

**wobble**

continuous sinusoidal deviation of the track from the average centreline

NOTE The location information is included as phase modulated data in the wobble.

**4.23**

**zone**

annular area of the disk

## **5 Conventions and notations**

### **5.1 Representation of numbers**

A measured value may be rounded off to the least significant digit of the corresponding specified value. For instance, it implies that a specified value of 1,26 with a positive tolerance of + 0,01 and a negative tolerance of - 0,02 allows a range of measured values from 1,235 to 1,274.

Numbers in decimal notations are represented by the digits 0 to 9.

Numbers in hexadecimal notation are represented by the hexadecimal digits 0 to 9 and A to F in parentheses.

The setting of bits is denoted by ZERO and ONE.

Numbers in binary notations and bit patterns are represented by strings of digits 0 and 1, with the most significant bit shown to the left. In a pattern of  $n$  bits, bit  $b_{n-1}$  shall be the most significant bit (msb) and bit  $b_0$  shall be the least significant bit (lsb). Bit  $b_{n-1}$  shall be recorded first.

Negative values of numbers in binary notation are given as Two's complement.

In each data field, the data is recorded so that the most significant byte (MSB), identified as Byte 0, shall be recorded first and the least significant byte (LSB) last.

In a field of  $8n$  bits, bit  $b_{(8n-1)}$  shall be the most significant bit (msb) and bit  $b_0$  the least significant bit (lsb).

Bit  $b_{(8n-1)}$  shall be recorded first.

## 5.2 Names

The names of entities, e.g. specific tracks, fields, etc., are given with an initial capital.

## 6 Abbreviated terms

a.c.	alternating current	NA	Numerical Aperture
ADIP	address in pre-groove	NRZ	Non Return to Zero
ASM	asymmetry	NRZI	Non Return to Zero Inverted
BP	Byte Position	NSL	Normalized Slicing Level
BPF	Band Pass Filter	OPC	Optimum Power Control
CAV	Constant Angular Velocity	OTP	Opposite Track Path
CLD	Constant Linear Density	PAA	Physical Address in ADIP
CLV	Constant Linear Velocity	PBS	Polarizing Beam Splitter
d.c.	direct current	PI	Parity of Inner-code
DCB	Disk Control Block	PLL	Phase Locked Loop
DCC	d.c. Component suppression Control	PO	Parity of Outer-code
DOW	Direct OverWrite	PP	Push-Pull
DSV	Digital Sum Value	pp	peak-to-peak
ECC	Error Correction Code	PSN	Physical Sector Number
EDC	Error Detection Code	PTP	Parallel Track Path
EI	Extended Information	RIN	Relative Intensity Noise
FBA	First Backwards-formatted Address	RPM	Revolutions Per Minute
FDCB	Formatting DCB	RS	Reed–Solomon code
HF	High Frequency	RSV	reserved
ID	Identification Data		(in use by specific applications)
IED	ID Error Detection code	RUN	Recording UNit
LPF	Low Pass Filter	SNR	Signal to Noise Ratio
LSB	Least Significant Byte	SPS	Start Position Shift
lsb	least significant bit	SYNC	synchronization code
LSN	Logical Sector Number		
LWA	Last Written/forwards-formatted Address		
MSB	Most Significant Byte		
msb	most significant bit		

## 7 General description of the optical disk

The optical disk that is the subject of this International Standard consists of two substrates bonded together by an adhesive layer, so that the recording layer(s) is (are) on the inside. The centering of the disk is performed on the edge of the centre hole of the assembled disk on the side currently accessed. Clamping is performed in the Clamping Zone. This International Standard provides for two Types of such disks.

**Type S9** consists of a substrate, a dummy substrate and two recording layers with a spacer between them. Both recording layers can be accessed from one side only. The capacity is 8,55 Gbytes for the 120 mm sized disk and 2,66 Gbytes for the 80 mm sized disk.

**Type D18** consists of two substrates, each having two recording layers with a spacer between those two recording layers. From each side of the disk only one pair of recording layers can be accessed. The capacity is 17,1 Gbytes for the 120 mm sized disk and 5,32 Gbytes for the 80 mm sized disk.

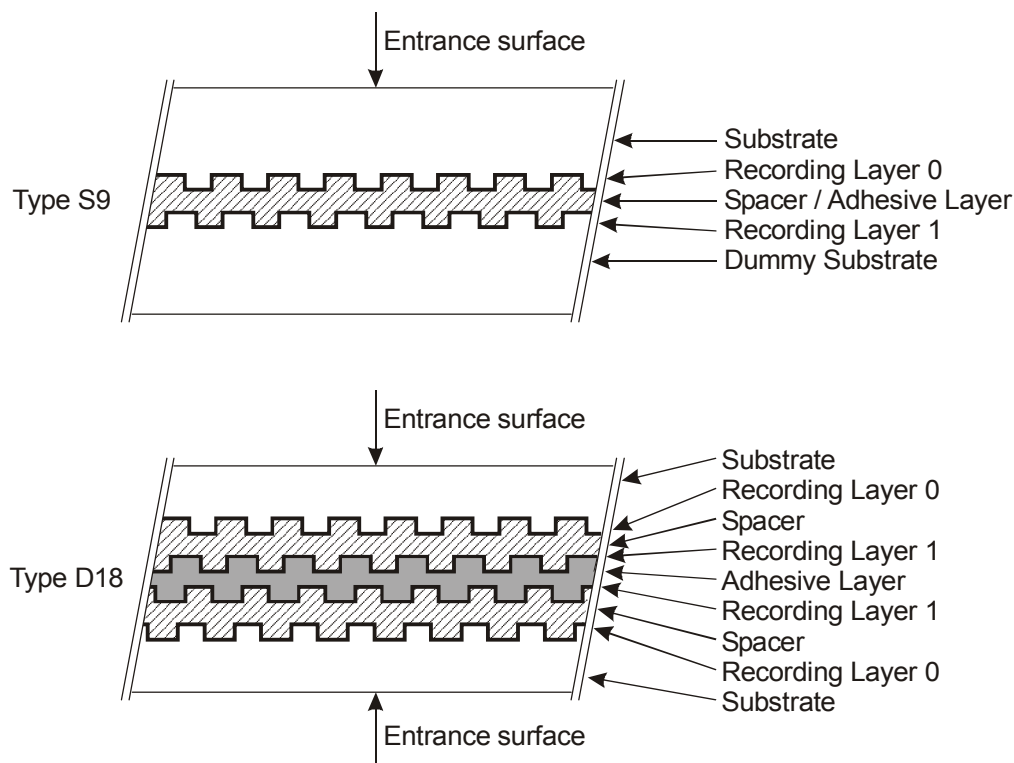
Data can be written onto the disk as marks in the form of amorphous spots in each of the crystalline recording layers and can be overwritten with a focused optical beam, using the phase change effect between amorphous and crystalline states. The data can be read with a focused optical beam, using the phase change effect as the difference in the reflectivity between amorphous and crystalline states.

Figure 1 shows schematically the two Types. The two layers forming a pair of recording layers that can be accessed from one side of the disk, are identified as Layer 0 (L0) and Layer 1 (L1). Layer 0 is the layer nearer to the entrance surface.

The beam accesses Layer 0 through a transparent substrate of the disk.

Layer 1 is accessed through the same transparent substrate, through Layer 0, which for this purpose has to be semi-transparent, and through a transparent spacer.

In the Type S9 disk, generally Layer L1 is placed on the Dummy Substrate and the functions of Adhesive Layer and Spacer Layer are combined.



**Figure 1 — Types of +RW DL disk**

The efficiency and data reliability of +RW DL disks can be improved by the use of Background Formatting and Defect Management. An example of such a system is referred to in Annex N.

Unauthorized copying and/or redistribution of video data that is recorded in the DVD+R/+RW Video Format can be prevented by applying the Video Content Protection System as referred to in Annex O.

## 8 General Requirements

### 8.1 Environments

#### 8.1.1 Test environment

In the test environment, the air immediately surrounding the disk shall have the following properties:

temperature	: 23 °C ± 2 °C
relative humidity	: 45 % to 55 %
atmospheric pressure	: 60 kPa to 106 kPa



No condensation on the disk shall occur. Before testing, the disk shall be conditioned in this environment for 48 h minimum. It is recommended that, before testing, the entrance surface of the disk shall be cleaned according to the instructions of the manufacturer of the disk.

Unless otherwise stated, all tests and measurements shall be made in this test environment.

### 8.1.2 Operating environment

This International Standard requires that a disk which meets all requirements of this International Standard in the specified test environment shall provide data interchange over the specified ranges of environmental parameters in the operating environment.

The operating environment is the environment where the air immediately surrounding the disk shall have the following properties:

temperature	: 5 °C to 55 °C
relative humidity	: 3 % to 85 %
absolute humidity	: 1 g/m <sup>3</sup> to 30 g/m <sup>3</sup>
atmospheric pressure	: 60 kPa to 106 kPa
temperature gradient	: 10 °C/h max.
relative humidity gradient	: 10 %/h max.

No condensation on the disk shall occur. If the disk has been exposed to conditions outside those specified in this clause, it shall be acclimatized in an allowed operating environment for at least 2 h before use.

### 8.1.3 Storage environment

The storage environment is defined as the environment where the air immediately surrounding the disk shall have the following properties:

temperature	: -10 °C to 55 °C
relative humidity	: 3 % to 90 %
absolute humidity	: 1 g/m <sup>3</sup> to 30 g/m <sup>3</sup>
atmospheric pressure	: 60 kPa to 106 kPa
temperature gradient	: 15 °C/h max.
relative humidity gradient	: 10 %/h max.

No condensation on the disk shall occur.

### 8.1.4 Transportation

This International Standard does not specify requirements for transportation; guidance is given in Annex M.

## 8.2 Safety requirements

The disk shall satisfy the safety requirements of Standard ECMA-287, when used in the intended manner or in any foreseeable use in an information processing system.

## 8.3 Flammability

The disk and its components shall be made from materials that comply with the flammability class for HB materials, or better, as specified in Standard ECMA-287.

## 9 Reference Drive

The Reference Drive shall be used for the measurement of optical parameters for conformance with the requirements of this International Standard. The critical components of this device have the characteristics specified in this clause.

## 9.1 Optical system

The basic set-up of the optical system of the Reference Drive used for measuring the (over)write and read parameters is shown in Figure 2. Different components and locations of components are permitted, provided that the performance remains the same as that of the set-up in Figure 2.

The optical system shall be such that the detected light reflected from the entrance surface of the disk is minimized so as not to influence the accuracy of the measurements.

The combination of the polarizing beam splitter C and the quarter-wave plate D shall separate the entrance optical beam from the laser diode A and the reflected optical beam from the optical disk F. The beam splitter C shall have a p-s intensity reflectance ratio of at least 100.

During measurements on one layer of a dual-layer disk, light reflected from the other layer can influence the measurements on the layer under investigation. To cope with these effects, the photo detector shall have limited dimensions. Its length and width shall be between  $M \times 9 \mu\text{m}$  and  $M \times 12 \mu\text{m}$ , where M is the transversal optical magnification from the disk to its conjugate plane near the quadrant photo detector G.

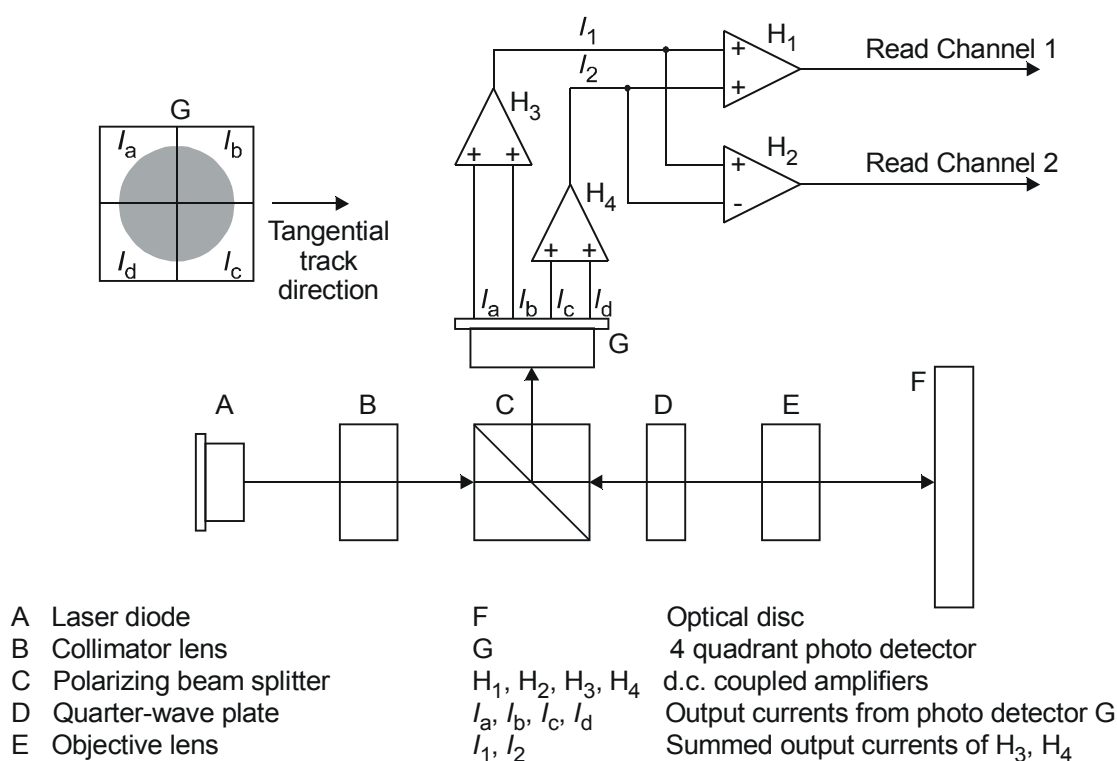


Figure 2 — Optical system of the Reference Drive

## 9.2 Optical beam

The focused optical beam used for writing and reading data shall have the following properties:

- Wavelength ( $\lambda$ )  $655 \text{ nm}^{+10 \text{ nm}}_{-5 \text{ nm}}$
- Numerical aperture of the objective lens (NA)  $0,65 \pm 0,01$
- The objective lens shall be compensated for spherical aberrations caused by a parallel substrate with nominal thickness (0,6 mm) and nominal refractive index (1,55).

- |  |   |
|--|---|
| d) Wave front aberration   | $0,033 \times \lambda$ rms max.   |
| e) Light intensity at the rim of the pupil of the objective lens                                     | 35 % to 50 % of the maximum intensity in the radial direction and 45 % to 60 % in the tangential direction.           |
| f) Polarization of the light   | Circular  |
| g) Normalized detector size  | $80 \mu\text{m}^2 \leq S / M^2 \leq 144 \mu\text{m}^2$<br>where S is the total surface of the quadrant photo detector |
| h) Read power (average)  | $1,2 \text{ mW} \pm 0,1 \text{ mW}$<br>(d.c. or HF modulated with a frequency >400 MHz)                               |
| i) Write power and pulse width   | see Annex G   |
| j) Relative Intensity Noise (RIN)* of the laser diode  | -134 dB/Hz max.   |
| *RIN (dB/Hz) = $10 \log [(a.c. \text{ light power density} / \text{Hz}) / d.c. \text{ light power}]$ |   |

### 9.3 Read channel 1

Read channel 1 shall be provided to generate signals from the marks and spaces in the recording layer. This Read channel shall be used for reading the user-written information, using the change in reflectivity of the marks and spaces due to the phase change effect. The read amplifiers after the photo detectors in the Read channel shall have a flat response within 1 dB from d.c. to 20 MHz.

For measurement of jitter, the characteristics of the PLL and the slicer, etc. are specified in Annex E.

### 9.4 Disk clamping

For measuring, the disk shall be clamped between two concentric rings covering most of the Clamping Zone (see 10.5). The top clamping area shall have the same diameters as the bottom clamping area (Figure 3).

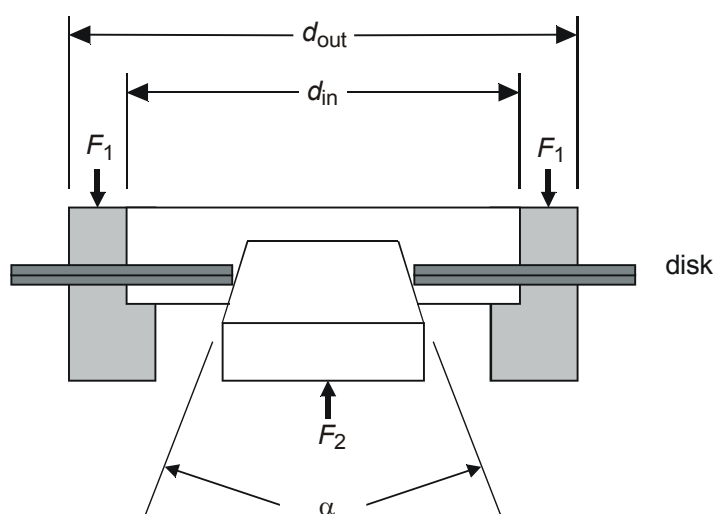


Figure 3 — Clamping and chucking conditions

Clamping shall occur between

$$d_{in} = 22,3 \text{ mm} \begin{matrix} +0,5 \text{ mm} \\ -0,0 \text{ mm} \end{matrix} \quad \text{and} \quad d_{out} = 32,7 \text{ mm} \begin{matrix} +0,0 \text{ mm} \\ -0,5 \text{ mm} \end{matrix}$$

The total clamping force shall be  $F_1 = 2,0 \text{ N} \pm 0,5 \text{ N}$ . In order to prevent warping of the disk under the moment of force generated by the clamping force and the chucking force  $F_2$  exerted on the rim of the centre hole of the disk,  $F_2$  shall not exceed 0,5 N (see Figure 3).

The tapered cone angle,  $\alpha$ , shall be  $40,0^\circ \pm 0,5^\circ$ .

## 9.5 Rotation of the disk

The actual rotation speed for reading the disk shall be such that it results in the Reference velocity of  $3,83 \text{ m/s} \pm 0,03 \text{ m/s}$  at the nominal Channel bit rate of 26,156 25 Mbit/s. The direction of rotation shall be counter-clockwise when viewed from the objective lens.

The actual rotation speed ( $v_{actual}$ ) for writing the disk shall be such that it includes all velocities for which parameters are specified in the Physical format information in the ADIP Aux Frames in the Lead-in/Lead-out Zone of the disk (see 14.4.1.1 and 14.4.2).

## 9.6 Wobble channel (Read channel 2)

Read channel 2 of the drive provides the wobble signals to control the access to addressed locations on the disk during writing. The wobble signal is generated in Read Channel 2 as a signal ( $I_1 - I_2$ ) related to the difference in the amount of light in the two halves of the exit pupil of the objective lens. The read amplifiers after the photo detectors in the Read channel shall have a flat response within 1 dB from d.c. to 20 MHz.

## 9.7 Tracking channel (Read channel 2)

Read channel 2 of the drive provides the tracking error signals to control the servos for radial tracking of the optical beam. The radial tracking error is generated in Read Channel 2 as a signal ( $I_1 - I_2$ ) related to the difference in the amount of light in the two halves of the exit pupil of the objective lens.

The method of generating the axial tracking error is not specified for the Reference Drive.

For measuring the disk characteristics, the focusing of the optical beam on the recording layer under test shall be optimized for each recording layer independently. The criterion for the best focus shall be maximum modulated signals (see 29.2.2).

## 9.8 Reference servo systems

### 9.8.1 Normalized servo transfer function

The open-loop transfer function,  $H_s(i\omega)$  for the axial and radial tracking servos is given by equation (1),

$$H_s(i\omega) = \frac{1}{3} \times \left( \frac{\omega_0}{i\omega} \right)^2 \times \frac{1 + \frac{3i\omega}{\omega_0}}{1 + \frac{i\omega}{3\omega_0}} \quad (1)$$

where:  $i = \sqrt{-1}$ ,  $\omega = 2\pi f$  and  $\omega_0 = 2\pi f_0$   
and  $f_0$  is the 0 dB crossover frequency of the open-loop transfer function.

The crossover frequencies of the lead-lag network of the servo are

$$\text{lead break frequency: } f_1 = f_0 / 3$$

$$\text{lag break frequency: } f_2 = f_0 \times 3$$

Another frequency of importance is the frequency  $f_X$  at which a sinusoidal displacement with an amplitude equal to the maximum allowed residual tracking error  $e_{\max}$ , corresponds to the maximum expected acceleration  $\alpha_{\max}$ . This frequency can be calculated as follows:

$$f_X = \frac{1}{2\pi} \sqrt{\frac{\alpha_{\max}}{e_{\max}}}$$

Because the tracking error signals from the disk can have rather large variations, the tracking error signal fed into each reference servo loop shall be adjusted to a fixed level (effectively calibrating the total loop gain), such to guarantee the specified bandwidth.

### 9.8.2 Reference Servo for Axial Tracking

The crossover frequency of the normalized servo transfer function ( $H_s$ ) for axial tracking,  $f_0 = \omega_0 / (2\pi)$  shall be given by equation (2), where  $\alpha_{\max}$  is the maximum expected axial acceleration of  $8,0 \text{ m/s}^2$ , which is multiplied by a factor  $m = 1,5$  for servo margin. The tracking error  $e_{\max}$ , caused by this  $m \times \alpha_{\max}$ , shall be  $0,20 \text{ }\mu\text{m}$ .

Thus the crossover frequency  $f_0$  shall be given by

$$f_0 = \frac{1}{2\pi} \sqrt{\frac{3 \times m \times \alpha_{\max}}{e_{\max}}} = \frac{1}{2\pi} \sqrt{\frac{3 \times 1,5 \times 8}{0,20 \times 10^{-6}}} = 2,1 \text{ kHz} \quad (2)$$

For an open loop transfer function  $H$  of the Reference Servo for axial tracking,  $|1+H|$  is limited as schematically shown by the shaded region of Figure 4.

#### Bandwidth from 100 Hz to 10 kHz

$|1+H|$  shall be within 20% of  $|1+H_s|$ .

#### Bandwidth from 26 Hz to 100 Hz

$|1+H|$  shall be within the limits enclosed by the following four points.

- 1) 41,7 dB at 100 Hz (  $|1+H_s|$  at 100 Hz - 20% )
- 2) 45,2 dB at 100 Hz (  $|1+H_s|$  at 100 Hz + 20% )
- 3) 65,1 dB at 26 Hz (  $|1+H_s|$  at 26 Hz - 20% )
- 4) 85,1 dB at 26 Hz (  $|1+H_s|$  at 26 Hz - 20% + 20 dB )

#### Bandwidth from 9,5 Hz to 26 Hz

$|1+H|$  shall be between 65,1 dB and 85,1 dB.

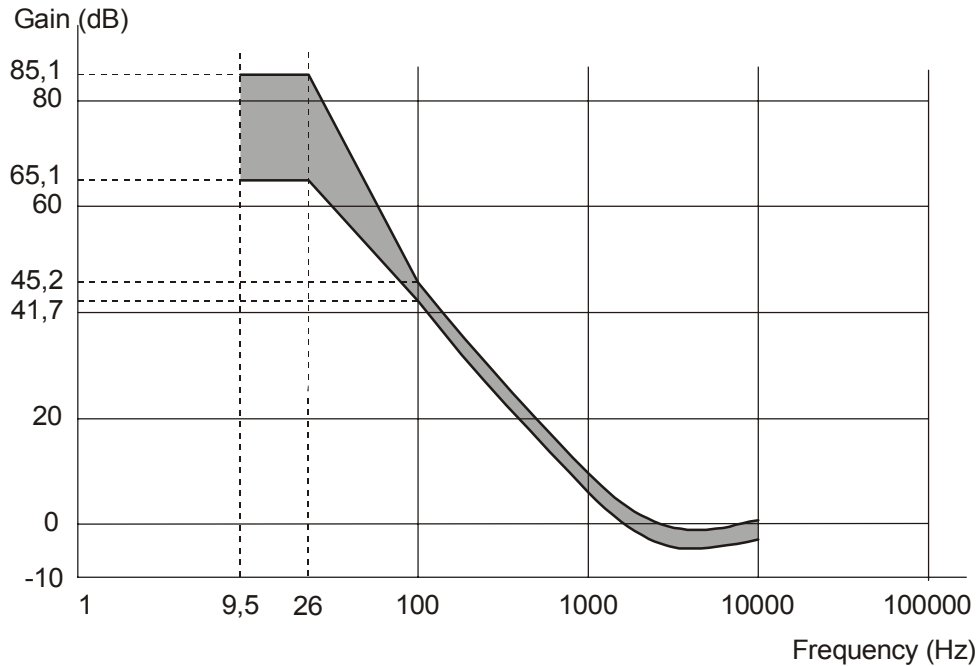


Figure 4 — Reference servo for axial tracking

### 9.8.3 Reference Servo for Radial Tracking

The crossover frequency of the normalized servo transfer function ( $H_s$ ) for radial tracking,  $f_0 = \omega_0 / (2\pi)$  shall be given by equation (3), where  $\alpha_{\max}$  is the maximum expected radial acceleration of  $1,1 \text{ m/s}^2$ , which is multiplied by a factor  $m = 1,5$  for servo margin. The tracking error  $e_{\max}$ , caused by this  $m \times \alpha_{\max}$ , shall be  $0,022 \text{ } \mu\text{m}$ .

Thus the crossover frequency  $f_0$  shall be given by

$$f_0 = \frac{1}{2\pi} \sqrt{\frac{3 \times m \times \alpha_{\max}}{e_{\max}}} = \frac{1}{2\pi} \sqrt{\frac{3 \times 1,5 \times 1,1}{0,022 \times 10^{-6}}} = 2,4 \text{ kHz} \quad (3)$$

For an open loop transfer function  $H$  of the Reference Servo for radial tracking,  $|1+H|$  is limited as schematically shown by the shaded region of Figure 5.

#### Bandwidth from 100 Hz to 10 kHz

$|1+H|$  shall be within 20% of  $|1+H_s|$ .

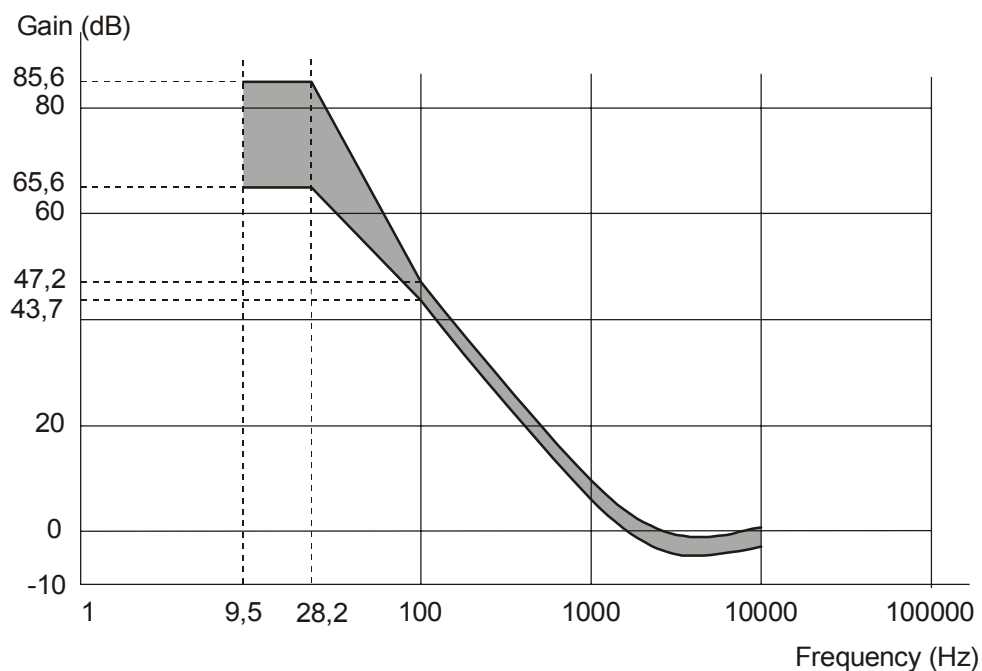
#### Bandwidth from 28,2 Hz to 100 Hz

$|1+H|$  shall be within the limits enclosed by the following four points.

- 1) 43,7 dB at 100 Hz ( $|1+H_s|$  at 100 Hz - 20% )
- 2) 47,2 dB at 100 Hz ( $|1+H_s|$  at 100 Hz + 20% )
- 3) 65,6 dB at 28,2 Hz ( $|1+H_s|$  at 28,2 Hz - 20% )
- 4) 85,6 dB at 28,2 Hz ( $|1+H_s|$  at 28,2 Hz - 20% + 20 dB )

**Bandwidth from 9,5 Hz to 28,2 Hz**

$|1+H|$  shall be between 65,6 dB and 85,6 dB.



**Figure 5 — Reference servo for radial tracking**

## 10 Dimensional characteristics

Dimensional characteristics are specified for those parameters deemed mandatory for interchange and compatible use of the disk. Where there is freedom of design, only the functional characteristics of the elements described are indicated. The enclosed drawing, Figure 6 shows the dimensional requirements in summarized form. The different parts of the disk are described from the centre hole to the outside rim.

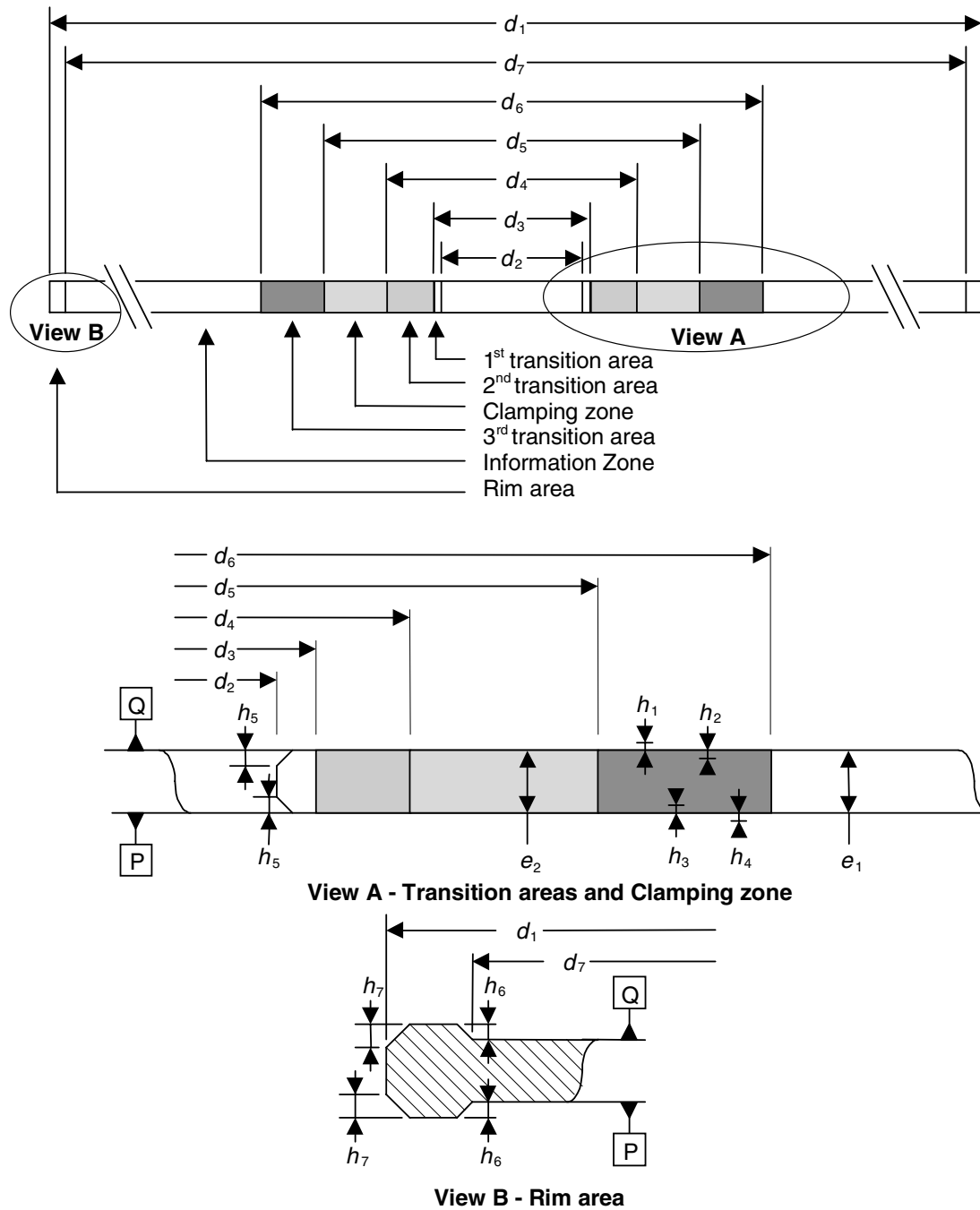


Figure 6 — Physical disk dimensions

## 10.1 Reference Planes

The dimensions are referred to two Reference Planes P and Q.

Reference Plane P is the primary Reference Plane. It is the plane on which the bottom surface of the Clamping Zone rests (see 10.5).

Reference Plane Q is the plane parallel to Reference Plane P at the height of the top surface of the Clamping Zone (see Figure 6).



## 10.2 Overall dimensions

The disk shall have an overall diameter (for 80 mm disk see Annex A)

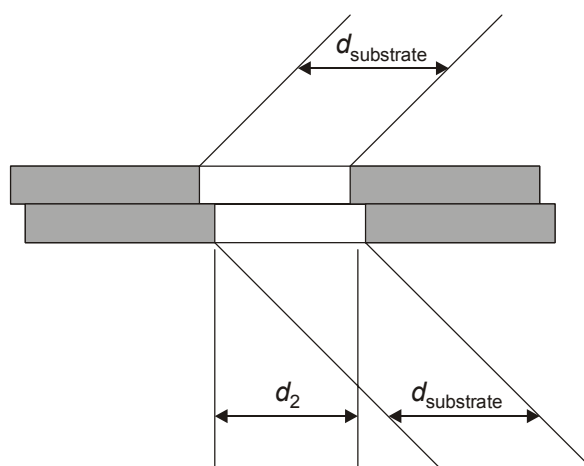
$$d_1 = 120,00 \text{ mm} \pm 0,30 \text{ mm}$$

The centre hole of a substrate or a dummy substrate shall have a diameter (see Figure 7).

$$d_{\text{substrate}} = 15,00 \text{ mm} \begin{matrix} +0,15 \text{ mm} \\ -0,00 \text{ mm} \end{matrix}$$

The hole of an assembled disk, i.e. with both parts bonded together, shall have a diameter

$$d_2 = 15,00 \text{ mm min.}$$



**Figure 7 — Hole diameters for an assembled disk**

The corners of the centre hole shall be free of any burrs or sharp features and shall be rounded off or chamfered by

$$h_5 = 0,1 \text{ mm max.}$$

The thickness of the disk shall be

$$e_1 = 1,20 \text{ mm} \begin{matrix} +0,30 \text{ mm} \\ -0,06 \text{ mm} \end{matrix}$$

## 10.3 First transition area

In the area defined by  $d_2$  and

$$d_3 = 16,0 \text{ mm min.}$$

the surface of the disk is permitted to be above Reference Plane P and/or below Reference Plane Q by 0,10 mm max.

#### 10.4 Second transition area

This area shall extend between diameter  $d_3$  and diameter

$$d_4 = 22,0 \text{ mm max.}$$

In this area the disk may have an uneven surface or burrs up to 0,05 mm max. beyond Reference Planes P and/or Q.

#### 10.5 Clamping Zone

This Zone shall extend between diameter  $d_4$  and diameter

$$d_5 = 33,0 \text{ mm min.}$$

Each side of the Clamping Zone shall be flat within 0,1 mm. The top side of the Clamping Zone, i.e. that of Reference Plane Q shall be parallel to the bottom side, i.e. that of Reference Plane P within 0,1 mm.

In the Clamping Zone the thickness  $e_2$  of the disk shall be

$$e_2 = 1,20 \text{ mm} \begin{matrix} +0,20 \text{ mm} \\ -0,10 \text{ mm} \end{matrix}$$

#### 10.6 Third transition area

This area shall extend between diameter  $d_5$  and diameter

$$d_6 = 44,0 \text{ mm max.}$$

In this area the top surface is permitted to be above Reference Plane Q by

$$h_1 = 0,25 \text{ mm max.}$$

or below Reference Plane Q by

$$h_2 = 0,10 \text{ mm max.}$$

The bottom surface is permitted to be above Reference Plane P by

$$h_3 = 0,10 \text{ mm max.}$$

or below Reference Plane P by

$$h_4 = 0,25 \text{ mm max.}$$

#### 10.7 Information Zone

The Information Zone shall extend over both recording layers between diameter  $d_6$  and diameter

$$d_7 = 117,5 \text{ mm min (for 80 mm disk see Annex A).}$$

### 10.7.1 Sub-division of the Information Zone

The main parts of the Information Zone are (see Figure 8):

- one **Lead-in Zone** and one **Lead-out Zone**
- one **Data Zone** (the two parts of the Data Zone, extending over the two layers, are shown as the maximum available zones for the storage of user data and shall be treated as one contiguous Data Zone)
- two **Middle Zones**
- two Outer Drive Areas.

The beginning of the Data Zone on Layer 0 and the default end of the Data Zone on Layer 1 shall be located at diameter

$$d_8 = 48,00 \text{ mm}^{+0,00}_{-0,40} \text{ mm},$$

which is the end of the Lead-in Zone on Layer 0 and the default beginning of the Lead-out Zone on Layer 1.

The Lead-out Zone shall start immediately after the Data Zone on Layer 1 and end at about the same location as the start of the Lead-in Zone (see also 16.1).

The end of the Data Zone on Layer 0 and the beginning of the Data Zone on Layer 1 shall be located at diameter

$$d_9 = 116,00 \text{ mm max},$$

which is the beginning/end of the Middle Zones (for 80 mm disk see Annex A).

The radial misalignment ( $|\Delta R|$ ) between the outer edges of the Data Zones on Layer 0 and Layer 1 shall be 0,20 mm max.

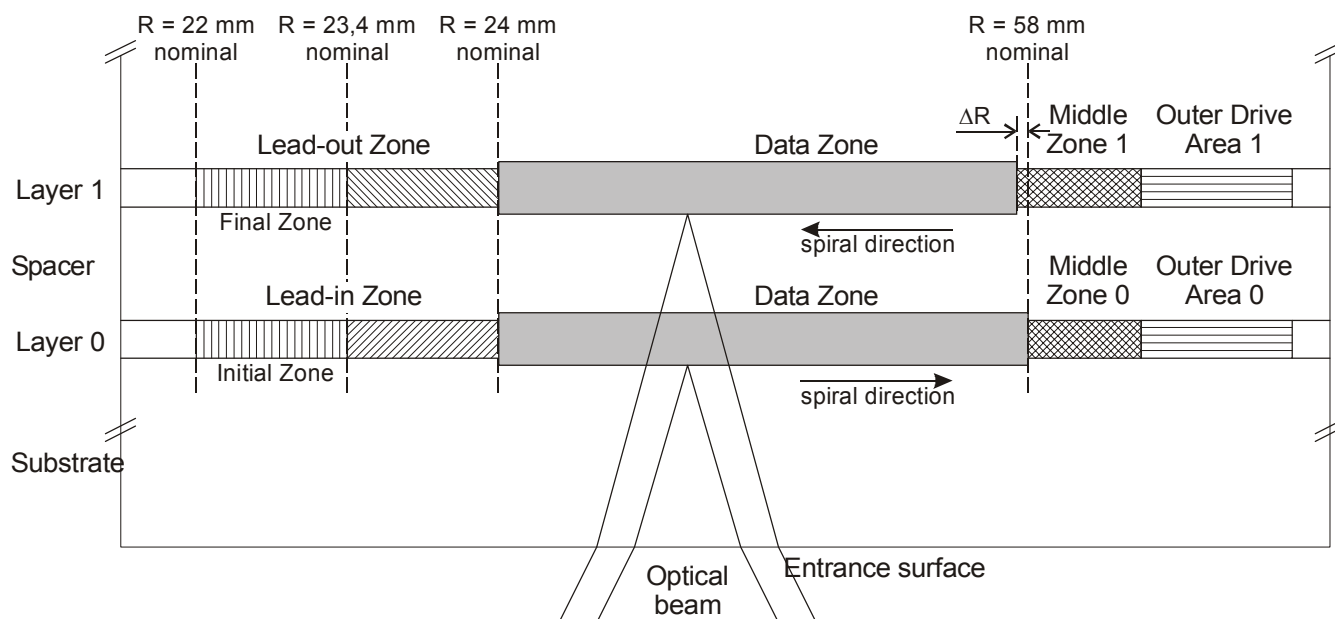


Figure 8 — Layout of the Information Zone

### 10.7.2 Track mode

+RW DL disks shall only use the so-called Opposite Track Path (OTP) mode. In this mode, tracks are read starting on Layer 0 at the inner diameter of the Information Zone, continuing on Layer 1 from the outer diameter towards the inner diameter. Thus, there is a Middle Zone at the outer diameter on both layers (see Figure 8).

The spiral track is running from the inside to the outside on Layer 0 and in the reverse direction on Layer 1.

## 10.8 Rim area

The rim area is that area extending from diameter  $d_7$  to diameter  $d_1$ . In this area the surfaces are permitted to both extend beyond Reference Plane Q or Reference Plane P

$$h_6 = 0,1 \text{ mm max.}$$

The outer corners of the disk shall be free of any burrs or sharp features and shall be rounded off or chamfered by

$$h_7 = 0,2 \text{ mm max.}$$

## 10.9 Remark on tolerances

All heights specified in the preceding clauses and indicated by  $h_i$  are independent from each other. This means that, for example, if the top surface of the third transition area is below Reference Plane Q by up to  $h_2$ , there is no implication that the bottom surface of this area has to be above Reference Plane P by up to  $h_3$ . Where dimensions have the same - generally maximum - numerical value, this does not imply that the actual values have to be identical.

## 11 Mechanical characteristics

### 11.1 Mass

The mass of the disk shall be in the range of 13,0 g to 20,0 g (for 80 mm disk see Annex A).

### 11.2 Moment of inertia

The moment of inertia of the disk, relative to its rotation axis, shall not exceed  $0,040 \text{ g}\cdot\text{m}^2$  (for 80 mm disk see Annex A).

### 11.3 Dynamic imbalance

The dynamic imbalance of the disk, relative to its rotation axis, shall not exceed  $2,5 \text{ g}\cdot\text{mm}$  (for 80 mm disk see Annex A).

### 11.4 Axial runout

When measured by the optical system with the Reference Servo for axial tracking, the disk rotating at the Reference velocity of 3,83 m/s (see 9.5), the deviation of each recording layer from its nominal position in the direction normal to the Reference Planes shall not exceed 0,30 mm.

#### 11.4.1 Tracking requirements at the Reference velocity (CLV)

The residual tracking error below 10 kHz, measured on the blank disk using the Reference Servo for axial tracking and the disk rotating at the Reference velocity, shall not exceed  $0,13 \mu\text{m}$  (displacement of the objective lens needed to move the focal point of the optical beam onto the recording layer).

The measuring filter shall be a Butterworth LPF,

$$f_c \text{ (-3 dB): } 10 \text{ kHz, with slope : -80 dB/decade.}$$

## 11.5 Radial runout

The runout of the outer edge of the disk shall not exceed 0,30 mm peak-to-peak.

The radial runout of tracks shall not exceed 70  $\mu\text{m}$  peak-to-peak on each recording layer.

### 11.5.1 Tracking requirements at the Reference velocity (CLV)

The residual tracking error below 1,1 kHz ( $= f_X$  as defined in 9.8), measured on the blank disk using the Reference Servo for radial tracking and the disk rotating at the Reference velocity of 3,83 m/s (see 9.5), shall not exceed 0,015  $\mu\text{m}$ .

The measuring filter shall be a Butterworth LPF,

$f_c$  (-3 dB) : 1,1 kHz, with slope : -80 dB/decade.

The rms noise value of the residual error signal in the frequency band from 1,1 kHz to 10 kHz, measured with an integration time of 20 ms, using the Reference Servo for radial tracking, shall not exceed 0,016  $\mu\text{m}$ .

The measuring filter shall be a Butterworth BPF,

frequency range (-3 dB) : 1,1 kHz, with slope : +80 dB/decade  
to : 10 kHz, with slope : -80 dB/decade.

## 12 Optical characteristics in the Information Zone

### 12.1 Index of refraction

The index of refraction IR of the substrate in the Information Zone shall be  $1,55 \pm 0,10$ .

The index of refraction of the spacer shall be  $(IR \pm 0,10)$

### 12.2 Thickness of the substrate

The thickness of the substrate or the thickness of the substrate + the spacer, from the entrance surface to the recording layer concerned, varies with the index of refraction of the substrate/spacer and shall be defined as the enclosed region in Figure 9.

The thickness of the spacer shall be:  $55 \mu\text{m}^{+15 \mu\text{m}}_{-10 \mu\text{m}}$ . Within each side of a disk, this thickness shall not vary more than 10  $\mu\text{m}$  pp.

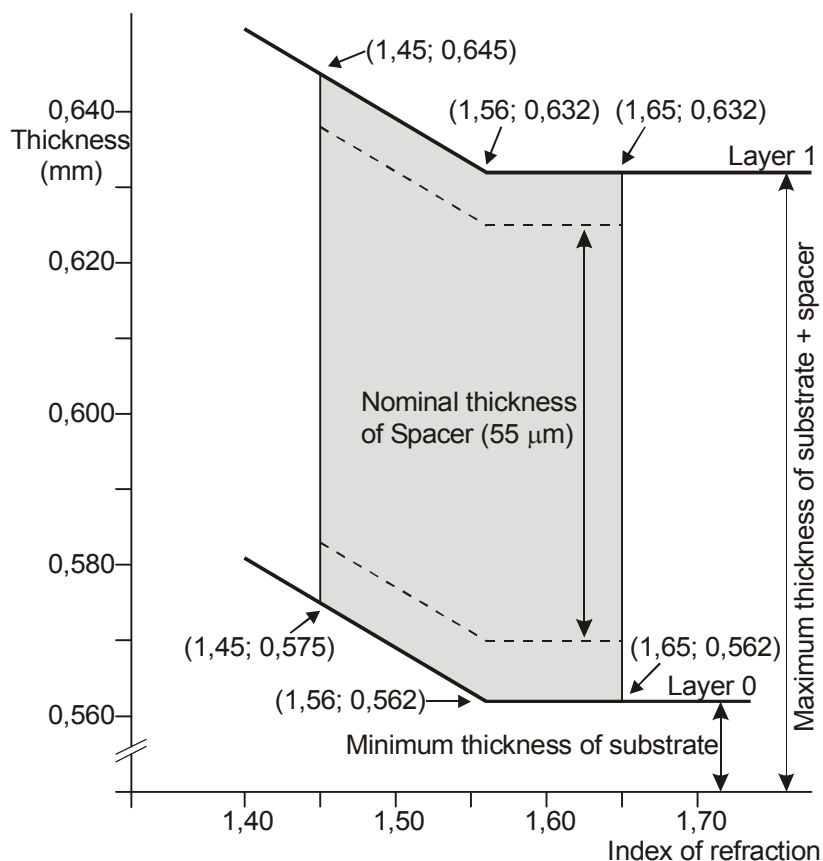


Figure 9 — Thickness of the substrate

### 12.3 Reflectivity

The double-pass optical transmission of the involved layers and the reflectivity of the recording layer concerned are measured together as the reflectance  $R$  of that recording layer. When measured according to Annex C the value of  $R$  shall be in the Information Zone

$0.05 \leq R_d \leq 0.10$  in the unrecorded groove on Layer 0 and Layer 1  
(in an area where both layers are unrecorded),

additionally the following condition shall be met at each location on the disk (same radial and tangential

position on L0 and L1):  $0.80 \leq \frac{R_{d,L0}}{R_{d,L1}} \leq 1.25$

$0.05 \leq R_{14H} \leq 0.10$  in the recorded groove on Layer 0 and Layer 1  
(in an area where both layers are recorded),

additionally the following condition shall be met at each location on the disk (same radial and tangential

position on L0 and L1):  $0.80 \leq \frac{R_{14H,L0}}{R_{14H,L1}} \leq 1.25$

**NOTE** Because of the low reflectivity, some older devices might not be able to detect the presence of the disk correctly and could accidentally hit the surface of the disk. An optional hard coat on the disk could prevent scratches caused by such hits.

## 12.4 Birefringence

The birefringence of the substrate and of the substrate + spacer shall not exceed 60 nm when measured according to Annex D.

## 12.5 Angular deviation

The angular deviation is the angle  $\alpha$  between a parallel incident beam perpendicular to the Reference Plane P and the reflected beam (see Figure 10). The incident beam shall have a diameter in the range 0,30 mm to 3,0 mm. This angle  $\alpha$  includes deflection due to the entrance surface and to the unparallelism of the recording layer with the entrance surface.

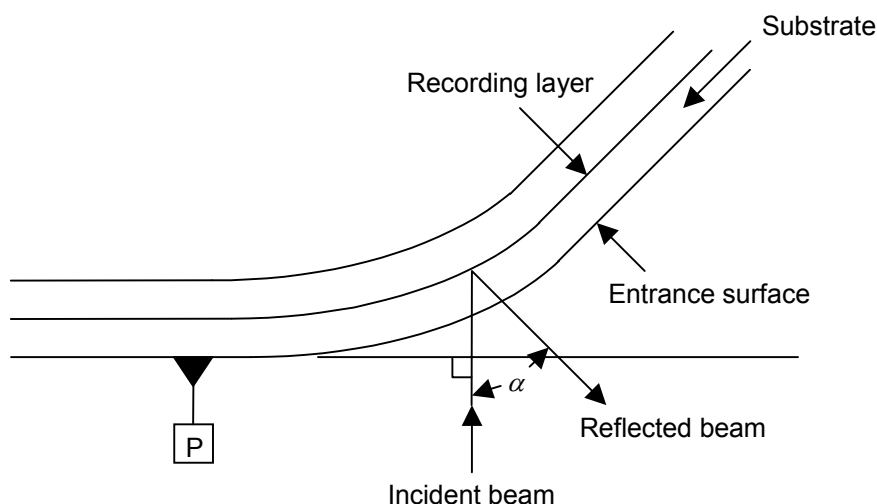


Figure 10 — Angular deviation  $\alpha$

The angular deviation shall be

In radial direction:  $|\alpha| = 0,70^\circ \text{ max.}$

The variation of  $\alpha$  in radial direction over one revolution shall be  $0,80^\circ \text{ peak-to-peak max.}$

In tangential direction:  $|\alpha| = 0,30^\circ \text{ max.}$

## 13 Data format

The data received from the host, called Main Data, is formatted in a number of steps before being recorded on the disk.

It is transformed successively into

- a Data Frame,
- a Scrambled Frame,
- an ECC Block,
- 16 Recording Frames,

- 16 Physical Sectors,
- a Recording Unit.

These steps are specified in the following clauses.

13.1 Data Frames

A Data Frame shall consist of 2 064 bytes arranged in an array of 12 rows each containing 172 bytes (Figure 11). The first row shall start with three fields, called Identification Data (ID), ID Error Detection Code (IED), and RSV bytes, followed by 160 Main Data bytes. The next 10 rows shall each contain 172 Main Data bytes, and the last row shall contain 168 Main Data bytes followed by four bytes for recording an Error Detection Code (EDC). The 2 048 Main Data bytes are identified as D<sub>0</sub> to D<sub>2 047</sub>.

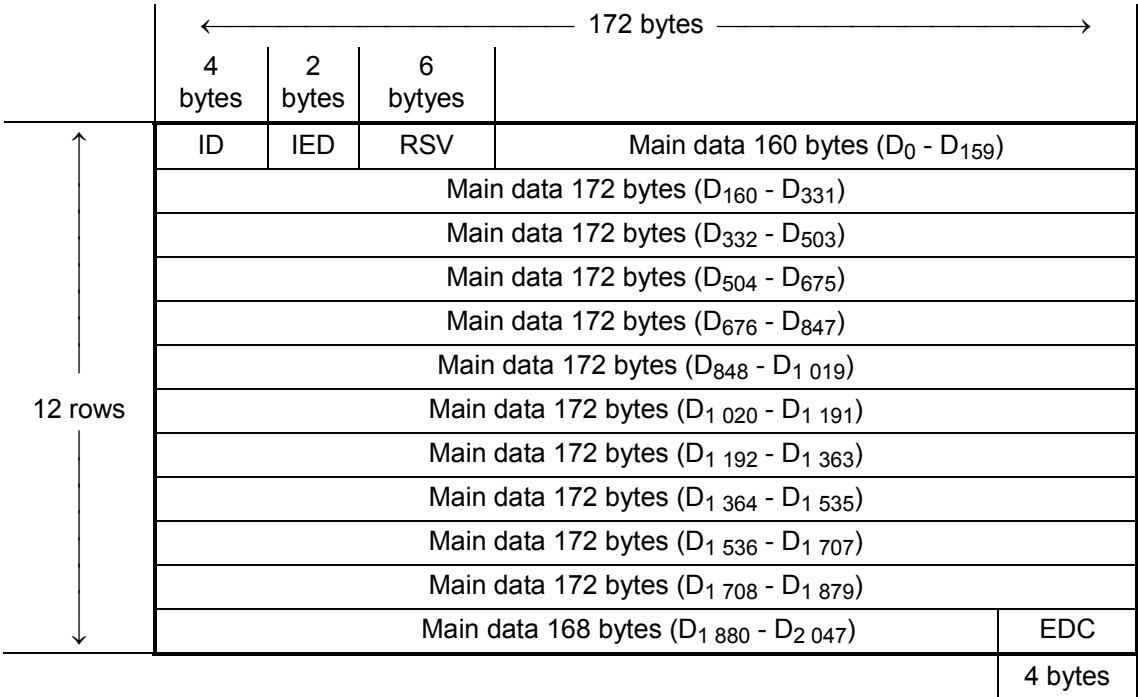


Figure 11 — Data Frame

13.1.1 Identification Data (ID)

This field shall consist of four bytes, the bits of which are numbered consecutively from b<sub>0</sub> (lsb) to b<sub>31</sub> (msb), see Figure 12.

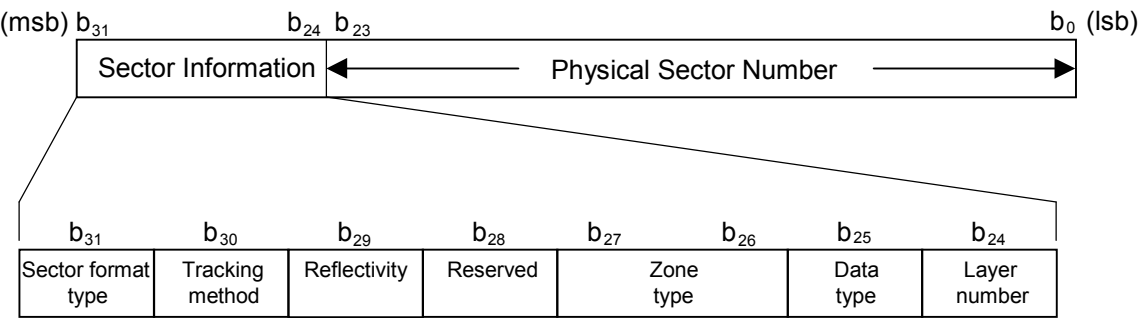


Figure 12 — Identification Data (ID)



The bits of the most significant byte, the Sector Information, shall be set as follows:

- Bit  $b_{31}$  shall be set to ZERO, indicating a CLD format
- Bit  $b_{30}$  shall be set to ONE, indicating groove tracking (see clause 14)
- Bit  $b_{29}$  shall be set to ONE indicating that the reflectance is less than 40 %
- Bit  $b_{28}$  shall be set to ZERO
- Bits  $b_{27}$  to  $b_{26}$  shall be set to
- ZERO ZERO in the Data Zone  
ZERO ONE in the Lead-in Zone  
ONE ZERO in the Lead-out Zone  
ONE ONE in the Middle Zone
- Bit  $b_{25}$  shall be set to ONE, indicating Rewritable data.
- Bit  $b_{24}$  shall be set to ZERO on Layer 0  
ONE on Layer 1

The least significant three bytes, bits  $b_{23}$  to  $b_0$ , shall specify the Physical Sector Number in binary notation. The Physical Sector Number of the first Physical Sector of an ECC Block shall be an integer multiple of 16.

### 13.1.2 ID Error Detection Code (IED)

When identifying all bytes of the array shown in Figure 11 as  $C_{i,j}$  for  $i = 0$  to 11 and  $j = 0$  to 171, the bytes of IED are represented by  $C_{0,j}$  for  $j = 4$  to 5. Their setting is obtained as follows.

$$\text{IED}(x) = \sum_{j=4}^5 C_{0,j} x^{5-j} = I(x) x^2 \bmod G_E(x)$$

where  $I(x) = \sum_{j=0}^3 C_{0,j} x^{3-j}$  and  $G_E(x) = (x + 1)(x + \alpha)$

$\alpha$  is the primitive root of the primitive polynomial  $P(x) = x^8 + x^4 + x^3 + x^2 + 1$

### 13.1.3 RSV

This field shall consist of 6 bytes. The first byte may be set by the application. If not specified by the application, it is reserved and shall be set to (00). The remaining 5 bytes are reserved and shall all be set to (00).

Under no circumstance may other data received from the host be recorded in this field.

**Circumvention** *Recorders and recording drives shall be considered as circumvention devices when these are produced to record, or can easily be modified to record, in any manner, a user-defined number in this field.*

### 13.1.4 Error Detection Code (EDC)

This 4-byte field shall contain the parities of an Error Detection Code computed over the preceding 2 060 bytes of the Data Frame. Considering the Data Frame as a single bit field starting with the most significant bit

of the first byte of the ID field and ending with the least significant bit of the EDC field, then this msb will be  $b_{16\ 511}$  and the lsb will be  $b_0$ . Each bit  $b_i$  of the EDC is shown as follows for  $i = 0$  to 31:

$$\text{EDC}(x) = \sum_{i=0}^{31} b_i x^i = l(x) \bmod G(x)$$

where  $l(x) = \sum_{i=32}^{16511} b_i x^i$  and  $G(x) = x^{32} + x^{31} + x^4 + 1$

### 13.2 Scrambled Frames

The 2 048 Main Data bytes shall be scrambled by means of the circuit shown in Figure 13 which shall consist of a feedback bit shift register in which bits  $r_7$  (msb) to  $r_0$  (lsb) represent a scrambling byte at each 8-bit shift.

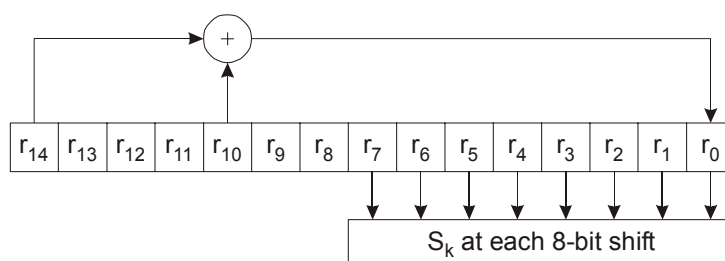


Figure 13 — Feedback shift register

At the beginning of the scrambling procedure of a Data Frame, positions  $r_{14}$  to  $r_0$  shall be pre-set to the value(s) specified in Table 1 (the msb of the pre-set value shall be discarded). The same pre-set value shall be used for 16 consecutive Data Frames. After 16 groups of 16 Data Frames, the sequence is repeated. The initial pre-set number is equal to the value represented by bits  $b_7$  (msb) to  $b_4$  (lsb) of the ID field of the Data Frame. Table 1 specifies the initial pre-set value of the shift register corresponding to the 16 initial pre-set numbers.

Table 1 — Initial values of the shift register

Initial pre-set number	Initial pre-set value	Initial pre-set number	Initial pre-set value
(0)	(0001)	(8)	(0010)
(1)	(5500)	(9)	(5000)
(2)	(0002)	(A)	(0020)
(3)	(2A00)	(B)	(2001)
(4)	(0004)	(C)	(0040)
(5)	(5400)	(D)	(4002)
(6)	(0008)	(E)	(0080)
(7)	(2800)	(F)	(0005)

The part of the initial value of  $r_7$  to  $r_0$  is taken out as scrambling byte  $S_0$ . After that, an 8-bit shift is repeated 2 047 times and the following 2 047 bytes shall be taken from  $r_7$  to  $r_0$  as scrambling bytes  $S_1$  to  $S_{2\ 047}$ . The Main Data bytes  $D_k$  of the Data Frame become scrambled bytes  $D'_k$  where

$$D'_k = D_k \oplus S_k \text{ for } k = 0 \text{ to } 2\ 047 \quad (\oplus \text{ stands for Exclusive OR})$$

### 13.3 ECC Blocks

An ECC Block is formed by arranging 16 consecutive Scrambled Frames in an array of 192 rows of 172 bytes each (Figure 14). To each of the 172 columns 16 bytes of Parity of Outer Code are added, then, to each of the resulting 208 rows, 10 bytes of Parity of Inner Code are added. Thus a complete ECC Block comprises 208 rows of 182 bytes each. The bytes of this array are identified as  $B_{i,j}$  as follows, where  $i$  is the row number and  $j$  is the column number.

$B_{i,j}$  for  $i = 0$  to 191 and  $j = 0$  to 171 are bytes from the Scrambled Frames

$B_{i,j}$  for  $i = 192$  to 207 and  $j = 0$  to 171 are bytes of the Parity of Outer Code

$B_{i,j}$  for  $i = 0$  to 207 and  $j = 172$  to 181 are bytes of the Parity of Inner Code

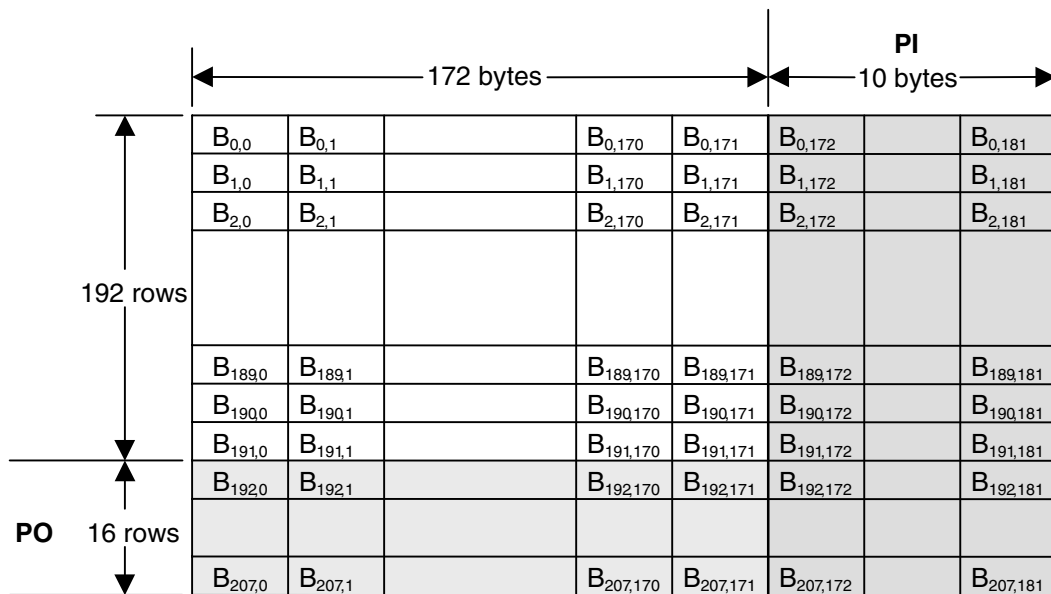


Figure 14 — ECC Block

The PO and PI bytes shall be obtained as follows.

In each of columns  $j = 0$  to 171, the 16 PO bytes are defined by the remainder polynomial  $R_j(x)$  to form the outer code RS (208,192,17).

$$R_j(x) = \sum_{i=192}^{207} B_{i,j} x^{207-i} = I_j(x) x^{16} \bmod G_{PO}(x)$$

where  $I_j(x) = \sum_{i=0}^{191} B_{i,j} x^{191-i}$  and  $G_{PO}(x) = \prod_{k=0}^{15} (x + \alpha^k)$

In each of rows  $i = 0$  to 207, the 10 PI bytes are defined by the remainder polynomial  $R_i(x)$  to form the inner code RS(182,172,11).

$$R_i(x) = \sum_{j=172}^{181} B_{i,j} x^{181-j} = I_i(x) x^{10} \bmod G_{PI}(x)$$

where 
$$l_i(x) = \sum_{j=0}^{171} B_{i,j} x^{171-j} \quad \text{and} \quad G_{PI}(x) = \prod_{k=0}^9 (x + \alpha^k)$$
  
 $\alpha$  is the primitive root of the primitive polynomial  $P(x) = x^8 + x^4 + x^3 + x^2 + 1$

### 13.4 Recording Frames

Sixteen Recording Frames shall be obtained by interleaving one of the 16 PO rows at a time after every 12 rows of an ECC Block (Figure 15). This is achieved by re-locating the bytes  $B_{i,j}$  of the ECC Block as  $B_{m,n}$  for

$$m = i + \text{int}[i / 12] \quad \text{and} \quad n = j \quad \text{for } i \leq 191$$

$$m = 13 \times (i - 191) - 1 \quad \text{and} \quad n = j \quad \text{for } i \geq 192$$

where  $\text{int}[x]$  represents the largest integer not greater than  $x$ .

Thus the 37 856 bytes of an ECC Block are re-arranged into 16 Recording Frames of 2 366 bytes. Each Recording Frame consists of an array of 13 rows of 182 bytes.

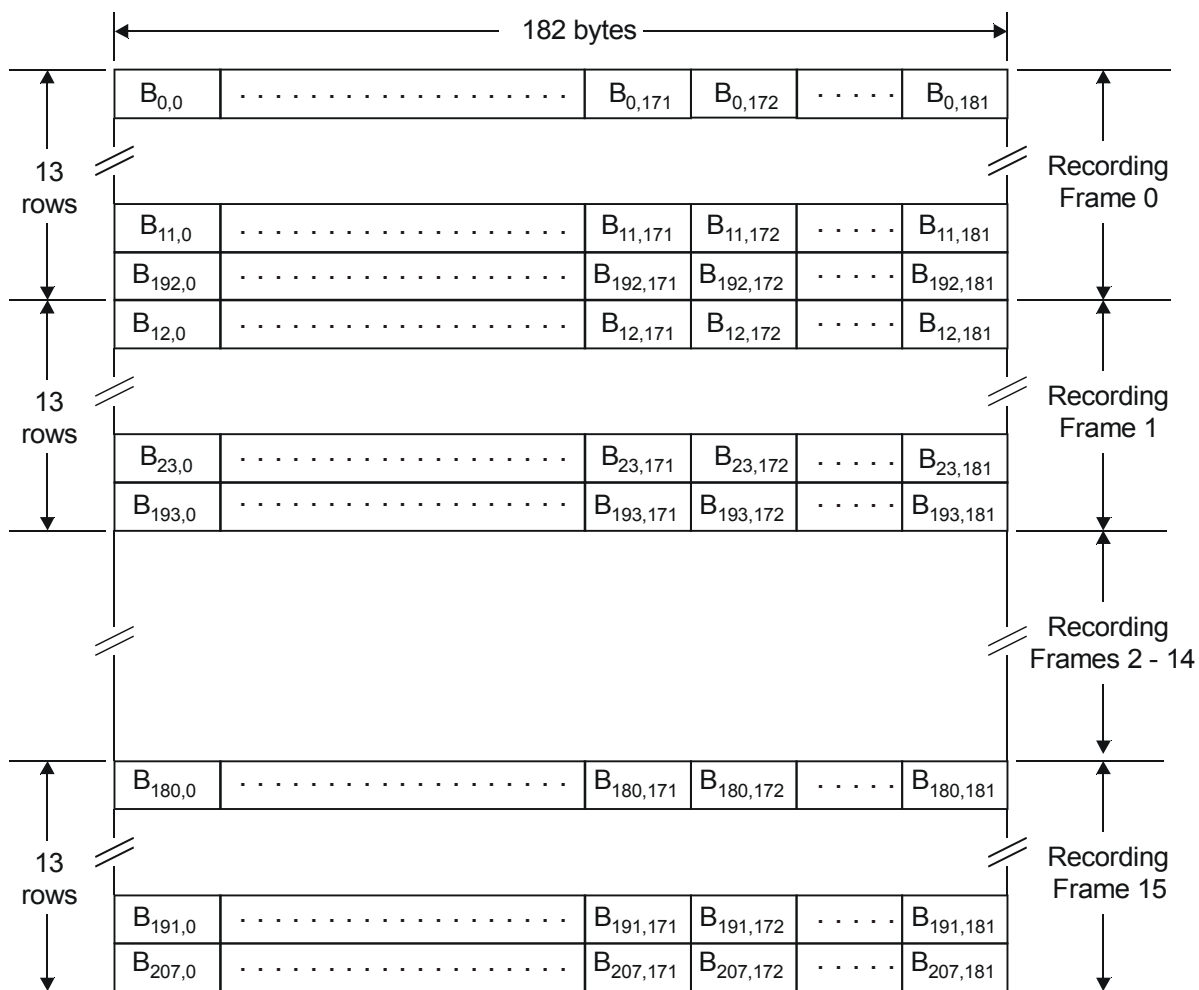


Figure 15 — Recording Frames obtained from an ECC Block

### 13.5 Modulation and NRZI conversion

The 8-bit bytes of each Recording Frame shall be transformed into 16-bit Code Words with the run length limitation that between 2 ONEs there shall be at least 2 ZEROS and at most 10 ZEROS (RLL(2,10)). Annex H specifies the conversion tables to be applied. The Main Conversion table and the Substitution table specify a 16-bit Code Word for each 256 8-bit bytes with one of 4 States. For each 8-bit byte, the tables indicate the corresponding Code Word, as well as the State for the next 8-bit byte to be encoded.

The 16-bit Code Words shall be NRZI-converted into Channel bits before recording on the disk (see Figure 16). The Channel clock period is the time between 2 consecutive Channel bits.

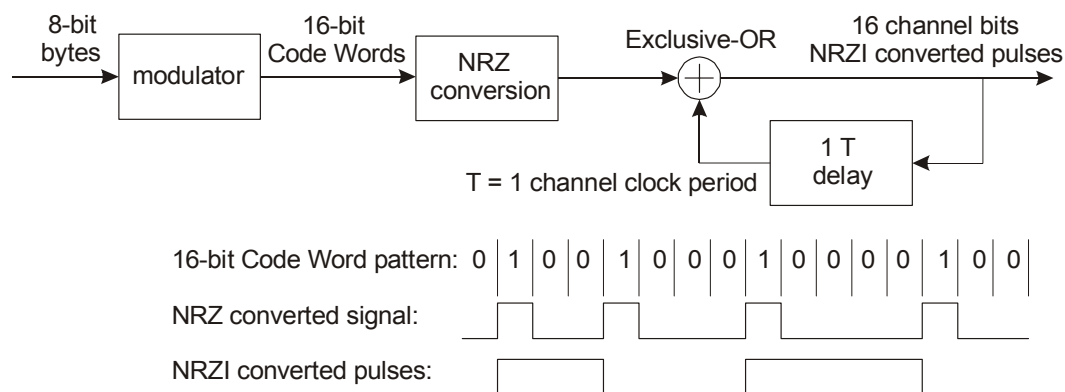


Figure 16 — NRZI conversion

### 13.6 Physical Sectors

The structure of a Physical Sector is shown in Figure 17. It shall consist of 13 rows, each comprising two Sync Frames. A Sync Frame shall consist of a SYNC Code from Table 2 and 1 456 Channel bits representing 91 8-bit bytes. Each row of the Physical Sector shall consist of two Sync Frames with the first 1 456 Channel bits representing the first 91 bytes of each row of a Recording Frame and the second 1 456 Channel bits representing the second 91 bytes of each row of a Recording Frame.

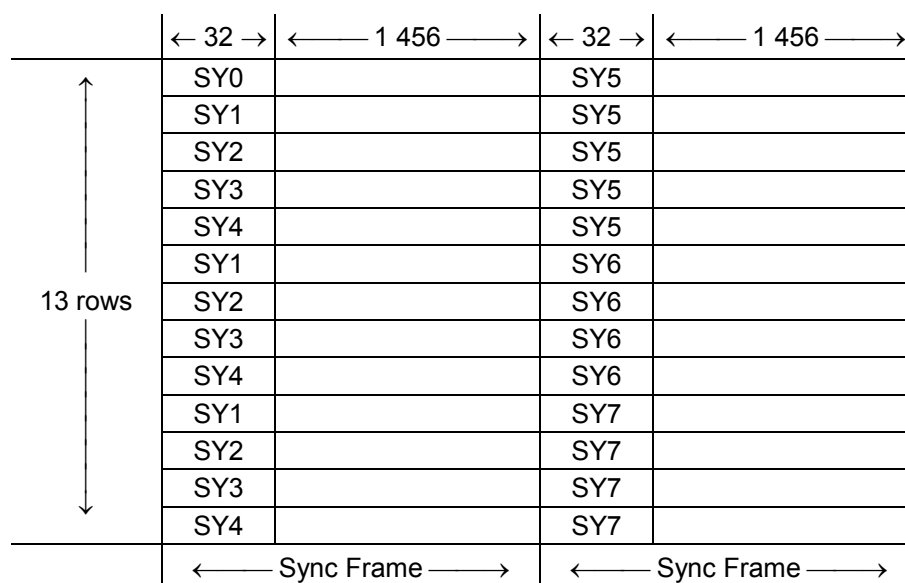


Figure 17 — Physical Sector

Recording of the Physical Sector shall start with the first Sync Frame of the first row, followed by the second Sync Frame of that row, and so on, row-by-row. The state of each SYNC Code and each subsequent set of 16 Channel bits shall follow the rules defined in 13.8.

Table 2 — SYNC Codes

State 1 and State 2 (next state is state 1)			
Primary SYNC codes		Secondary SYNC codes	
(msb)	(lsb)	(msb)	(lsb)
SY0 = 0001001001000100 0000000000010001 / 0001001000000100 0000000000010001			
SY1 = 0000010000000100 0000000000010001 / 0000010001000100 0000000000010001			
SY2 = 0001000000000100 0000000000010001 / 0001000001000100 0000000000010001			
SY3 = 0000100000000100 0000000000010001 / 0000100001000100 0000000000010001			
SY4 = 0010000000000100 0000000000010001 / 0010000001000100 0000000000010001			
SY5 = 0010001001000100 0000000000010001 / 0010001000000100 0000000000010001			
SY6 = 0010010010000100 0000000000010001 / 0010000010000100 0000000000010001			
SY7 = 0010010001000100 0000000000010001 / 0010010000000100 0000000000010001			
State 3 and State 4 (next state is state 1)			
Primary SYNC codes		Secondary SYNC codes	
(msb)	(lsb)	(msb)	(lsb)
SY0 = 1001001000000100 0000000000010001 / 1001001001000100 0000000000010001			
SY1 = 1000010001000100 0000000000010001 / 1000010000000100 0000000000010001			
SY2 = 1001000001000100 0000000000010001 / 1001000000000100 0000000000010001			
SY3 = 1000001001000100 0000000000010001 / 1000001000000100 0000000000010001			
SY4 = 1000100001000100 0000000000010001 / 1000100000000100 0000000000010001			
SY5 = 1000100100000100 0000000000010001 / 1000000100000100 0000000000010001			
SY6 = 1001000010000100 0000000000010001 / 1000000001000100 0000000000010001			
SY7 = 1000100010000100 0000000000010001 / 100000000100000100 0000000000010001			

### 13.7 Layout of a Recording Unit (RUN)

A RUN shall consist of an integer number ( $M \geq 1$ ) of sets of 16 Physical Sectors, each from a single ECC Block. The  $M$  ECC Blocks shall be preceded by 8 Channel bits, which are meant to reduce possible influences of inaccuracies of the linking point, while the last 8 Channel bits of the last Physical Sector shall be discarded at recording. The 8 linking Channel bits and the next SYNC Code SY0 (chosen from State 1/2 or State 3/4) shall be chosen randomly, such that the runlength constraints specified in 13.5 are fulfilled.

Each RUN of  $M$  ECC Blocks ( $M \geq 1$ ) starting with ECC Block  $N$  shall be recorded in the following way:

- 8 Channel bits for linking in ECC Block  $N-1$ ,
- full ECC Blocks  $N$  to  $N + M - 2$  (if  $M \geq 2$ ),
- ECC Block  $N + M - 1$ , except for the last 8 Channel bits, which bits shall not be recorded.

The positioning of a Recording Unit is shown in Figure 18.

When the RUN starting with ECC Block  $N$  is to be recorded, and ECC Block  $N-1$  has not yet been recorded, then the RUN shall be extended with a dummy ECC Block  $N-1$  of which all Main Data bytes shall be set to (00).

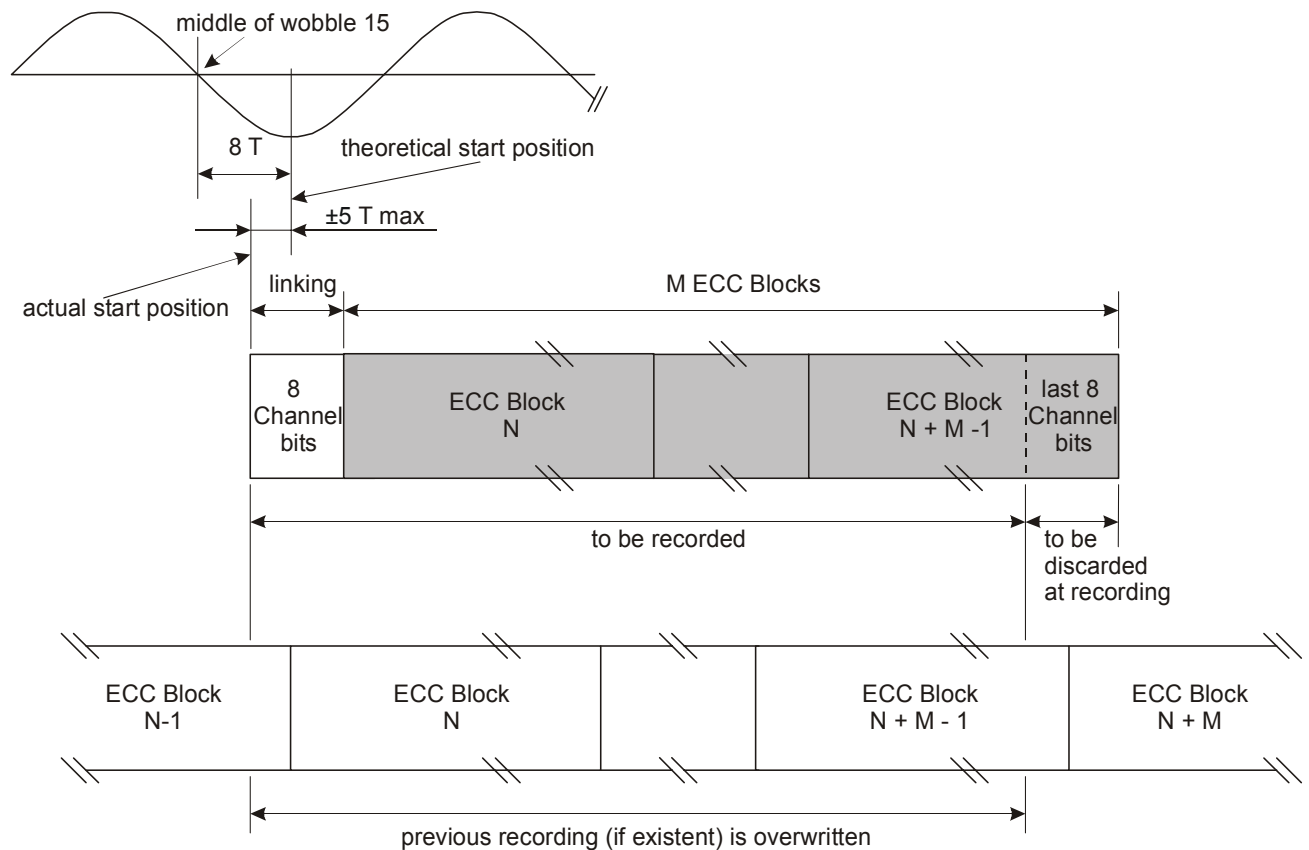


Figure 18 — Recording Unit

### 13.7.1 Recording Unit position

Each ECC Block, consisting of 16 Physical Sectors, shall correspond to 4 ADIP words (see 14.4.1.1).

RUNs shall be mapped onto the structure of tracks (see 14.4), such that the Physical Sector Numbers (PSN), of which the 2 least significant bits have been discarded, correspond to the local Physical Address in ADIP (PAA). In mathematical form:  $PSN = 4 \times PAA + i$ , where  $i = 0, 1, 2$ , or  $3$  (for example: Physical Sector Numbers (030000) to (030003) correspond to Physical ADIP Address (00C000)).

The reference for the theoretical start positions is wobble 15 following the ADIP word sync unit of the ADIP words of which the 2 least significant address bits are 00 (see 14.4.1.1 and Figure 22). The theoretical start position is 8 Channel bits after the nominal position of the zero crossing in the middle of the above mentioned wobble 15 of the wobble signal from Read channel 2.

The start of each recording shall be within  $\pm 5$  Channel bits of the theoretical start position. During writing the Channel bit clock shall be phase locked to the wobble frequency.

### 13.8 d.c. component suppression control

To ensure a reliable radial tracking and a reliable detection of the HF signals, the low frequency content of the stream of Channel bit patterns should be kept as low as possible. In order to achieve this, the Digital Sum Value (DSV, see 4.3) shall be kept as close to zero as possible. At the beginning of the modulation, the DSV shall be set to 0.

The different ways of diminishing the current value of the DSV are as follows:

- a) Choice of SYNC Codes between Primary or Secondary SYNC Codes.
- b) For the 8-bit bytes in the range 0 to 87, the Substitution table offers an alternative 16-bit Code Word for all States.
- c) For the 8-bit bytes in the range 88 to 255, when the prescribed State is 1 or 4, then the 16-bit Code Word can be chosen either from State 1 or from State 4, so as to ensure that the RLL requirement is met.

In order to use these possibilities, two data streams, Stream 1 and Stream 2, are generated. Stream 1 shall start with the Primary SYNC Code and Stream 2 with the Secondary SYNC Code of the same category of SYNC Codes. As both streams are modulated individually, they generate a different DSV because of the difference between the bit patterns of the Primary and Secondary SYNC Codes.

In the cases b) and c), there are two possibilities to represent a 8-bit byte. The DSV of each stream is computed up to the 8-bit byte preceding the 8-bit byte for which there is this choice. The stream with the lowest  $|DSV|$  is selected and duplicated to the other stream. Then, one of the representations of the next 8-bit byte is entered into Stream 1 and the other into Stream 2. This operation is repeated each time case b) or c) occurs.

Whilst case b) always occurs at the same pattern position in both streams, case c) may occur in one of the streams and not in the other because, for instance, the next State prescribed by the previous 8-bit byte can be 2 or 3 instead of 1 or 4. In that case the following 3-step procedure shall be applied:

- 1) Compare the  $|DSV|$ s of both streams.
- 2) If the  $|DSV|$  of the stream in which case c) occurs is smaller than that of the other stream, then the stream in which case c) has occurred is chosen and duplicated to the other stream. One of the representations of the next 8-bit byte is entered into this stream and the other into the other stream.
- 3) If the  $|DSV|$  of the stream in which case c) has occurred is larger than that of the other stream, then case c) is ignored and the 8-bit byte is represented according to the prescribed State.

In both cases b) and c), if the  $|DSV|$ s are equal, the decision to choose Stream 1 or Stream 2 is implementation-defined.

The procedure for case a) shall be as follows:

- 1) At the end of each Sync Frame, whether or not case b) and or case c) have occurred, the accumulated DSVs of both streams are compared. The stream with the lower  $|DSV|$  is selected and duplicated to the other stream. Then the next Primary SYNC Code and the Secondary SYNC Code of the proper category are inserted each in one of the streams.

Optionally the procedure for case a) can be extended in the following way:

- 2) If the DSV at the end of the resulting Sync Frame is greater than + 63 or smaller than -64, then the SYNC Code at the beginning of the Sync Frame is changed from Primary to Secondary or vice versa. If this yields a smaller  $|DSV|$ , the change is permanent, if the  $|DSV|$  is not smaller, the original SYNC Code is retained.

During the DSV computation, the actual values of the DSV may vary between -1 000 and +1 000, thus it is recommended that the count range for the DSV be at least from -1 024 to +1 023.



## 14 Track format

### 14.1 Track shape

The area in the Information Zone (see 10.7) shall contain tracks formed from a single spiral groove. Each track shall form a 360° turn of a continuous spiral. The shape of each track is determined by the requirements in Clauses 25 and following. Recordings shall be made on the groove.

The tracks in the Information Zone contain a phase modulated sinusoidal deviation from the nominal centrelines, called wobble, which contains addressing information.

The tracks shall be continuous in the Information Zone.

The groove tracks shall start at a radius of	22,00 mm max.
and end at a radius of	58,75 mm min (for 80 mm disk see Annex A).

### 14.2 Track path

On Layer 0 the track path shall be a continuous spiral from the inside (beginning of the Lead-in Zone) to the outside (end of the Middle Zone) when the disk rotates counter-clockwise as viewed from the optical head.

On Layer 1 the track path shall be a continuous spiral from the outside (beginning of the Middle Zone) to the inside (end of the Lead-out Zone) when the disk rotates counter-clockwise as viewed from the optical head.

### 14.3 Track pitch

The track pitch is the distance measured between the average track centrelines of adjacent tracks, measured in the radial direction. The track pitch shall be  $0,74 \mu\text{m} \pm 0,03 \mu\text{m}$ . The track pitch averaged over the Information Zone shall be  $0,74 \mu\text{m} \pm 0,01 \mu\text{m}$ .

### 14.4 Track layout

The wobble of the tracks is a sinusoidal deviation from the nominal centrelines, with a wavelength of  $4,691 2 \mu\text{m} \pm 0,045 0 \mu\text{m}$  (equivalent to 32 Channel bits). The Total Harmonic Distortion (THD) of the oscillator for generating the wobble sine wave shall be  $\leq -40 \text{ dB}$ .

The wobble is phase modulated by inverting wobble cycles. The information contained in the wobble modulation is called Address-in-Pregroove or ADIP (see 14.4.1.1).

#### 14.4.1 ADIP information

The data to be recorded onto the disk must be aligned with the ADIP information modulated in the wobble. Therefore 93 wobbles shall correspond to 2 Sync Frames. Of each 93 wobbles, 8 wobbles are phase modulated with ADIP information (see Figure 19).

1 wobble equals 32 Channel bits (= 32T)  
 one ADIP unit = 8 modulated wobbles per 2 Sync Frames

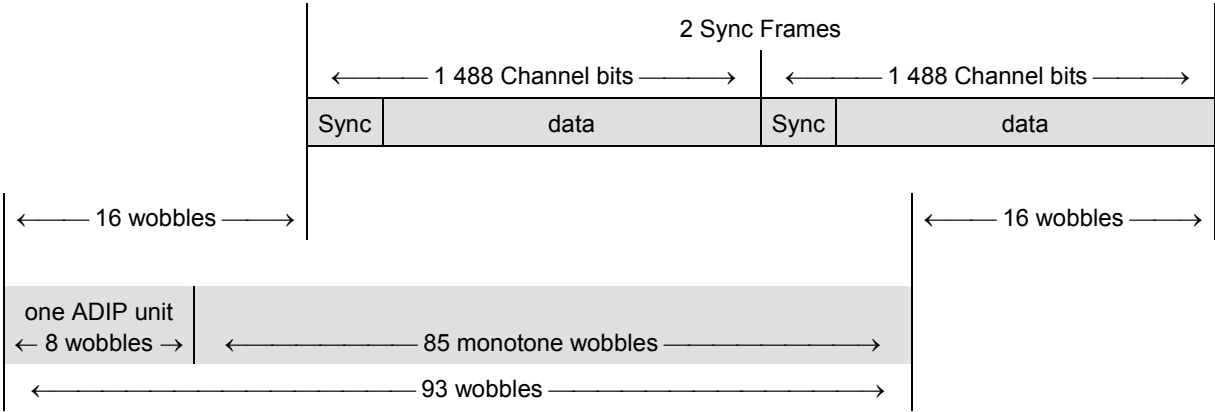


Figure 19 — General ADIP structure

14.4.1.1 ADIP word structure

52 ADIP units are grouped into one ADIP word each.  
This means that one ADIP word corresponds to  $4 \times 13 \times 2$  Sync Frames  $\equiv$  4 Physical Sectors.

Each ADIP word shall consist of: 1 ADIP sync unit + 51 ADIP data units (see Figure 20).  
ADIP sync unit = 4 inverted wobbles for word sync + 4 monotone wobbles.  
ADIP data unit = 1 inverted wobble for bit sync + 3 monotone wobbles + 4 wobbles representing one data bit.  
(see 14.4.1.3)

			wobble 0	wobble 1 to 3	wobble 4 to 7		
4 ADIP words	↑ ADIP word ↓	sync unit	word sync			↑	↑
		data unit	bit sync		data bit 1	4 Physical Sectors	1 ECC Block
		data unit	bit sync		data bit 2		
		:	:	:	:		
		:	:	:	:		
		data unit	bit sync		data bit 51		
↓						↓	
							↓

Figure 20 — ADIP word structure

The information contained in the data bits is as follows:

**bit 1:** this bit is **reserved** and shall be set to ZERO.

**bits 2 to 23:** these 22 bits contain a **Physical ADIP Address**.

Data bit 2 is the msb and data bit 23 is the lsb. The addresses increase by one for each next ADIP word. On layer 1 the Physical ADIP Addresses shall have the bit inverted values of the Physical ADIP Addresses at the same radial position on Layer 0.

NOTE In the following hexadecimal notations of addresses the two msb's shall be ignored.

The addresses in the Information Zone on Layer 0 shall be such that Physical ADIP Address (00C000), which is the first address corresponding to the Data Zone, is located at radius  $24,00^{+0,00}_{-0,20}$  mm. Physical ADIP Address (08B5FF), which is the last address corresponding to the Data Zone on Layer 0, shall be located at a radius 58,00 mm max (for 80 mm disk see Annex A).

The addresses in the Information Zone on Layer 1 shall be such that Physical ADIP Address (FF3FFF), which is the last address corresponding to the Data Zone, is located at radius  $24,00^{+0,00}_{-0,20}$  mm. Physical ADIP Address (F74A00), which is the first address corresponding to the Data Zone on Layer 1, shall be located at a radius 58,00 mm max (for 80 mm disk see Annex A).

**bits 24 to 31:** these 8 bits contain **auxiliary information** about the disk.

Bit 24 to 31 from 256 consecutive ADIP words, shall form one ADIP Aux Frame with 256 bytes of information. The first byte of each ADIP Aux Frame shall be located in an ADIP word with a Physical ADIP Address that is a multiple of 256 (Physical ADIP Address = (xxxx00)).

In the Lead-in/Lead-out Zone and the Inner Drive Areas of the disk the auxiliary bytes shall be used for storing Physical format information. The contents of the 256 bytes are defined in the Table 3 and 14.4.2.

In the Data Zone of the disk the auxiliary bytes may be used for storing Extended format information as defined in Annex B. If not used for such purpose all bytes shall be set to (00).

In the Middle Zones / Outer Drive Areas of the disk the auxiliary bytes shall be set to (00).

**bits 32 to 51:** these 20 bits contain **error correction parities** for the ADIP information (see 14.4.1.2).

#### 14.4.1.2 ADIP error correction

For the ADIP error correction the ADIP data bits are grouped into 4-bit nibbles. The mapping of the data bits into the nibble array is defined in Figure 21. Bit 0 is a dummy bit, which shall be considered as set to ZERO for the error corrector.

nibble N <sub>0</sub>	bit 0	bit 1	bit 2	bit 3	↑ 6 nibbles ↓ ADIP address
nibble N <sub>1</sub>	bit 4	bit 5	:	:	
:	:	:	:	:	
:	bit 20	:	:	bit 23	
:	bit 24				↑ 2 ↓ nibbles AUX data
nibble N <sub>7</sub>	bit 28		:	bit 31	
nibble N <sub>8</sub>	bit 32	:	:	:	↑ 5 nibbles ↓ nibble based R-S ECC
:	:	:	:	:	
:	:	:	:	:	
:	:	:	:	:	
nibble N <sub>12</sub>	bit 48	bit 49	bit 50	bit 51	

**Figure 21 — ADIP error correction structure**

A nibble-based RS (13,8,6) code is constructed, of which the 5 parity nibbles  $N_8$  to  $N_{12}$ , are defined by the remainder polynomial  $R(x)$ :

$$R(x) = \sum_{i=8}^{12} N_i x^{12-i} = I(x) x^5 \bmod G_{PA}(x)$$

where  $I(x) = \sum_{i=0}^7 N_i x^{7-i}$  and  $G_{PA}(x) = \prod_{k=0}^4 (x + \alpha^k)$

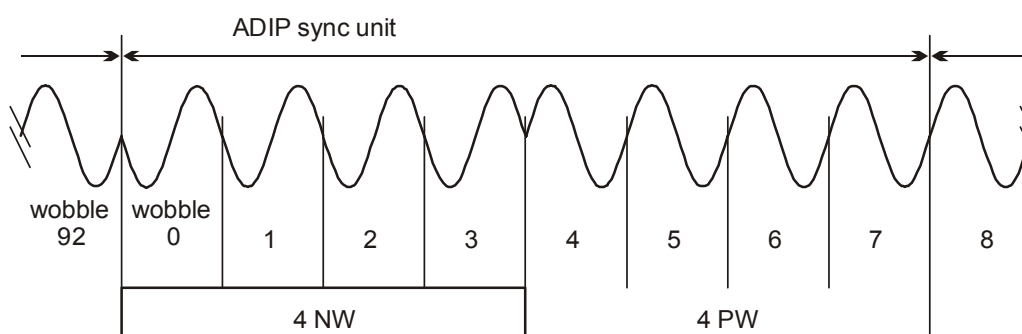
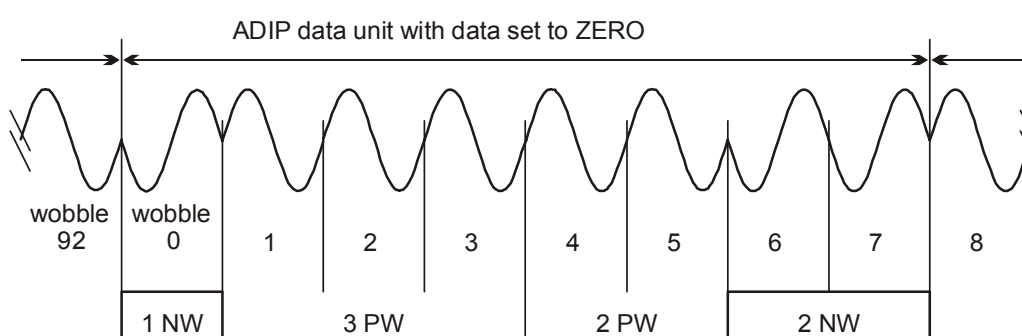
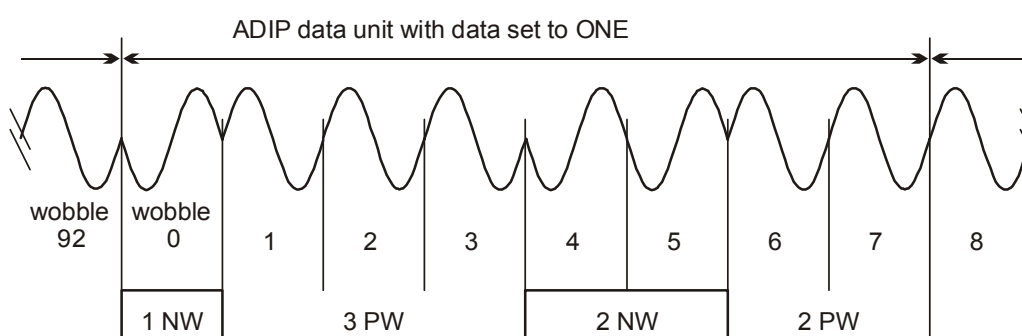
$\alpha$  is the primitive root 0010 of the primitive polynomial  $P(x) = x^4 + x + 1$

All bits of the 5 parity nibbles  $N_8$  to  $N_{12}$  shall be inverted before recording.

#### 14.4.1.3 ADIP modulation rules

The ADIP units are modulated by inverting some of the 8 wobble cycles:

- PW is a positive wobble, which shall start moving towards the inside of the disk.
- NW is a negative wobble, which shall start moving towards the outside of the disk.
- all monotone wobbles shall be PWs.

**Modulation of the ADIP word sync:****Modulation of an ADIP ZERO bit:****Modulation of an ADIP ONE bit:****Figure 22 — ADIP modulation rules****14.4.2 Physical format information in ADIP**

This information shall comprise the 256 bytes shown in Table 3. It contains disk information and values for the write strategy parameters to be used with the Optimum Power Control (OPC) algorithm to determine optimum laser power levels for writing (see Annex G and Annex I). The information is copied to the Control Data Zone (see 17.10.1) during initialization of the disk.

Because the recording characteristics of the two layers can be different, each layer shall be characterized by its own Physical format information. Therefore the ADIP Aux Frames on Layer 0, located in the Lead-in Zone, shall contain the Physical format information for Layer 0 and the ADIP Aux Frames on Layer 1, located in the Lead-out Zone, shall contain the Physical format information for Layer 1.

Bytes 0 to 30 in both ADIP Aux Frames are common and therefore shall be the same on both layers, except byte 18 which indicates the possible presence of Extended Information blocks.

Bytes 32 to 63 shall hold the parameter values for the N-1 write strategy as defined in Annex G.1, unless this write strategy is not applicable. In the latter case all bytes 32 to 63 shall be set to (00), whereby byte 31 shall be set to (20).

For this version of this document the N-1 write strategy shall be applied to Layer 0 at the primary recording speed which shall be 2,4 times the basic DL DVD speed (2,4×3,83 m/s).

The N-1 write strategy is not applicable to Layer 1.

Alternative write strategies for a layer can be defined in one of the Extended Information blocks contained in the Physical format information on that specific layer.

For this version of this document the N/2 write strategy as defined in Annex G.2 shall be applied to Layer 1.

**Table 3 — Physical format information**

Byte number	Content	Number of bytes
0	Disk Category and Version Number	1
1	Disk size	1
2	Disk structure	1
3	Recording density	1
4 to 15	Data Zone allocation	12
16	General Flag bits	1
17	Disk Application Code	1
18	Extended Information Indicators	1
19 to 26	Disk Manufacturer ID	8
27 to 29	Media Type ID	3
30	Product revision number	1
31	number of Physical format information bytes in use in ADIP up to byte 63	1
32	Primary recording velocity for the basic write strategy	1
33	Maximum read power at Primary velocity	1
34	$P_{IND}$ at Primary velocity	1
35	$\rho$ at Primary velocity	1
36	$\varepsilon_1$ at Primary velocity	1
37	$\varepsilon_2$ at Primary velocity	1
38	$\gamma_{target}$ at Primary velocity	1
39	$\beta_{optimum}$ at Primary velocity	1
40	Reserved - (00)	1
41	Reserved - (00)	1
42	$T_{top}$ first pulse duration	1
43	$T_{mp}$ multi pulse duration	1
44	$dT_{top}$ first pulse lead/lag time for any runlength $\geq 4T$	1
45	$dT_{top,3}$ first pulse lead/lag time for runlengths = 3T	1

**Table 3 — Physical format information** (*continued*)

Byte number	Content	Number of bytes
46	$dT_{lp}$ last pulse lead/lag time for any runlength $\geq 5T$	1
47	$dT_{lp,3}$ last pulse lead/lag time for runlengths = 3T	1
48	$dT_{lp,4}$ last pulse lead/lag time for runlengths = 4T	1
49	$dT_{era}$ erase lead/lag time when preceding mark length $\geq 5T$	1
50	$dT_{era,3}$ erase lead/lag time when preceding mark length = 3T	1
51	$dT_{era,4}$ erase lead/lag time when preceding mark length = 4T	1
52 to 63	Reserved - All (00)	12
64 to 95	Extended Information block 0	32
96 to 127	Extended Information block 1	32
128 to 159	Extended Information block 2	32
160 to 191	Extended Information block 3	32
192 to 223	Extended Information block 4	32
224 to 255	Extended Information block 5	32

This version of this document specifies one type of disk, with one primary recording velocity. The following type of disk (characterized by the so-called X-speed) has now been defined and its ADIP shall contain the EI Blocks as indicated in Table 4.

**Table 4 — Types of disks**

type of disk	basic write strategy bytes 32 to 63	2,4x write strategy EI block Format 3	remarks
"2,4x"	on Layer 0: + on Layer 1: –	on Layer 0: – on Layer 1: +	this disk shall be suited for recording speeds of 9,20 m/s

+ shall be present

– shall not be used

#### 14.4.2.1 General information – Bytes 0 to 31

##### Byte 0 - Disk Category and Version Number

Bits  $b_7$  to  $b_4$  shall specify the Disk Category,  
bit  $b_7$  shall be set to 1 indicating a disk according to the +R/+RW Format (see clause 3),  
bit  $b_6$  shall be set to 1 indicating a dual layer disk,  
bits  $b_5$  and  $b_4$  shall be set to 01 indicating a +RW disk.

Bits  $b_3$  to  $b_0$  shall specify the Version Number,  
they shall be set to 0001 indicating this International Standard.  
This Version Number identifies amongst others the definitions of the data in bytes 32 to 63.  
Drives not acquainted with the specific Version Number of a disk should not try to record on that disk using the information in bytes 32 to 63, which bytes contain the basic write strategy parameters (see Annex P).

NOTE Version number 0000 can be used for identification of test disks. Such test disks might not contain the correct Physical format information in their ADIP Aux Frames.

**Byte 1 - Disk size and maximum transfer rate**

Bits  $b_7$  to  $b_4$  shall specify the disk size,  
they shall be set to 0000, indicating a 120 mm disk (for 80 mm disk see Annex A)

Bits  $b_3$  to  $b_0$  shall specify the maximum read transfer rate,  
they shall be set to 1111 indicating no maximum read transfer rate is specified

**Byte 2 - Disk structure**

Bit  $b_7$  shall be set to 0

Bits  $b_6$  to  $b_5$  shall be set to 01, indicating two recording layers per side

Bit  $b_4$  shall be set to 1, indicating OTP mode

Bits  $b_3$  to  $b_0$  shall specify the type of the recording layer(s):  
they shall be set to 0100, indicating a rewritable recording layer.

**Byte 3 - Recording density**

Bits  $b_7$  to  $b_4$  shall specify the average Channel bit length in the Information Zone,  
they shall be set to 0001, indicating 0,147  $\mu\text{m}$

Bits  $b_3$  to  $b_0$  shall specify the average track pitch,  
they shall be set to 0000, indicating an average track pitch of 0,74  $\mu\text{m}$

**Bytes 4 to 15 - Data Zone allocation**

Byte 4 shall be set to (00).

Bytes 5 to 7 shall be set to (030000) to specify PSN 196 608 of the first Physical Sector of the Data Zone

Byte 8 shall be set to (00).

Bytes 9 to 11 shall be set to (FCFFFF) to specify PSN 16 580 607 as the last possible Physical Sector of the Data Zone.

Byte 12 shall be set to (00).

Bytes 13 to 15 shall be set to (22D7FF) to specify PSN 2 283 519 as the last possible Physical Sector of the Data Zone on Layer 0 (for 80 mm disk see Annex A).

**Byte 16 - General Flag bits**

Bit  $b_7$  shall be set to ZERO

Bit  $b_6$  shall specify if the disk contains Extended format information in the ADIP Aux Frames in the Data Zone related to the VCPS copy protection system,  
  
shall be set to 0, indicating no Extended format information for VCPS is present,  
  
shall be set to 1, indicating the Data Zone contains Extended format information for VCPS as defined in Annex B and the VCPS System Description (see Annex O).

Bit  $b_5$  is reserved for use in the Control Data Zone and shall be set to ZERO

Bits  $b_4$  to  $b_0$  are reserved and shall be set to 0 0000



**Byte 17 - Disk Application Code**

This byte can identify disks that are restricted to be used for special applications only. Drives not able to identify the particular application related to a specific Disk Application Code or not able to act according to the rules as defined for this particular application are not allowed to write on a disk with such a code.

- (00) identifies a disk for General Purpose use  
(no restrictions, all drives are allowed to write on a disk carrying this code),  
all other codes are reserved.

**Byte 18 - Extended Information indicators**

Bits  $b_7$  to  $b_6$  are reserved and shall be set to 00

Bits  $b_5$  to  $b_0$  each of these bits shall indicate the presence of an Extended Information block.  
Bit  $b_i$  shall be set to 1 if Extended Information block  $i$ , consisting of bytes  $(64 + i \times 32)$  to  $(95 + i \times 32)$ , is in use. Else bit  $b_i$  shall be set to 0.

**Bytes 19 to 26 - Disk Manufacturer ID**

These 8 bytes shall identify the manufacturer of the disk. This name shall be represented by characters from the G0 set + SPACE according to ISO/IEC 4873. Trailing bytes not used shall be set to (00).

If the Disk Manufacturer ID is not used these 8 bytes shall be set to (00)

**Bytes 27 to 29 - Media Type ID**

Disk manufacturers can have different types of media, which shall be specified by these 3 bytes. The specific type of disk is denoted in this field by characters from the G0 set + SPACE according to ISO/IEC 4873. Trailing bytes not used shall be set to (00).

If the Media Type ID is not used these 3 bytes shall be set to (00)

NOTE If bytes 19 to 29 are used for disk identification, disks with different characteristics shall be identified by different and unique combinations of Disk Manufacturer ID / Media Type ID. Therefore the content of bytes 19 to 29 shall be approved by the licensors of the +RW system.

**Byte 30 - Product revision number**

This byte shall identify the product revision number in binary notation. All disks with the same Disk Manufacturer ID and the same Media Type ID, regardless of Product revision numbers, must have the same recording properties (only minor differences are allowed: Product revision numbers shall be irrelevant for recorders). The content of this byte can be chosen freely by the disk manufacturer.

If not used this byte shall be set to (00)

**Byte 31 - number of Physical format information bytes in use in ADIP up to byte 63**

This byte forms one 8-bit binary number indicating the number of bytes actually in use for the basic Physical format information (in bytes 0 to 63). On Layer 0 it shall be set to (34) indicating that only the first 52 bytes of the Physical format information are used. On Layer 1 it shall set to (20) indicating that only the first 32 bytes of the Physical format information are used.

#### 14.4.2.2 Basic write strategy parameters – Bytes 32 to 63

On Layer 1 all bytes 32 to 63 shall be set to (00), indicating that the basic write strategy is not defined for Layer 1. On Layer 0 bytes 32 to 63 shall have the following meaning:

##### Byte 32 - Primary recording velocity for the basic write strategy

This byte indicates the recording velocity of the disk for the parameters as defined in bytes 34 to 63 in this Physical format information. This recording velocity is equal to about 2,4 times the Reference velocity and shall be specified as a number  $n$  such that

$$n = 4 \times v_{\text{primary}} \text{ (} n \text{ rounded off to an integral value)}$$

It shall be

set to (25) indicating a Primary writing speed of about 9,25 m/s.

##### Byte 33 - Maximum read power, $P_r$ at Primary velocity

This byte shall specify the maximum nominal read power  $P_r$  in milliwatts at the Primary velocity as a number  $n$  such that

$$n = 20 \times (P_r - 0,7) \quad \text{and} \quad n \geq 10 \times \sqrt{\frac{v_{\text{primary}}}{v_{\text{reference}}}}$$

NOTE At reading speeds lower than the Primary velocity of 2,4x, such as e.g. at 1x Reference velocity, the read power shall be reduced to prevent degradation of the recordings on the disk. A safe value for the nominal read power is

$$P_r = 0,7 + 0,5 \times \sqrt{\frac{v_{\text{actual}}}{v_{\text{reference}}}} \text{ (see also 29.3).}$$

##### Byte 34 - $P_{\text{IND}}$ at Primary velocity

$P_{\text{IND}}$  is the starting value for the determination of  $P_{\text{target}}$  used in the OPC algorithm, see Annex I.

This byte shall specify the indicative value  $P_{\text{IND}}$  of  $P_{\text{target}}$  in milliwatts at the Primary velocity as a number  $n$  such that

$$n = 4 \times (P_{\text{IND}} - 10)$$

##### Byte 35 - $\rho$ at Primary velocity

This byte shall specify the Write power multiplication factor  $\rho$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 100 \times \rho$$

##### Byte 36 - $\varepsilon_1$ at Primary velocity

This byte shall specify the Erase/Write power ratio  $\varepsilon_1$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 200 \times \varepsilon_1$$

**Byte 37 -  $\varepsilon_2$  at Primary velocity**

This byte shall specify the Cooling/Write power ratio  $\varepsilon_2$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 1\,000 \times \varepsilon_2$$

**Byte 38 -  $\gamma_{\text{target}}$  at Primary velocity**

This byte shall specify the target value for  $\gamma$ ,  $\gamma_{\text{target}}$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 20 \times \gamma_{\text{target}}$$

**Byte 39 -  $\beta_{\text{optimum}}$  at Primary velocity**

This byte shall specify the value of  $\beta$  as determined from recordings made at the Primary velocity with the optimum recording powers as described in Annex I.3, as a number  $n$  such that

$$n = 100 \times (\beta_{\text{optimum}} + 1)$$

**Byte 40 - Reserved - (00)**

This byte is reserved and shall be set to (00).

**Byte 41 - Reserved - (00)**

This byte is reserved and shall be set to (00).

**Byte 42 -  $T_{\text{top}}$  first pulse duration**

This byte shall specify the duration of the first pulse of the multi pulse train (see Annex G.1). The value is expressed in fractions of the channel bit clock period as a number  $n$  such that

$$n = 16 \times \frac{T_{\text{top}}}{T_{\text{W}}} \quad \text{and} \quad 3 \leq n \leq 15$$

**Byte 43 -  $T_{\text{mp}}$  multi pulse duration**

This byte shall specify the duration of the second and next pulses of the multi pulse train (see Annex G.1). The value is expressed in fractions of the channel bit clock period as a number  $n$  such that

$$n = 16 \times \frac{T_{\text{mp}}}{T_{\text{W}}} \quad \text{and} \quad 3 \leq n \leq 13$$

**Byte 44 -  $dT_{\text{top}}$  first pulse lead/lag time for any runlength  $\geq 4T$** 

When the current mark is a  $4T$  or longer mark, this byte shall specify the lead or lag time of the first pulse of the multi pulse train relative to the trailing edge of the first Channel bit of the data pulse (see Annex G.1). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{\text{top}}}{T_{\text{W}}} \quad \text{and} \quad -8 \leq n \leq 15$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 45 -  $dT_{top,3}$  first pulse lead/lag time for runlengths = 3T**

When the current mark is a 3T mark, this byte shall specify the lead or lag time of the first pulse of the multi pulse train relative to the trailing edge of the first Channel bit of the data pulse (see Annex G.1). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{top,3}}{T_W} \quad \text{and} \quad -8 \leq n \leq 15$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 46 -  $dT_{lp}$  last pulse lead/lag time for any runlength  $\geq 5T$**

When the current mark is a 5T or longer mark, this byte shall specify the lead or lag time of the last pulse of the multi pulse train relative to the leading edge of the last Channel bit of the data pulse (see Annex G.1). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{lp}}{T_W} \quad \text{and} \quad -8 \leq n \leq 7$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 47 -  $dT_{lp,3}$  last pulse lead/lag time for runlengths = 3T**

When the current mark is a 3T mark, this byte shall specify the lead or lag time of the last pulse of the multi pulse train relative to the leading edge of the last Channel bit of the data pulse (see Annex G.1). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{lp,3}}{T_W} \quad \text{and} \quad -8 \leq n \leq 7$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 48 -  $dT_{lp,4}$  last pulse lead/lag time for runlengths = 4T**

When the current mark is a 4T mark, this byte shall specify the lead or lag time of the last pulse of the multi pulse train relative to the leading edge of the last Channel bit of the data pulse (see Annex G.1). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{lp,4}}{T_W} \quad \text{and} \quad -8 \leq n \leq 7$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 49 -  $dT_{era}$  erase lead/lag time when preceding mark length  $\geq 5T$**

This byte shall specify the lead or lag time of the erase pulse relative to the trailing edge of the data pulse (see Annex G.1), when the preceding runlength is a 5T or longer mark. The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{era}}{T_W} \quad \text{and} \quad -8 \leq n \leq 15$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 50 -  $dT_{\text{era},3}$  erase lead/lag time when preceding mark length = 3T**

This byte shall specify the lead or lag time of the erase pulse relative to the trailing edge of the data pulse (see Annex G.1), when the preceding runlength is a 3T mark. The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{\text{era},3}}{T_W} \quad \text{and} \quad -8 \leq n \leq 15$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 51 -  $dT_{\text{era},4}$  erase lead/lag time when preceding mark length = 4T**

This byte shall specify the lead or lag time of the erase pulse relative to the trailing edge of the data pulse (see Annex G.1), when the preceding runlength is a 4T mark. The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{\text{era},4}}{T_W} \quad \text{and} \quad -8 \leq n \leq 15$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Bytes 52 to 63 - Reserved - All (00)**

These bytes are reserved and shall all be set to (00).

**14.4.2.3 Extended Information blocks - Bytes (64 +  $i \times 32$ ) to (95 +  $i \times 32$ )**

(with  $i = 0$  to 5)

Extended Information (EI) blocks are meant to facilitate future extensions. Each such block consists of 32 bytes. These bytes can hold for instance parameters for alternative write strategies or other advanced parameters. If a set of parameters does not fit in one Extended Information block, additional continuation blocks can be added, which additional blocks are identified by a Continuation bit.

The presence of an Extended Information block shall be indicated by the appropriate bit in byte 18. If an Extended Information block is not used, all 32 bytes shall be set to (00).

**Byte (64 +  $i \times 32$ )    Extended Information block  $i$  Format number / Continuation bit**

Bits  $b_6$  to  $b_0$  indicate the Format number which identifies the definitions of the data in bytes (65 +  $i \times 32$ ) to (95 +  $i \times 32$ ).

If bit  $b_7$  is set to ONE, the related Extended Information block is not an independent block but a continuation of the preceding Extended Information block. The Format number in a continuation block shall be the same as the Format number in the preceding Extended Information block.

A disk can have several Extended Information blocks. The contents of blocks with different Format numbers have to be interpreted each according to their respective definitions. The contents of blocks with the same Format number are interpreted in the same way; the parameters specified in these blocks however can have different values.

Drives not acquainted with the specific Format number in block  $i$ , should not use the parameters in this Extended Information block (see Annex P).

NOTE The contents of an EI block are identified by the Format number of the block only. The position of the EI block in the ADIP Aux Frame is irrelevant for this, so an EI block with Format number  $n$  could be allocated at any position  $i$ . Therefore drives should always check the Format numbers in the EI blocks to be sure that the write strategies are correctly interpreted.

Bytes  $(65 + i \times 32)$  to  $(95 + i \times 32)$

Each parameter set defined for these bytes shall be identified by a unique Format number.

#### **14.4.2.3.1 Extended information for the 2,4x N/2 write strategy**

This Extended Information block specifies the parameters for a so-called N/2 or 2T write strategy as defined in Annex G.2. The parameter set defined in this Extended Information block shall be applied at a recording speed equivalent to 2,4 times the basic DL DVD speed ( $2,4 \times 3,83$  m/s).

If a layer can not be recorded under these “2,4x N/2” conditions, this EI block shall not be used (all bytes set to (00) and related Extended Information indicator bits set to ZERO).

On Layer 0 this EI block shall not be used.

#### **Byte 18 - Extended Information indicators**

This byte shall be set to xxxx xxx1 indicating Extended Information block 0 is in use.

Table 5 — Extended Information block 0

Byte number	Content	Number of bytes
64	Continuation bit / Format number	1
65	Reserved - set to (00)	1
66	Reserved - set to (00)	1
67	Primary recording velocity for the parameter set in this EI block	1
68	Reserved - (00)	1
69	Reserved - (00)	1
70	Maximum read power at Primary velocity	1
71	$P_{IND}$ at Primary velocity	1
72	$\rho$ at Primary velocity	1
73	$\varepsilon_1$ at Primary velocity	1
74	$\varepsilon_2$ at Primary velocity	1
75	$\gamma_{target}$ at Primary velocity	1
76	$\beta_{optimum}$ at Primary velocity	1
77	Reserved - (00)	1
78	Reserved - (00)	1
79	$T_{mp}$ multi pulse duration for any runlengths $\geq 4T$	1
80	$T_{top}$ first pulse duration for any runlengths $\geq 4T$	1
81	$T_{top,3}$ first pulse duration for runlengths = 3T	1
82	$T_{lp,3}$ last pulse duration for runlengths = 3T	1
83	$dT_{top}$ first pulse lead/lag time for any runlengths $\geq 6T$	1
84	$dT_{top,5}$ first pulse lead/lag time for runlengths = 5T	1
85	$dT_{top,4}$ first pulse lead/lag time for runlengths = 4T	1
86	$dT_{top,3}$ first pulse lead/lag time for runlengths = 3T	1
87	$dT_{lp,0}$ last pulse lead time for runlengths = 5T, 7T, 9T & 11T	1
88	$dT_{lp,3}$ last pulse lead/lag time for runlengths = 3T	
89	$dT_{era,0}$ erase lead/lag time for runlengths = 5T, 7T, 9T & 11T	1
90	$dT_{era,E}$ erase lead/lag time for runlengths = 4T, 6T, 8T, 10T & 14T	1
91	$dT_{era,3}$ erase lead/lag time for runlengths = 3T	1
92 to 95	Reserved - All (00)	4

**Byte 64 - Extended Information block 0 Continuation bit / Format number**

This byte shall be set to 0000 0011 indicating Format 3 and this block not being a continuation block, for which bytes 65 to 95 have the following meaning:

**Byte 65 - Reserved**

This byte is reserved and shall be set to (00)

**Byte 66 - Reserved**

This byte is reserved and shall be set to (00)

**Byte 67 - Primary recording velocity for the parameter set in this EI block**

This byte indicates the recording velocity of the disk for the parameters as defined in this EI block. This recording velocity is equal to about 2,4 times the Reference velocity and shall be specified as a number  $n$  such that

$$n = 4 \times v_{\text{primary}} \text{ (} n \text{ rounded off to an integral value)}$$

It shall be

set to (25) indicating a Primary writing speed of about 9,25 m/s.

**Byte 68 - Reserved**

This byte is reserved and shall be set to (00)

**Byte 69 - Reserved**

This byte is reserved and shall be set to (00)

**Byte 70 - Maximum read power,  $P_r$  at Primary velocity**

This byte shall specify the maximum nominal read power  $P_r$  in milliwatts at the Primary velocity as a number  $n$  such that

$$n = 20 \times (P_r - 0,7) \quad \text{and} \quad n \geq 10 \times \sqrt{\frac{v_{\text{primary}}}{v_{\text{reference}}}}$$

NOTE At reading speeds lower than the Primary velocity of 2,4x, such as e.g. at 1x Reference velocity, the read power shall be reduced to prevent degradation of the recordings on the disk. A safe value for the nominal read power is

$$P_r = 0,7 + 0,5 \times \sqrt{\frac{v_{\text{actual}}}{v_{\text{reference}}}} \text{ (see also 29.3).}$$

**Byte 71 -  $P_{\text{IND}}$  at Primary velocity**

$P_{\text{IND}}$  is the starting value for the determination of  $P_{\text{target}}$  used in the OPC algorithm, see Annex I.

This byte shall specify the indicative value  $P_{\text{IND}}$  of  $P_{\text{target}}$  in milliwatts at the Primary velocity as a number  $n$  such that

$$n = 4 \times (P_{\text{IND}} - 10)$$

**Byte 72 -  $\rho$  at Primary velocity**

This byte shall specify the Write power multiplication factor  $\rho$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 100 \times \rho$$

**Byte 73 -  $\varepsilon_1$  at Primary velocity**

This byte shall specify the Erase/Write power ratio  $\varepsilon_1$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 200 \times \varepsilon_1$$



**Byte 74 -  $\varepsilon_2$  at Primary velocity**

This byte shall specify the Cooling/Write power ratio  $\varepsilon_2$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 1\,000 \times \varepsilon_2$$

**Byte 75 -  $\gamma_{\text{target}}$  at Primary velocity**

This byte shall specify the target value for  $\gamma$ ,  $\gamma_{\text{target}}$  at the Primary velocity used in the OPC algorithm (see Annex I) as a number  $n$  such that

$$n = 20 \times \gamma_{\text{target}}$$

**Byte 76 -  $\beta_{\text{optimum}}$  at Primary velocity**

This byte shall specify the value of  $\beta$  as determined from recordings made at the Primary velocity with the optimum recording powers as described in Annex I.3, as a number  $n$  such that

$$n = 100 \times (\beta_{\text{optimum}} + 1)$$

**Byte 77 - Reserved - (00)**

This byte is reserved and shall be set to (00).

**Byte 78 - Reserved - (00)**

This byte is reserved and shall be set to (00).

**Byte 79 -  $T_{\text{mp}}$  multi pulse duration for any runlengths  $\geq 4T$** 

This byte shall specify the basic duration of the 2<sup>nd</sup> and next pulses of the multi pulse train when the current mark is a 4T or greater mark (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as an unsigned binary number  $n$  such that

$$n = 16 \times \frac{T_{\text{mp}}}{T_{\text{W}}} \quad \text{and} \quad 3 \leq n \leq 16$$

**Byte 80 -  $T_{\text{top}}$  first pulse duration for any runlengths  $\geq 4T$** 

This byte shall specify the duration of the first pulse of the multi pulse train when the current mark is a 4T or greater mark (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as an unsigned binary number  $n$  such that

$$n = 16 \times \frac{T_{\text{top}}}{T_{\text{W}}} \quad \text{and} \quad 3 \leq n \leq 24$$

**Byte 81 -  $T_{\text{top},3}$  first pulse duration for runlengths = 3T**

This byte shall specify the duration of the first pulse of the multi pulse train when the current mark is a 3T mark (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as an unsigned binary number  $n$  such that

$$n = 16 \times \frac{T_{\text{top},3}}{T_{\text{W}}} \quad \text{and} \quad 3 \leq n \leq 24$$

**Byte 82 -  $T_{lp,3}$  last pulse duration for runlengths = 3T**

This byte shall specify the duration of the 2<sup>nd</sup> pulse of the multi pulse train when the current mark is a 3T mark (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as an unsigned binary number  $n$  such that

$$n = 16 \times \frac{T_{lp,3}}{T_W} \quad \text{and} \quad 3 \leq n \leq 16 \text{ or} \\ n = 0 \text{ (in case the 2<sup>nd</sup> pulse is not present)}$$

**Byte 83 -  $dT_{top}$  first pulse lead/lag time for any runlengths  $\geq 6T$**

When the current mark is a 6T or greater mark, this byte shall specify the lead or lag time of the first pulse of the multi pulse train relative to the trailing edge of the first Channel bit of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{top}}{T_W} \quad \text{and} \quad -8 \leq n \leq 8$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 84 -  $dT_{top,5}$  first pulse lead/lag time for runlengths = 5T**

When the current mark is a 5T mark, this byte shall specify the lead or lag time of the first pulse of the multi pulse train relative to the trailing edge of the first Channel bit of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{top,5}}{T_W} \quad \text{and} \quad -8 \leq n \leq 8$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 85 -  $dT_{top,4}$  first pulse lead/lag time for runlengths = 4T**

When the current mark is a 4T mark, this byte shall specify the lead or lag time of the first pulse of the multi pulse train relative to the trailing edge of the first Channel bit of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{top,4}}{T_W} \quad \text{and} \quad -8 \leq n \leq 8$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 86 -  $dT_{top,3}$  first pulse lead/lag time for runlengths = 3T**

When the current mark is a 3T mark, this byte shall specify the lead or lag time of the first pulse of the multi pulse train relative to the trailing edge of the first Channel bit of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{top,3}}{T_W} \quad \text{and} \quad -8 \leq n \leq 8$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 87 -  $dT_{lp,O}$  last pulse lead time for runlengths = 5T, 7T, 9T & 11T**

When the length of the current mark is an odd number of Channel bits, this byte shall specify the lead time of the last pulse of the multi pulse train relative to the leading edge of the last Channel bit of the data pulse (see Annex G.2).  $dT_{lp,O}$  adds to the length of the last pulse such that this becomes  $T_{mp} + dT_{lp,O}$ . The value of  $dT_{lp,O}$  is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{lp,O}}{T_W} \quad \text{and} \quad 0 \leq n \leq 8$$

(positive numbers indicate a lead time)

**Byte 88 -  $dT_{lp,3}$  last pulse lead/lag time for runlengths = 3T**

When the length of the current mark is a 3T mark, this byte shall specify the lead or lag time of the last pulse of the multi pulse train relative to the leading edge of the last Channel bit of the data pulse (see Annex G.2). The value of  $dT_{lp,3}$  is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{lp,3}}{T_W} \quad \text{and} \quad -16 \leq n \leq 8$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 89 -  $dT_{era,O}$  erase lead/lag time for runlengths = 5T, 7T, 9T & 11T**

When the length of the current mark is an odd number of Channel bits, this byte shall specify the lead or lag time of the erase pulse relative to the trailing edge of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{era,O}}{T_W} \quad \text{and} \quad -24 \leq n \leq 16$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 90 -  $dT_{era,E}$  erase lead/lag time for runlengths = 4T, 6T, 8T, 10T & 14T**

When the length of the current mark is an even number of Channel bits, this byte shall specify the lead or lag time of the erase pulse relative to the trailing edge of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{era,E}}{T_W} \quad \text{and} \quad -24 \leq n \leq 16$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Byte 91 -  $dT_{era,3}$  erase lead/lag time for runlengths = 3T**

When the length of the current mark is 3T, this byte shall specify the lead or lag time of the erase pulse relative to the trailing edge of the data pulse (see Annex G.2). The value is expressed in fractions of the Channel bit clock period as a two's complement binary number  $n$  such that

$$n = 16 \times \frac{dT_{era,3}}{T_W} \quad \text{and} \quad -24 \leq n \leq 16$$

(positive numbers indicate a lead time, negative numbers indicate a lag time)

**Bytes 92 to 95 - Reserved - All (00)**

These bytes are reserved and shall all be set to (00).

**15 General description of the Information Zone**

The Information Zone, extending over two layers, shall contain all information on the disk relevant for data interchange. The Data Zones are intended for the recording of User Data. (see 10.7.1)

The Lead-in Zone contains control information and an area for disk testing. The Lead-out Zone allows for a continuous smooth lead-out and also contains an area for disk testing. The Middle Zones facilitate jumping from Layer 0 to Layer 1 at the end of the Data Zone on Layer 0. The Outer Drive Areas are meant for disk testing.

The Lead-in Zone, the Data Zone, the Middle Zones and the Lead-out Zone constitute the Rewritable area in which the information is recorded using the Phase change effect.

**Table 6 — Layout of Layer 0 of a fully formatted disk (for 80 mm disk see Annex A)**

Tracking direction

	Description	Nominal radius in mm	PSN of the first Physical Sector	Number of Physical Sectors
Lead-in	Initial Zone	start 22,000 mm	(01F2B0)	47 488 nominal
	Inner Disk Test Zone	start 23,397 mm	(02AC80)	12 288
	Guard Zone 1	start 23,746 mm	(02DC80)	512
	Reserved Zone 1		(02DE80)	4 096
	Reserved Zone 2		(02EE80)	64
	Inner Disk Identification Zone		(02EEC0)	256
	Reserved Zone 3		(02EFC0)	64
	Reference Code Zone	start 23,886 mm	(02F000)	32
	Buffer Zone 1		(02F020)	480
	Control Data Zone		(02F200)	3 072
	Buffer Zone 2		(02FE00)	512
Data	Data Zone	start 24,000 mm	(030000)	2 086 912 max
Middle Zone 0	Buffer Zone 3	start 58,000 mm (at full capacity)	(22D800) max	768
	Reserved Zone 4		(22DB00) max	256
	Guard Zone 2		(22DC00) max	4 096 min
Outer Drive Area	Reserved Zone 5	start 58,059 mm	(22EC00)	4 096
	Outer Disk Test Zone	start 58,106 mm	(22FC00)	12 288
	Guard Zone 3	start 58,247 mm end ≥ 58,500	(232C00)	22 096 nominal

Table 7 — Layout of Layer 1 of a fully formatted disk (for 80 mm disk see Annex A)

	Description	Nominal radius in mm	PSN of the first Physical Sector	Number of Physical Sectors
↑ Tracking direction ↑	Final Zone	end 22,000 mm	(FD5380)	47 488 nominal
	Inner Disk Test Zone	end 23,397 mm	(FD2380)	12 288
	<b>Lead-out</b>	end 23,746 mm		
	Buffer Zone 4		(FD0000)	9 088
	<b>Data</b>	end 24,000 mm	(DD2800) min	2 086 912 max
<b>Middle Zone 1</b>	Buffer Zone 3	end 58,000 mm (at full capacity)	(DD2500) min	768
	Reserved Zone 4		(DD2400) min	256
	Guard Zone 2		(DD1400)	4 096 min
<b>Outer Drive Area</b>	Reserved Zone 5	end 58,059 mm	(DD0400)	4 096
	Outer Disk Test Zone	end 58,106 mm	(DCD400)	12 288
	Guard Zone 3	end 58,247 mm start ≥ 58,500	--	22 096 nominal

## 16 Layout of the Information Zone

The Information Zone of single-sided and of each side of double-sided disks shall be sub-divided as shown in Table 6 and Table 7. The radii indicated in Table 6 and Table 7 for some of the Zones are the nominal values of the centre of the first or last track of the Zone.

### 16.1 Usage of the Data Zone

Depending on the application, it might be necessary to adapt the length of the Data Zone on Layer 0 (e.g. to set the “layer jump” at a predetermined position). In this case the start of Middle Zone 0 shall be shifted towards the inside of the disk. At the same time, the end of Middle Zone 1 shall be shifted by the same amount, such that the first address of the Data Zone on Layer 1 is the inverse of the last address of the Data Zone on Layer 0 (see 16.2). The layer jump is only allowed to be set at an ECC Block boundary and shall be fixed at initialization of the disk. The layer jump position shall never be changed, unless the disk is re-formatted or is used in sequential recording mode (see 23.3).

The maximum possible PSN of the Data Zone on Layer 0 (end\_L0), representing the layer jump position, shall be recorded in the Physical format information in the Control Data Zone (see 17.10.1).

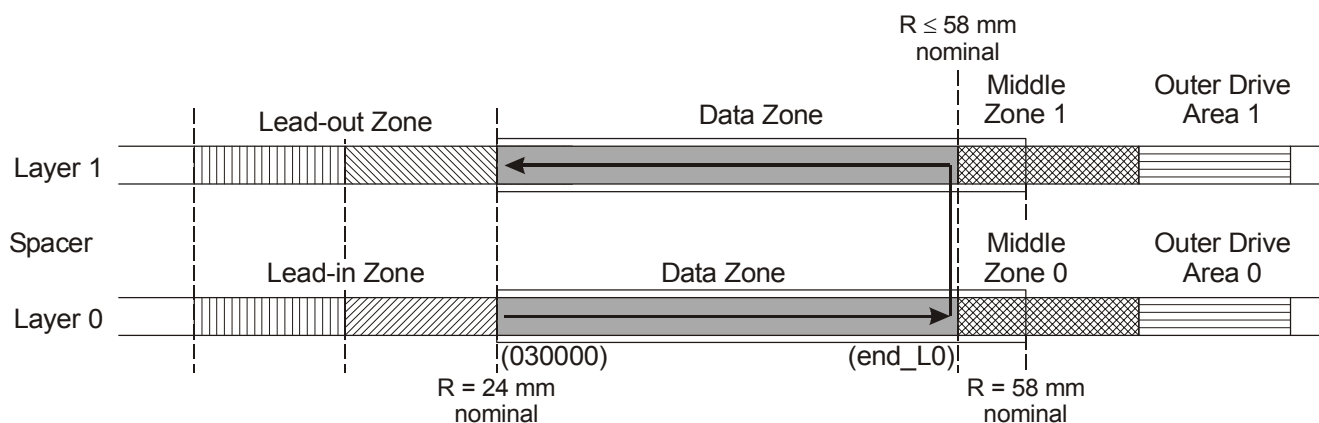


Figure 23 — Usage of the Data Zone

For the host/application the Data Zone on Layer 0 and the Data Zone on Layer 1 shall be treated as one contiguous Data Zone (see Figure 24 and clause 22). At the moment the ECC Block located at address  $(\overline{\text{end\_L0}})$  in the Data Zone on Layer 1 has to be recorded, at least 4 ECC Blocks in the Middle Zone 1 immediately preceding the Data Zone on Layer 1 shall be recorded. These ECC Blocks function as a run-in for the Data Zone on Layer 1.

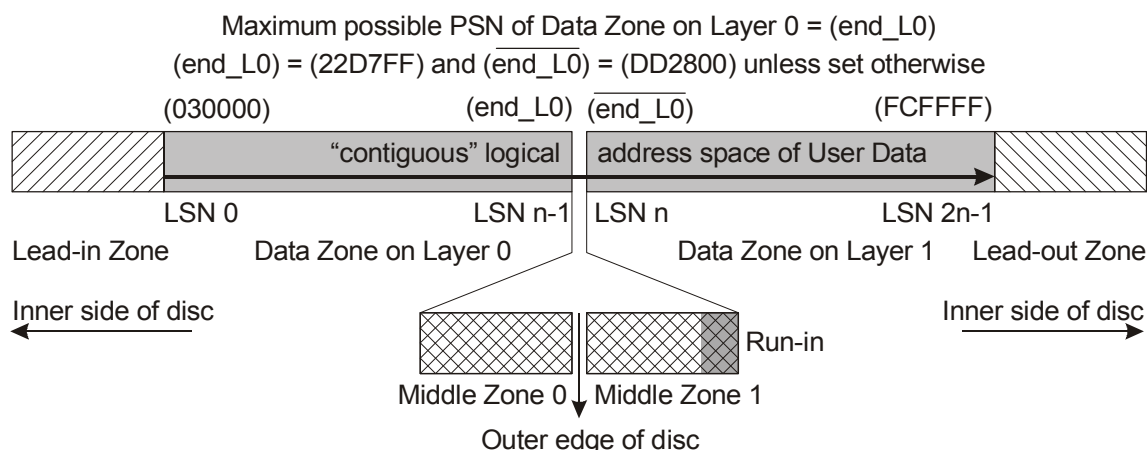


Figure 24 — Contiguity of Data Zones

## 16.2 Physical Sector Numbers (PSNs)

The PSNs on each recording layer increase by 1 for each next Physical Sector in the tracking direction on that layer (see Figure 25). The first Physical Sector of the Data Zone on Layer 0 shall have PSN (030000).

The Physical Sector Numbers on Layer 1 are derived from the Sector numbers at the same radial position on Layer 0 by inverting its bits, viz. changing from ZERO to ONE and vice versa. The highest Physical Sector Number in the Data Zone on Layer 0 ( $\overline{\text{end\_L0}}$ ) shall be such that the inverted value is a multiple of 16.

**NOTE** The Physical Sector Number of the first Physical Sector of the Data Zone is large enough so as to prevent a Physical Sector Number  $\leq 0$  to occur anywhere on the disk.

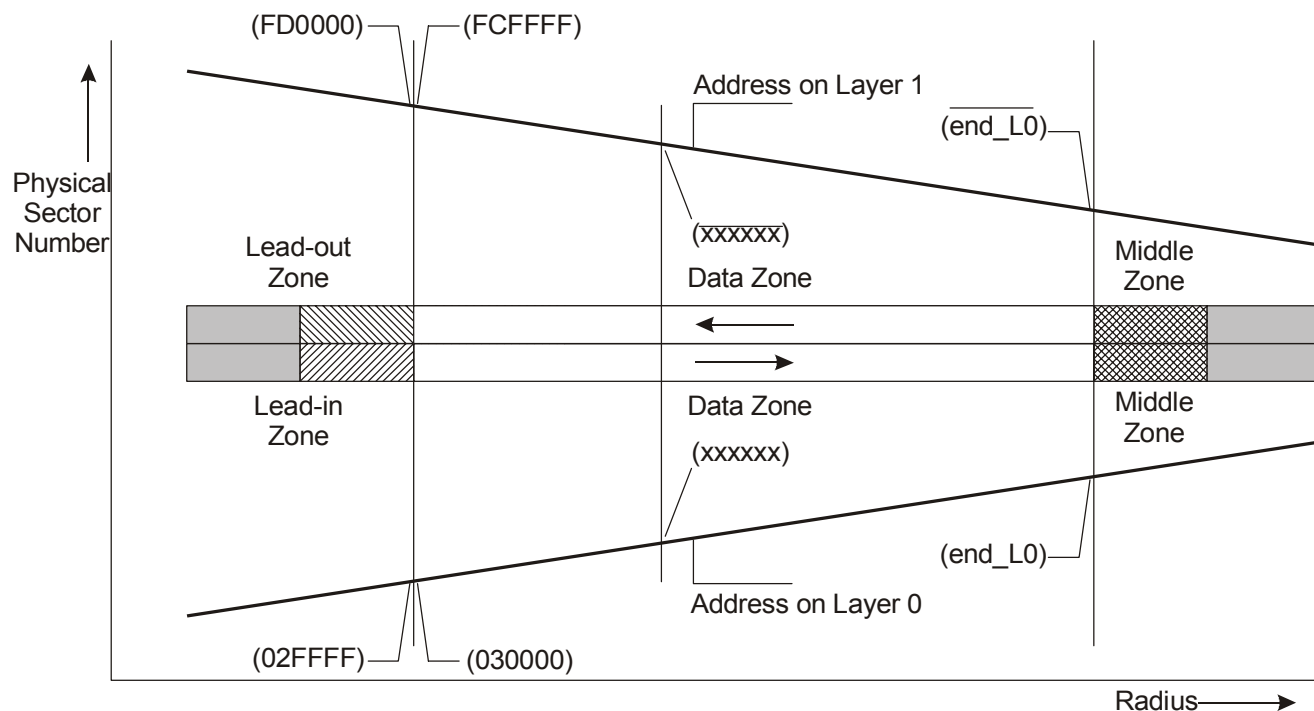


Figure 25 — Physical Sector numbering

## 17 Lead-in Zone

The Lead-in Zone is the innermost Zone of the Information Zone on Layer 0. It shall consist of the parts shown in Figure 26.

The Physical Sector Number of the first and last Physical Sector of each part is indicated in Figure 26 in hexadecimal and decimal notation and the number of Physical Sectors in each part are indicated in decimal notation.

A maiden disk does not have any data recorded in the Lead-in Zone. After finalization (see clause 23) of the disk, the Lead-in Zone shall be recorded according to 17.1 to 17.11.

### 17.1 Initial Zone

The Main Data of the Data Frames in this Zone, when recorded, shall be set to all (00). The recording in the Initial Zone shall start at radius 22,6 mm max. This International Standard does not specify the number of Physical Sectors in the Initial Zone.

**NOTE** The Physical Sector Number of the first Physical Sector of the Data Zone is large enough so as to prevent a Physical Sector Number  $\leq 0$  to occur in the Initial Zone.

### 17.2 Inner Disk Test Zone

12 288 Physical Sectors reserved for drive testing and OPC algorithm (see Annex I). This Zone shall be filled with Main Data set to (00).

### 17.3 Guard Zone 1

This Guard Zone is used as a protection for separating test writing zones from information zones containing user data. If recorded, this Zone shall be filled with Main Data set to (00). This zone shall contain 512 Physical Sectors.

Physical Sector 175 231 Physical Sector 175 232	Initial Zone all Physical Sectors with Main Data set to (00)	Physical Sector (02AC7F) Physical Sector (02AC80)
Physical Sector 187 519 Physical Sector 187 520	Inner Disk Test Zone 12 288 Physical Sectors	Physical Sector (02DC7F) Physical Sector (02DC80)
Physical Sector 188 031 Physical Sector 188 032	Guard Zone 1 512 Physical Sectors with Main Data set to (00)	Physical Sector (02DE7F) Physical Sector (02DE80)
Physical Sector 192 127 Physical Sector 192 128	Reserved Zone 1 4 096 Physical Sectors	Physical Sector (02EE7F) Physical Sector (02EE80)
Physical Sector 192 191 Physical Sector 192 192	Reserved Zone 2 64 Physical Sectors	Physical Sector (02EEBF) Physical Sector (02EEC0)
Physical Sector 192 447 Physical Sector 192 448	Inner Disk Identification Zone 256 Physical Sectors	Physical Sector (02EFBF) Physical Sector (02EFC0)
Physical Sector 192 511 Physical Sector 192 512	Reserved Zone 3 64 Physical Sectors	Physical Sector (02EFFF) Physical Sector (02F000)
Physical Sector 192 543 Physical Sector 192 544	Reference Code Zone 32 Physical Sectors	Physical Sector (02F01F) Physical Sector (02F020)
Physical Sector 193 023 Physical Sector 193 024	Buffer Zone 1 480 Physical Sectors with Main Data set to (00)	Physical Sector (02F1FF) Physical Sector (02F200)
Physical Sector 196 095 Physical Sector 196 096	Control Data Zone 3 072 Physical Sectors	Physical Sector (02FDFF) Physical Sector (02FE00)
Physical Sector 196 607 Physical Sector 196 608	Buffer Zone 2 512 Physical Sectors	Physical Sector (02FFFF) Physical Sector (030000)
	Data Zone	

Figure 26 — Lead-in Zone on Layer 0

### 17.4 Reserved Zone 1

4 096 Physical Sectors (= 256 ECC Blocks) reserved for Defect Management use. If not used all bytes shall be set to (00).

### 17.5 Reserved Zone 2

64 Physical Sectors reserved for Defect Management use. If not used all bytes shall be set to (00).

### 17.6 Inner Disk Identification Zone

256 Physical Sectors reserved for information agreed upon by the data interchange parties. Each set of 16 Physical Sectors from one ECC Block is either a Disk Control Block (DCB) (see clause 24) or recorded



with all (00) Main Data. Each ECC Block in this Zone following one recorded with all (00) Main Data shall also be recorded with all (00) Main Data.

### 17.7 Reserved Zone 3

64 Physical Sectors reserved for Defect Management use. If not used all bytes shall be set to (00).

### 17.8 Reference Code Zone

The recorded Reference Code Zone shall consist of the 32 Physical Sectors from two ECC Blocks which generate a specific Channel bit pattern on the disk. This shall be achieved by setting to (AC) all 2 048 Main Data bytes of each corresponding Data Frame. Moreover, no scrambling shall be applied to these Data Frames, except to the first 160 Main Data bytes of the first Data Frame of each ECC Block.

### 17.9 Buffer Zone 1

This Zone shall consist of 480 Physical Sectors from 30 ECC Blocks. The Main Data of the Data Frames in this Zone shall be set to all (00).

### 17.10 Control Data Zone

This Zone shall consist of 3 072 Physical Sectors from 192 ECC Blocks. The content of the 16 Physical Sectors of each ECC Block is repeated 192 times, unless specified otherwise. The structure of a Control Data Block shall be as shown in Figure 27.

Physical format information 2 048 bytes
Disk manufacturing information 2 048 bytes
Content provider information 14 × 2 048 bytes

**Figure 27 — Structure of a Control Data Block**

#### 17.10.1 Physical format information

This information shall comprise the 2 048 bytes shown in Table 8. It contains disk and format information.

**Table 8 — Physical format information**

Byte number	Content	Number of bytes
0	Disk Category and Version Number	1
1	Disk size	1
2	Disk structure	1
3	Recording density	1
4 to 15	Data Zone allocation	12
16	General Flag bits	1
17	Disk Application Code	1
18	Extended Information Indicators	1

**Table 8 — Physical format information** (*continued*)

Byte number	Content	Number of bytes
19 to 26	Disk Manufacturer ID	8
27 to 29	Media Type ID	3
30	Product revision number	1
31	number of Physical format information bytes in use in ADIP up to byte 63	1
32 to 63	Basic write strategy parameters for Layer 0	32
64 to 95	Extended Information block 0 for Layer 0	32
96 to 127	Extended Information block 1 for Layer 0	32
128 to 159	Extended Information block 2 for Layer 0	32
160 to 191	Extended Information block 3 for Layer 0	32
192 to 223	Extended Information block 4 for Layer 0	32
224 to 255	Extended Information block 5 for Layer 0	32
256	Disk Category and Version Number	1
257	Disk size	1
258	Disk structure	1
259	Recording density	1
260 to 271	Data Zone allocation	12
272	General Flag bits	1
273	Disk Application Code	1
274	Extended Information indicators	1
275 to 282	Disk Manufacturer ID	8
283 to 285	Media Type ID	3
286	Product revision number	1
287	number of Physical format information bytes in use in ADIP up to byte 63	1
288 to 319	Basic write strategy parameters for Layer 1	32
320 to 351	Extended Information block 0 for Layer 1	32
352 to 383	Extended Information block 1 for Layer 1	32
384 to 415	Extended Information block 2 for Layer 1	32
416 to 447	Extended Information block 3 for Layer 1	32
448 to 479	Extended Information block 4 for Layer 1	32
480 to 511	Extended Information block 5 for Layer 1	32
512 to 2 047	Reserved – All (00)	1 536

The information in bytes 0 to 255 are copied from the ADIP auxiliary data on Layer 0 during initialization of the disk and may be modified during use to reflect the actual status of the disk (e.g. the Data Zone allocation bytes). All 256 bytes have the same definitions and shall have the same contents as the Physical format information in the ADIP Aux Frames on Layer 0 as defined in Table 3 and 14.4.2, except the following bytes:

**Byte 1 - Disk size and maximum transfer rate**

Bits  $b_7$  to  $b_4$  same as 14.4.2

Bits  $b_3$  to  $b_0$  shall specify the maximum read transfer rate.

These bits may be set to one of the following values (depending on the maximum read-out speed needed by the application):

0000: specify a maximum transfer rate of 2,52 Mbits/s (see note at 29.3)

0001: specify a maximum transfer rate of 5,04 Mbits/s (see note at 29.3)

0010: specify a maximum transfer rate of 10,08 Mbits/s

1111: specify no maximum transfer rate is specified.

All other combinations are reserved and shall not be used.

#### Bytes 4 to 15 - Data Zone allocation

in the first 16 ECC Blocks of the Control Data Zone:

Bytes 4 to 7 same as 14.4.2

Byte 8 shall be set to (00)

Bytes 9 to 11 shall specify the Sector Number of the actual last Physical Sector of the Data Zone. Usually this field is set to the same value as in 14.4.2, however in special cases other values can be allowed (see 23.3).

Byte 12 shall be set to (00)

Bytes 13 to 15 shall specify the Sector Number of the actual last Physical Sector of the Data Zone on Layer 0 (this PSN represents the location of the layer jump, see 16.1). This value shall be  $\leq$  (22D7FF) (for 80 mm disk see Annex A).

in the remaining 176 ECC Blocks of the Control Data Zone:

Bytes 4 to 15 shall be set to the same values as in 14.4.2, or shall be set to the same values as in the first 16 ECC Blocks.

#### Byte 16 - General Flag bits

Bit  $b_7$  same as 14.4.2

Bit  $b_6$  same as 14.4.2

Bit  $b_5$  shall specify if Buffer Zone 2 in the Lead-in Zone contains VCPS related information,  
shall be set to 0, indicating no VCPS related information is present in Buffer Zone 2,  
shall be set to 1, indicating Buffer Zone 2 contains VCPS related information as defined in the VCPS System Description (see Annex O).

Bits  $b_4$  to  $b_0$  same as 14.4.2

The information in **bytes 256 to 511** have the same definitions and shall have the same contents as bytes 0 to 255 of the Physical format information in the ADIP Aux Frames on Layer 1 as defined in Table 3 and 14.4.2.

The remaining **bytes 512 to 2047** have no relation to the ADIP information and shall be set to all (00).

#### 17.10.2 Disk manufacturing information

This International Standard does not specify the format and the content of these 2 048 bytes. They shall be ignored in interchange.

### 17.10.3 Content provider information

These 28 672 bytes shall be set to all (00).

Under no circumstance may data received from the host be recorded in this field.

**Circumvention** *Recorders and recording drives shall be considered as circumvention devices when these are produced to record, or can easily be modified to record, in any manner, a user-defined number in this field.*

### 17.11 Buffer Zone 2

This recorded Zone shall consist of 512 Physical Sectors from 32 ECC Blocks. During use of the disk VCPS related information may be recorded to these 32 ECC Blocks, according to the specifications given in the VCPS System Description (see Annex O). If no VCPS related information is copied to these locations then the Main Data of the Data Frames in this Zone shall be set to all (00).

## 18 Data Zone

Two times 2 086 912 Physical Sectors for the storage of user data (for 80 mm disk see Annex A).

The start radius of the Data Zone on Layer 0 and Layer 1 is determined by the location of Physical ADIP Address (00C000) and (F74A00) respectively and the maximum/minimum end radius is determined by the location of Physical ADIP Address (08B600) and (FF4000) respectively (see 14.4.1.1, bit 2 to 23 and 13.7.1).

## 19 Middle Zones

Both layers have a Middle Zone located at the outer side of the disk. They shall consist of the parts specified in Figure 28 and Figure 29. The Physical Sector Number of the first and the last Physical Sector of each part is indicated in Figure 28 and Figure 29 in hexadecimal and decimal notation and the number of Physical Sectors in each part is indicated in decimal notation. (for 80 mm disk see Annex A)

The Middle Zones shall be located opposite each other, meaning that the end address of the Middle Zone on Layer 1 (the most inner PSN) is the inverse of the start address of the Middle Zone on Layer 0 (also the most inner PSN).

When Layer 0 has been fully recorded (user data or formatting), then both Middle Zone 0 on Layer 0 and Middle Zone 1 on Layer 1 shall be recorded before ejecting the disk from the drive.

When the formatting on Layer 1 (see 23.2.2.2) is completed before Layer 0 is fully recorded, then a (partial) Middle Zone 1 of at least 4 ECC Blocks shall be recorded on Layer 1 (see also 16.1 and 24.2 byte D<sub>46</sub>).

Physical Sector 2 283 519 max	Data Zone on Layer 0	Physical Sector (22D7FF) max
Physical Sector 2 283 520 max	Buffer Zone 3	Physical Sector (22D800) max
Physical Sector 2 284 287 max	768 Physical Sectors with Main Data set to (00)	Physical Sector (22DAFF) max
Physical Sector 2 284 288 max	Reserved Zone 4	Physical Sector (22DB00) max
Physical Sector 2 284 543 max	256 Physical Sectors with Main Data set to (00)	Physical Sector (22DBFF) max
Physical Sector 2 284 544 max	Guard Zone 2	Physical Sector (22DC00) max
Physical Sector 2 288 639	min 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (22EBFF)
Physical Sector 2 288 640	Outer Drive Area	Physical Sector (22EC00)

Figure 28 — Middle Zone on Layer 0

Physical Sector 14 488 575	Outer Drive Area	Physical Sector (DD13FF)
Physical Sector 14 488 576	Guard Zone 2	Physical Sector (DD1400)
Physical Sector 14 492 671 min	min 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (DD23FF) min
Physical Sector 14 492 672 min	Reserved Zone 4	Physical Sector (DD2400) min
Physical Sector 14 492 927 min	256 Physical Sectors with Main Data set to (00)	Physical Sector (DD24FF) min
Physical Sector 14 492 928 min	Buffer Zone 3	Physical Sector (DD2500) min
Physical Sector 14 493 695 min	768 Physical Sectors with Main Data set to (00)	Physical Sector (DD27FF) min
Physical Sector 14 493 696 min	Data Zone on Layer 1	Physical Sector (DD2800) min

Figure 29 — Middle Zone on Layer 1

### 19.1 Buffer Zones 3

These recorded Zones shall consist of 768 Physical Sectors. The outermost possible start location of Buffer Zone 3 is (22D800) on Layer 0 and (DD2500) on Layer 1 (for 80 mm disk see Annex A). The Main Data of the Data Frames in this Zone shall be set to all (00).

### 19.2 Reserved Zones 4

256 Physical Sectors are reserved and shall be set to (00).

### 19.3 Guard Zones 2

These Guard Zones are used as a protection for separating test writing zones from information zones containing user data. They also provide for a recorded area on the opposite layer, at any position within the Data Zone of each layer (to cope with radial misalignments of inner and outer diameters of the Data Zones) (for 80 mm disk see Annex A).

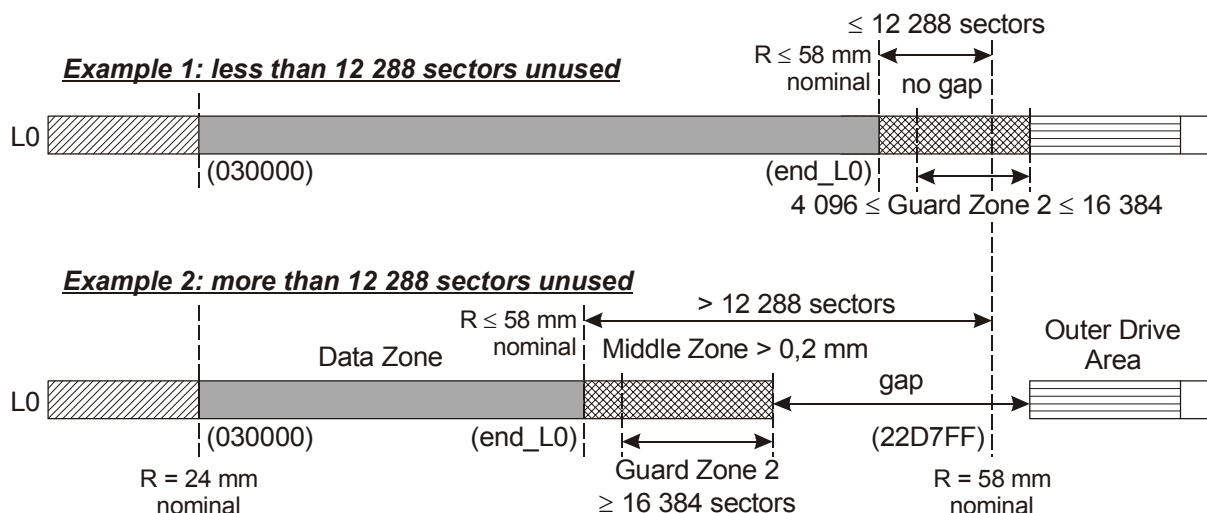
The Guard Zones 2 shall be filled with Main Data set to (00).

If the full storage capacity (2 086 912 sectors) of the disk is used, these zones shall contain 4 096 Physical Sectors.

If only  $2\,086\,912 - n$  sectors of the Data Zone on each layer are used (see Figure 30), while  $n \leq 12\,288$ , both Guard Zones 2 shall contain  $4\,096 + n$  Physical Sectors (no gap between Guard Zone 2 and the Outer Drive Area);

if  $n > 12\,288$ , both Guard Zones 2 shall contain at least 16 384 Physical Sectors, adjoining the Reserved Zone 4 (on each layer a gap between Guard Zone 2 and the Outer Drive Area is allowed).

In the latter case ( $n > 12\,288$ ), the Guard Zones 2 can be extended with Physical Sectors filled with Main Data set to (00) to fill up the gap up to a certain radius or up to the Outer Drive Area, or this gap can be left unrecorded. The choice for these options is left to the drive manufacturer.



**Figure 30 — Two examples of size of Guard Zone 2 on Layer 0**  
(layout on Layer 1 shall be similar)

## 20 Outer Drive Areas

Both layers have an Outer Drive Area located at the outer side of the disk. They shall consist of the parts specified in Figure 31 and Figure 32. The Physical Sector Number of the first and the last Physical Sector of each part is indicated in Figure 31 and Figure 32 in hexadecimal and decimal notation and the number of Physical Sectors in each part is indicated in decimal notation (for 80 mm disk see Annex A).

---	Guard Zone 2 with Main Data set to (00) (gap)	---
Physical Sector 2 288 640	Reserved Zone 5 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (22EC00)
Physical Sector 2 292 735		Physical Sector (22FBFF)
Physical Sector 2 292 736	Outer Disk Test Zone 12 288 Physical Sectors	Physical Sector (22FC00)
Physical Sector 2 305 023		Physical Sector (232BFF)
Physical Sector 2 305 024	Guard Zone 3 nominal 22 096 Physical Sectors with Main Data set to (00)	Physical Sector (232C00)
---		---

**Figure 31 — Outer Drive Area on Layer 0**

---	Guard Zone 3 nominal 22 096 Physical Sectors with Main Data set to (00)	---
Physical Sector 14 472 191 Physical Sector 14 472 192		Physical Sector (DCD3FF) Physical Sector (DCD400)
Physical Sector 14 484 479 Physical Sector 14 484 480	Outer Disk Test Zone 12 288 Physical Sectors	Physical Sector (DD03FF) Physical Sector (DD0400)
Physical Sector 14 488 575	Reserved Zone 5 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (DD13FF)
---	(gap) Guard Zone 2 with Main Data set to (00)	---

Figure 32 — Outer Drive Area on Layer 1

### 20.1 Reserved Zone 5

4 096 Physical Sectors are reserved and shall be set all (00).

### 20.2 Outer Disk Test Zone

12 288 Physical Sectors reserved for drive testing and OPC algorithm (see Annex I). This Zone shall be filled with Main Data set to (00) (for 80 mm disk see Annex A).

### 20.3 Guard Zone 3

This Zone shall be filled with Main Data set to (00) or may be left unrecorded.

## 21 Lead-out Zone

The Lead-out Zone is located at the inner side of the disk on Layer 1. The minimum length of the Lead-out Zone shall be about 50 048 sectors, ranging from address (FD0000) at radius 24,0 mm nominal to address (FDC380) at radius 22,6 mm maximum, all recorded with Main Data set to (00).

The Lead-out Zone shall consist of the parts specified in Figure 33.

The Physical Sector Number of the first and the last Physical Sector of each part is indicated in Figure 33 in hexadecimal and decimal notation and the number of Physical Sectors in each part is indicated in decimal notation.

	Data Zone	
Physical Sector 16 580 607 Physical Sector 16 580 608		Physical Sector (FCFFFF) Physical Sector (FD0000)
Physical Sector 16 589 695 Physical Sector 16 589 696	Buffer Zone 4 9 088 Physical Sectors with Main Data set to (00)	Physical Sector (FD237F) Physical Sector (FD2380)
Physical Sector 16 601 983 Physical Sector 16 601 984	Inner Disk Test Zone 12 288 Physical Sectors	Physical Sector (FD537F) Physical Sector (FD5380)
	Final Zone all Physical Sectors with Main Data set to (00)	

Figure 33 — Lead-out Zone on Layer 1

### 21.1 Buffer Zone 4

This Zone shall consist of 9 088 Physical Sectors. The Main Data of the Data Frames in this Zone shall be set to all (00).

### 21.2 Inner Disk Test Zone

12 288 Physical Sectors reserved for drive testing and OPC algorithm (see Annex I). This Zone shall be filled with Main Data set to (00).

### 21.3 Final Zone

The Main Data of the Data Frames in this Zone, when recorded, shall be set to all (00). The recording in the Final Zone shall end at radius 22,6 mm max. This International Standard does not specify the number of Physical Sectors in the Final Zone.

## 22 Assignment of Logical Sector Numbers (LSNs)

Logical Sector Numbers (LSNs) shall be assigned contiguously increasing by one from LSN 0, starting from the first PSN (030000) to PSN (end\_L0) on Layer 0 and then continuing uninterrupted from PSN (end\_L0) to PSN (FCFFFF) on Layer 1 (see Figure 24 and also Annex J).

## 23 Formatting

The disk shall be considered fully formatted if all areas in the Information Zone have been recorded. The Main data bytes in the ECC blocks can contain relevant data or can be set to dummy data (all bytes (00)). All ECC blocks, including those with dummy data, shall comply with clause 13.

The disk shall be considered partially formatted if at least the Inner Disk Test Zone, the Guard Zone 1, the Reserved Zone 1, the Reserved Zone 2, the Inner Disk Identification Zone, the Reserved Zone 3, the Reference Code Zone, the Buffer Zone 1, the Control Data Zone and the Buffer Zone 2 in the Lead-in Zone and the Inner Disk Test Zone and the Buffer Zone in the Lead-out Zone have been recorded.

To indicate the status of the disk, the Inner Disk Identification Zone shall contain Formatting Disk Control Blocks (FDCBs) (see 24.2).

NOTE To enable data retrieval by Read-Only drives, the disk shall be formatted or recorded sequentially.

Formatting can be done in two different ways:

**1) Pre-formatting**, which is the conventional way of formatting used for many storage media. After the pre-formatting process, the disk is fully formatted. User Data shall not be recorded to the disk until the pre-formatting process is complete.

This process consists of the following steps:

- write Lead-in Zone
- write Data Zone on Layer 0
- write Middle Zones 0/1
- write Data Zone on Layer 1
- write Lead-out Zone
- verify the Data Zone (optional)

**2) Background formatting**, which is a formatting process that runs in the background during use of the disk on a recorder. After the Background formatting process, the disk is fully formatted. User Data may be



recorded to the disk during the Background formatting process. The disk may be interchanged at any time after the first step.

This process consists of the following steps:

- Initialization
- De-icing
- Finalization
- Verification

Initialization shall always be applied to a maiden disk, while the other steps are optional.

A third way of using the disk is

**3) Sequential recording without formatting**, where the disk is recorded by appending data to the end of the Data Zone.

### 23.1 Pre-formatting

If Pre-formatting is applied, this shall be done before any User Data is recorded onto the disk. The Inner Disk Identification Zone shall contain FDCBs according to 24.2 indicating pre-formatting in progress. All other Zones shall be recorded according to clause 17, 18, 19, 20 and 21.

After fully formatting the disk and before ejecting the disk, the drive shall update the FDCBs.

#### 23.1.1 Verification

Optionally the Data Zone can be certified. During this process every ECC Block in the Data Zone is checked for correctness.

### 23.2 Background formatting

Because the Pre-formatting process can be rather time consuming, and the user may want to use a blank disk immediately, Background formatting can be used instead of Pre-formatting. During the Background formatting process only a minimum amount of data will be recorded onto the disk, after which the disk can be used by the application. A disk on which a Background formatting process is active, may be formatted further by the recorder in the background during the moments that the application is not accessing the disk. Recording of User Data into previously unrecorded areas shall be considered formatting of that area.

#### 23.2.1 Initialization

It is recommended that the Background formatting process starts with recording the Inner Disk Test Zone, the Guard Zone 1, the Reserved Zone 1, the Reserved Zone 2, the Inner Disk Identification Zone, the Reserved Zone 3, the Reference Code Zone, the Buffer Zone 1, the Control Data Zone and the Buffer Zone 2 in the Lead-in Zone (see Table 6) and the Inner Disk Test Zone and the Buffer Zone 4 in the Lead-out Zone (see Table 7). In any case, these areas shall be recorded before the disk is ejected.

The Inner Disk Identification Zone shall contain FDCBs according to the definitions in 24.2.

All other Zones shall be recorded according to clause 17.

Optionally the Middle Zone 0 and Middle Zone 1 can be recorded.

After initialization the disk can be released for the application.

#### 23.2.2 De-icing

De-icing is the process of recording all ECC blocks in the Data Zone. During the De-icing phase, unrecorded areas in the Data Zone shall be filled with ECC blocks containing all (00) bytes or with User Data when requested.

All recorded areas shall be registered in the Formatting bitmap of a Formatting Disk Control Block (FDCB) in the Inner Disk Identification Zone. During the time intervals when the drive is idle, the De-icing process, controlled by the drive, can proceed in the background. When the application requests disk access, the De-icing process is suspended and the control of the disk is returned to the application. Application requested writes to previously unrecorded areas shall be registered in the FDCB. During background De-icing the drive should keep the FDCBs updated. When an eject is requested during background De-icing, the drive shall update the FDCBs before ejecting the disk.

To facilitate data retrieval by Read-Only devices from a disk that is sequentially filled up with User Data starting on Layer 0 (which is the usual case), it is required to have Layer 1 recorded up till at least the same radius as Layer 0. To reach such a disk status, several procedures could be followed. In 23.2.2.1 and 23.2.2.2, two examples for such procedures are given.

NOTE in case of Random Recording (non-continuous / non-sequential user data recording), data retrieval by Read-Only devices can only be expected to be feasible if all unrecorded areas up till the outermost user data recordings have been filled up with dummy data before the disk is ejected from the recorder.

#### **23.2.2.1 Example 1 of De-icing procedure**

In this example the Background formatting is performed piece-by-piece on Layer 0 and Layer 1 alternately. See the example in Figure 34, where the Background formatting starts on Layer 1 (1), then writes a piece on Layer 0 (2), goes back to Layer 1 (3), et cetera (4 on Layer 0, 5 on Layer 1, 6 on Layer 0, 7 on Layer 1).

When the drive now receives a request to write User Data (8 in the example), the Background formatting will be interrupted and this User Data recording will get priority.

After such host intervention the Background formatting should first level up the recordings on both layers (9 in the example). After that, the Background formatting proceeds with the piece-by-piece recording of both layers alternately (10 on Layer 1, 11 on Layer 0, etc.).

Because too small chunks of Background Formatting will result in many layer jumps and too large chunks might result in longer waiting times at eject, the number of ECC Blocks for the piece-wise Background formatting should be optimized for each recorder. A guide value for the number of ECC Blocks in each chunk is 512 (corresponding to 5 seconds at 2,4 x recording speed).

The Last Written/Forwards-formatted Address (LWA) and the First Backwards-formatted Address (FBA, only used on Layer 1), both contained in the FDCBs (see 24.2), shall be used to indicate the progress status of the Background formatting process.

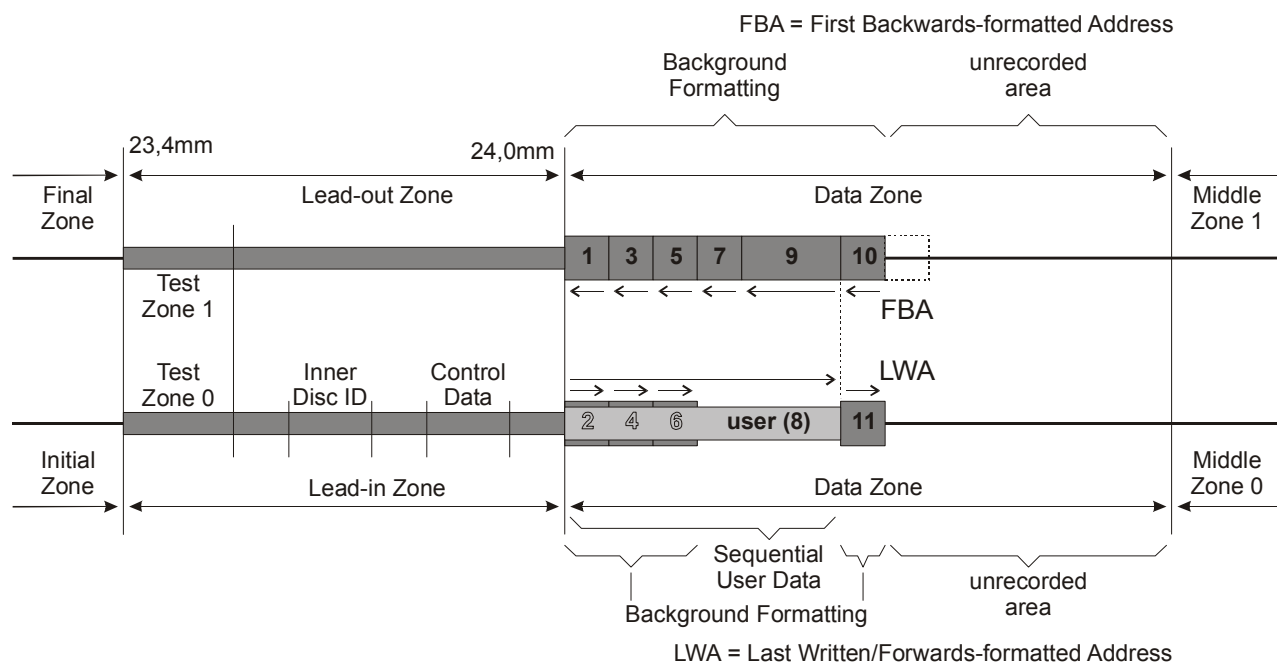


Figure 34 — Example 1 of Background Formatting

### 23.2.2.2 Example 2 of De-icing procedure

In this example first the Background formatting on Layer 1 is performed in a piece-by-piece way, working from the inner side of the disk towards the outer side. See the example in Figure 35, where the Background formatting starts on Layer 1 (1, 2, 3, 4).

When the drive now receives a request to write User Data (5 in the example), the Background formatting will be interrupted and this User Data recording will get priority.

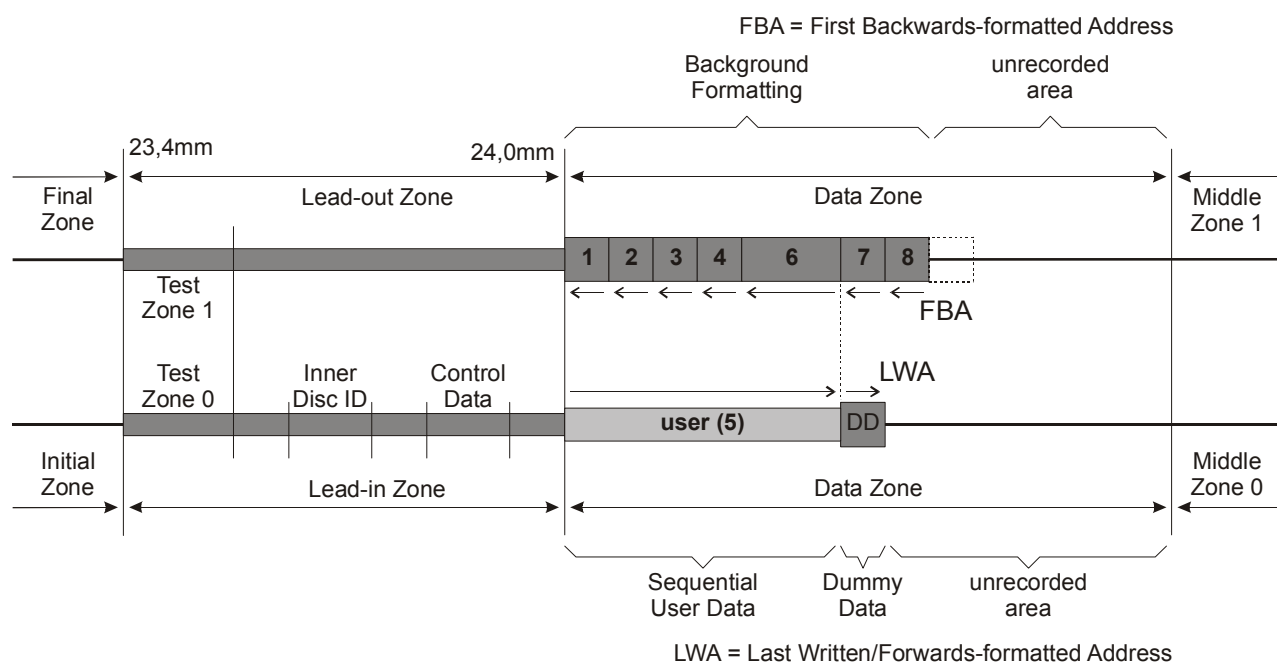


Figure 35 — Example 2 of Background Formatting

After such host intervention the Background formatting should proceed on Layer 1 with leveling up the recordings on both layers (6 in the example). After that, the Background formatting proceeds with the piece-by-piece recording of Layer 1 (7, 8, etc.).

Once Layer 1 has been fully formatted, Layer 0 can be further filled by the formatting process, which can be performed in the normal sequential way of recording.

Because too small chunks of Background Formatting will result in many jumps and too large chunks might result in longer waiting times at eject, the number of ECC Blocks for the piece-wise Background Formatting should be optimized for each recorder. A guide value for the number of ECC Blocks in each chunk is 512 (corresponding to about 5 seconds at 2,4x recording speed).

The Last Written/Forwards-formatted Address (LWA) and the First Backwards-formatted Address (FBA, only to be used on Layer 1), both contained in the FDCBs (see 24.2), shall be used to indicate the progress status of the Background formatting process.

To further improve the capabilities of Read-Only devices to retrieve data from a partially formatted disk, it is recommended to extend the User Data recordings on Layer 0 by at least 64 ECC Blocks containing dummy data before the disk is ejected from the drive, such to guarantee some run-out area.

**NOTE** As it is not always sure that Layer 1 does not contain User Data outside the maximum recorded radius of Layer 0, it is recommended to fill up Layer 0 until the same outer radius before the disk is ejected, if one is aiming at the best possible data retrieval capabilities for Read-Only devices. As long as the disk is not fully formatted this might extend the eject time.

### **23.2.3 Finalization**

When the De-icing process has finished and all areas in the Data Zone have been recorded, the drive shall add the Middle Zones according to clause 19 and the Outer Drive Areas according to clause 20.

The Lead-in Zone shall be finished by adding the Initial Zone according to clause 17 and the Lead-out Zone shall be finished by adding the Final Zone according to clause 21.

### **23.2.4 Verification (optional)**

Verification is the process of reading and checking all ECC blocks in the Data Zone. If an ECC block is found unreliable, this block can be replaced using a Defect Management system (see clause 3).

The Last Verified Address (LVA) pointer in the Formatting Disk Control Blocks (FDCBs) in the Inner Disk Identification Zone shall register the area that has been checked. During the time intervals when the disk is idle, the Verification process, controlled by the drive, can proceed in the background. When the application requests disk access, the Verification process is suspended and the control of the disk is returned to the application. During background Verification the drive should keep the FDCBs updated. When an eject is requested during background Verification, the drive shall update the FDCBs before ejecting the disk.

## **23.3 Sequential recording without formatting**

If the disk is used for contiguously sequential recording only, a Dummy Zone immediately following the last recorded User Data should be recorded before ejecting the disk. The host/application can write additional data to the disk by overwriting the Dummy Zone with User Data immediately followed by a new Dummy Zone.

During recording on Layer 0 the host/application can adapt the layer jump position and then continue recording on Layer 1 as described in 16.1. However after starting recording on Layer 1, the layer jump position shall be definite, and both Middle Zones shall be recorded before the disk is ejected from the drive.

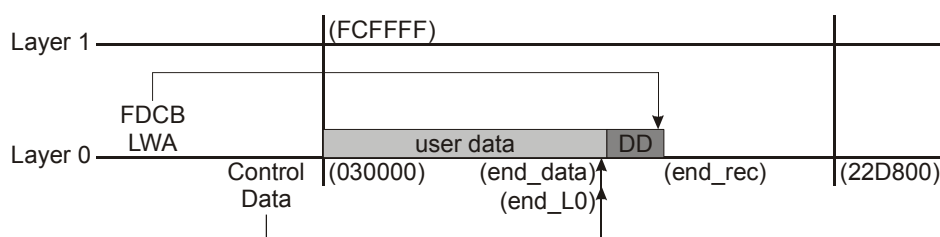
When a disk is going to be used for sequential recording without formatting, it shall be initialized in the same way as for Background formatting (see 23.2.1) and as long as there are unrecorded areas, the FDCB shall be used in the same way as during the De-icing process (see 23.2.2).

The Formatting status in the FDCBs (see 24.2) shall be set to “partially formatted”, the Last Written Address shall be set to the last PSN of the last contiguously recorded ECC Block (*end\_rec*, including the Dummy Zone). The LWA shall continue on Layer 1 as indicated in Figure 36. All other bits shall be set to their relevant meaning.

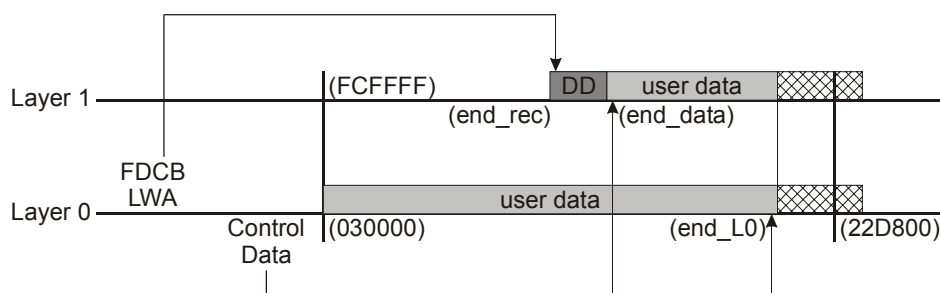
Following bytes in the Control Data Zone relating to the Data Zone allocation (see 17.10.1) shall be set to:

- if the user recordings end on Layer 0:  
 bytes 9 to 11: shall be set to the last PSN of the actual last ECC Block containing User Data supplied by the host/application (*end\_data*, not including the Dummy Zone),  
 bytes 13 to 15: shall be set to the last PSN of the actual last ECC Block containing User Data supplied by the host/application (*end\_L0* = *end\_data*, not including the Dummy Zone);
- if the user recordings extend beyond the layer jump onto Layer 1:  
 bytes 9 to 11: shall be set to the last PSN of the actual last ECC Block containing User Data supplied by the host/application (*end\_data*, not including the Dummy Zone),  
 bytes 13 to 15: shall be set to the last PSN of the Data Zone on Layer 0 (*end\_L0*, identifying the location of the layer jump).

*Recordings end on Layer 0*



*Recordings end on Layer 1*



**Figure 36 — Example of Sequential recording**

The Dummy Zone shall fulfil the following rules:

- Bits  $b_{27}$  to  $b_{26}$  in the ID field of the Data Frames in the Dummy Zone shall be set to ZERO ZERO, identifying the Dummy Zone as if it was Data Zone.
- The length of the Dummy Zone shall be at least 64 ECC Blocks.  
 For optimum compliance with ISO/IEC 16448 the rules as given in Table 9 should be followed (for 80 mm disk see Annex A).

**Table 9 — Length of Dummy Zone on Layer 0**

<b>Length of the Recorded part of the Data Zone on Layer 0</b> (end radius)	<b>End of the Dummy Zone on Layer 0</b> (radius)
less than 34,0 mm	35,0 mm min.
34,0 mm to 57,5 mm	end radius Data Zone + 1,0 mm min.
57,5 to 58,0 mm	58,5 mm

- The Dummy Zone should be filled with all Main Data (00).
- It is allowed to have an unrecorded area between the end of the Dummy Zone and Buffer Zone 3 located at radius 58 mm.

To prevent Read-Only devices from landing in possibly unrecorded data areas, Annex K gives some recommendations how to move over from Layer 0 to Layer 1 and vice-versa.

## 24 Disk Control Blocks

Disk Control ECC Blocks are provided as a structure on the disk to include additional information for interchange between the data interchange parties. DCBs are recorded in the Inner Disk Identification Zone. All DCBs shall have the same format for the first 40 data bytes. A special DCB is defined to reflect the status of the formatting process and to hold some general information.

Each type of DCB (i.e. with some specific Content Descriptor other than (00000000), (FFFFFFFE) or (FFFFFFF)) shall occur only once in each of the Inner Disk Identification Zone.

### 24.1 General format of Disk Control Blocks

The Main Data of each Disk Control Block shall be according to Table 10.

**Table 10 — General format of each Disk Control Block**

Physical Sector of each DCB	Main Data BP	Description
0	D <sub>0</sub> to D <sub>3</sub>	Content Descriptor
0	D <sub>4</sub> to D <sub>7</sub>	Unknown Content Descriptor Actions
0	D <sub>8</sub> to D <sub>39</sub>	Drive ID
0	D <sub>40</sub> to D <sub>2 047</sub>	Content Descriptor Specific
1 to 15	D <sub>0</sub> – D <sub>2 047</sub>	Content Descriptor Specific

#### Bytes D<sub>0</sub> to D<sub>3</sub> - Content Descriptor

if set to (00000000)

the DCB is unused.

The Content Descriptor of all subsequent DCBs in this Inner Disk Identification Zone shall be set to (00000000).

All remaining bytes, D<sub>4</sub> to D<sub>2 047</sub> of Physical Sector 0 and D<sub>0</sub> to D<sub>2 047</sub> of Physical Sector 1 to 15 in Table 10 shall be set to (00).

if set to (46443000) or (46443100)  
this DCB shall be as defined in 24.2

if set to (57444300)  
this DCB shall be as defined in 24.3

if set to (FFFFFFFE)  
this DCB is bad and shall not be used.

NOTE If any damaged DCB is replaced at an other location, the original location shall be overwritten with a DCB with the Content Descriptor set to (FFFFFFFE) and all remaining bytes set to (00). The List of DCBs (see 24.2, Physical Sector 0 / bytes D<sub>128</sub> to D<sub>191</sub>) shall be updated accordingly.

if set to (FFFFFFFF)

this DCB was previously used and is now available for reuse.  
All remaining bytes, D<sub>4</sub> to D<sub>2 047</sub> of Physical Sector 0 and D<sub>0</sub> to D<sub>2 047</sub> of Physical Sector 1 to 15 in Table 10 shall be set to (00).

All other values for the Content Descriptor are reserved.

Each new DCB added to the Inner Disk Identification Zone shall be written at the first unused DCB (Content Descriptor = (00000000) or (FFFFFFFF)).

#### Bytes D<sub>4</sub> to D<sub>7</sub> – Unknown Content Descriptor Actions

These bits are provided to specify required actions when the content and use of the DCB are unknown to the drive (i.e. the content descriptor is not set to a known assigned value). These bytes form a field consisting of 32 individual bits.

Bits b<sub>31</sub> to b<sub>4</sub> Reserved  
These bits shall be set to all ZERO.

Bit b<sub>3</sub> DCB overwrite  
if set to ONE, modifying the current DCB shall not be allowed,  
else it shall be set to ZERO.

Bit b<sub>2</sub> Formatting  
if set to ONE, reformatting of the disk shall not be allowed,  
else it shall be set to ZERO.

Bit b<sub>1</sub> DCB read protect  
if set to ONE, the information in this DCB is meant for use by the drive only and shall not be transferred outside the drive,  
else it shall be set ZERO.

Bit b<sub>0</sub> Data Zone write  
if set to ONE, recording shall not be allowed in the Data Zone,  
else it shall be set to ZERO.

#### Bytes D<sub>8</sub> to D<sub>39</sub> Drive ID

Bytes D<sub>8</sub> to D<sub>39</sub> shall contain a unique descriptor, identifying the drive that has last written the DCB. The format of this unique drive identifier shall be as follows:

— Bytes D<sub>8</sub> to D<sub>23</sub> shall identify the manufacturer of the drive. This name shall be represented by characters from the G0 set + SPACE according to ISO/IEC 4873. Trailing bytes not used shall be set to (00).

- Bytes D<sub>24</sub> to D<sub>35</sub> shall identify the model name/type number of the drive. This model name/type number shall be represented by characters from the G0 set + SPACE according to ISO/IEC 4873. Trailing bytes not used shall be set to (00).
- Bytes D<sub>36</sub> to D<sub>39</sub> shall contain a unique serial number of the drive. The 4 bytes shall form one 32-bit binary number.

#### Bytes D<sub>40</sub> to D<sub>2 047</sub> Content Descriptor Specific

Bytes specified by the format description for the DCB with the actual Content Descriptor value.

#### Physical Sector 1 to 15: Bytes D<sub>0</sub> to D<sub>2 047</sub> Content Descriptor Specific

Bytes specified by the format description for the DCB with the actual Content Descriptor value.

### 24.2 Format of the Formatting DCB (FDCB)

The Inner Disk Identification Zone shall contain two DCBs reflecting the status of the disk, one for each layer. These FDCBs shall have the content as defined in Table 11 and Table 12.

**Table 11 — Format of the FDCB for Layer 0**

Physical Sector of ECC block	Main Data byte position	Description	number of bytes
0	D <sub>0</sub> to D <sub>3</sub>	Content Descriptor	4
0	D <sub>4</sub> to D <sub>7</sub>	Unknown Content Descriptor Actions	4
0	D <sub>8</sub> to D <sub>39</sub>	Drive ID	32
0	D <sub>40</sub> to D <sub>43</sub>	FDCB update count	4
0	D <sub>44</sub> to D <sub>47</sub>	Formatting status and mode of Layer 0	4
0	D <sub>48</sub> to D <sub>51</sub>	Last Written/forwards-formatted address	4
0	D <sub>52</sub> to D <sub>55</sub>	Last verified address of Layer 0	4
0	D <sub>56</sub> to D <sub>59</sub>	Layer 0 Bitmap Start Address	4
0	D <sub>60</sub> to D <sub>63</sub>	Layer 0 Bitmap Length	4
0	D <sub>64</sub> to D <sub>95</sub>	Disk ID	32
0	D <sub>96</sub> to D <sub>127</sub>	Application dependent	32
0	D <sub>128</sub> to D <sub>191</sub>	List of DCBs	16×4
0	D <sub>192</sub> to D <sub>2 047</sub>	Reserved and set to (00)	1 856
1 to 8	D <sub>0</sub> to D <sub>2 047</sub>	Layer 0 Formatting bitmap	8×2 048
9 to 15	D <sub>0</sub> to D <sub>2 047</sub>	Reserved and set to (00)	7×2 048



**Table 12 — Format of the FDCB for Layer 1**

Physical Sector of ECC block	Main Data byte position	Description	number of bytes
0	D <sub>0</sub> to D <sub>3</sub>	Content Descriptor	4
0	D <sub>4</sub> to D <sub>7</sub>	Unknown Content Descriptor Actions	4
0	D <sub>8</sub> to D <sub>39</sub>	Drive ID	32
0	D <sub>40</sub> to D <sub>43</sub>	FDCB update count	4
0	D <sub>44</sub> to D <sub>47</sub>	Formatting status and mode of Layer 1	4
0	D <sub>48</sub> to D <sub>51</sub>	First Backwards-formatted address	4
0	D <sub>52</sub> to D <sub>55</sub>	Last verified address of Layer 1	4
0	D <sub>56</sub> to D <sub>59</sub>	Layer 1 Bitmap Start Address	4
0	D <sub>60</sub> to D <sub>63</sub>	Layer 1 Bitmap Length	4
0	D <sub>64</sub> to D <sub>95</sub>	Disk ID	32
0	D <sub>96</sub> to D <sub>127</sub>	Application dependent	32
0	D <sub>128</sub> to D <sub>191</sub>	List of DCBs	16×4
0	D <sub>192</sub> to D <sub>2 047</sub>	Reserved and set to (00)	1 856
1 to 8	D <sub>0</sub> to D <sub>2 047</sub>	Layer 1 Formatting bitmap	8×2 048
9 to 15	D <sub>0</sub> to D <sub>2 047</sub>	Reserved and set to (00)	7×2 048

**Physical Sector 0 / bytes D<sub>0</sub> to D<sub>3</sub> – Content Descriptor**

these bytes identify the Formatting DCB and shall be set to:

(46443000), representing the characters “FD0” and the version number 0, in the FDCB for Layer 0,  
 (46443100), representing the characters “FD1” and the version number 0, in the FDCB for Layer 1.

**Physical Sector 0 / bytes D<sub>4</sub> to D<sub>7</sub> – Unknown Content Descriptor Actions**

shall be set to (0000000D) indicating that if this DCB is not known to the system, the DCB shall not be overwritten, the disk shall not be reformatted, writing to the Data Zone shall not be allowed, while transferring the DCB information from the drive to the host computer is allowed.

**Physical Sector 0 / bytes D<sub>8</sub> to D<sub>39</sub> – Drive ID**

these bytes shall contain the drive ID as specified in 24.1.

**Physical Sector 0 / bytes D<sub>40</sub> to D<sub>43</sub> – FDCB update count**

these bytes shall specify the total number of update operations of the FDCB. This field shall be set to (00000000) during the creation of the FDCB, and shall be incremented by one each time the FDCB is re-written.

**Physical Sector 0 / byte D<sub>44</sub> to D<sub>47</sub> – Formatting status and mode of Layer 0/1**

**byte D<sub>44</sub> – Formatting status flags**

bits 7 to 6	bit 5	bits 4 to 0
Layer 0/1 Formatting status	Layer 0/1 Formatting open	Reserved

bits 7 to 6:

- ZERO ZERO = the related Layer is not formatted/recorded
- ZERO ONE = the related Layer has been partially formatted/recorded
- ONE ZERO = the related Layer has been fully formatted/recorded by user
- ONE ONE = the related Layer has been fully formatted by manufacturer

bit 5:

- ZERO = the FDCB on the disk reflects the actual status of the related Layer
- ONE = the Formatting process of the drive is active and the FDCB on the disk might not reflect the actual status of the related Layer

bit 4 to 0: reserved

**byte D<sub>45</sub> – Verification status flags**

bits 7 to 6	bits 5 to 0
Verification status	Reserved

bits 7 to 6:

- ZERO ZERO = the related Layer is not verified
- ZERO ONE = the related Layer has been partially verified
- ONE ZERO = the related Layer has been fully verified by user
- ONE ONE = the related Layer has been fully verified by manufacturer

bits 5 to 0: reserved

**byte D<sub>46</sub> – Recording status flags**

bit 7	bits 6 to 5	bits 4 to 0
Lead-in / Lead-out status	Middle Zone 0/1 & Outer Drive Area status	reserved

bit 7:

for Layer 0:

- ZERO = Lead-in is recorded from address (02AC80) to address (02FFFF)
- ONE = Lead-in is fully recorded

for Layer 1:

- ZERO = Lead-out is recorded from address (FD0000) to address (FD537F)
- ONE = Lead-out is fully recorded

bits 6 to 5:

for Layer 0:

- ZERO ZERO = no Middle Zone 0 and Outer Drive Area has been recorded,
- ZERO ONE = partial Middle Zone 0 has been recorded adjoining the actual last sector of the Data Zone on Layer 0 at PSN (end\_L0),

ONE ZERO = Middle Zone 0 is recorded from address (22D800) to address (22EBFF) (see clause 19 and 23.2.1) (for 80 mm disk see Annex A),  
 ONE ONE = Middle Zone 0 and Outer Drive Area are fully recorded adjoining the actual last sector of the Data Zone on Layer 0 at (end\_L0).

for Layer 1:

ZERO ZERO = no Middle Zone 1 and Outer Drive Area has been recorded,  
 ZERO ONE = partial Middle Zone 1 has been recorded adjoining the actual first sector of the Data Zone on Layer 1 at PSN (end\_L0),  
 ONE ZERO = Middle Zone 1 is recorded from address (DD1400) to address (DD27FF) (see clause 19 and 23.2.1) (for 80 mm disk see Annex A),  
 ONE ONE = Middle Zone 1 and Outer Drive Area are fully recorded adjoining the actual first sector of the Data Zone on Layer 1 at PSN (end\_L0).

bits 4 to 0: reserved

#### byte D<sub>47</sub> – Reserved

set to (00)

#### Physical Sector 0 / bytes D<sub>48</sub> to D<sub>51</sub>

##### In the FDCB for Layer 0 – Last Written Address (LWA)

these 4 bytes shall indicate the last PSN of the last ECC Block of the contiguously recorded part of the Data Zone (continuing on Layer 1 as indicated in Figure 24, including Dummy Zone data, but not including the Middle Zone) starting from address (030000). (There shall be no unrecorded ECC Blocks between address (030000) and the LWA.) As long as the first ECC Block of the Data Zone is unrecorded, the LWA shall be set to (00000000), indicating that the LWA is not (yet) in use. After formatting has been finished, the LWA may also be set to (00000000).

##### In the FDCB for Layer 1 – First Backwards-formatted Address (FBA)

these 4 bytes shall indicate the first PSN of the first ECC Block of the contiguously recorded part of the Data Zone on Layer 1 (not including the Middle Zone) ending at address (FCFFFF). (There shall be no unrecorded ECC Blocks between the FBA and address (FCFFFF).) As long as the last ECC Block of the Data Zone is unrecorded, the FBA shall be set to (00000000), indicating that the FBA is not (yet) in use. After formatting has been finished, the FBA may also be set to (00000000).

#### Physical Sector 0 / bytes D<sub>52</sub> to D<sub>55</sub> – Last Verified Address (LVA) of Layer 0/1

For Layer 0: these 4 bytes shall indicate the last PSN of the last ECC Block of the contiguously verified part of the Data Zone on Layer 0 starting from address (030000).

For Layer 1: these 4 bytes shall indicate the last PSN of the last ECC Block of the contiguously verified part of the Data Zone on Layer 1 starting from address (end\_L0).

If not in use the LVA may also be set to (00000000).

#### Physical Sector 0 / bytes D<sub>56</sub> to D<sub>59</sub> – Bitmap Start Address (BSA)

these 4 bytes shall indicate the first PSN of the first ECC Block represented in the related Formatting bitmap.

For Layer 0 this address shall be  $\geq$  (00030000) and less than the Last Written Address.

For Layer 1 this address shall be = (end\_L0).

These bytes shall be set to (00000000) if the related layer is fully Formatted or if the Formatting Bitmap is not used.

#### Physical Sector 0 / bytes D<sub>60</sub> to D<sub>63</sub> – Bitmap Length (BML)

these 4 bytes shall indicate the number of ECC Blocks represented in the related Formatting bitmap.

For Layer 0 the BML shall be  $= (\text{end\_L0} + 1 - \text{BSA}_{\text{L0}}) / 16$ .

For Layer 1 the BML shall be  $> (\text{FBA} - \text{BSA}_{\text{L1}}) / 16$  and  $\leq (\text{FD0000} - \text{BSA}_{\text{L1}}) / 16$ .

These bytes shall be set to (00000000) if the related layer is fully Formatted or if the Formatting Bitmap is not used.

#### Physical Sector 0 / bytes D<sub>64</sub> to D<sub>95</sub> – Disk ID

these 32 bytes shall be recorded with a random, statistically unique, 256-bit binary number at initialization of the disk. Both FDCBs shall contain the same Disk ID number.

#### Physical Sector 0 / bytes D<sub>96</sub> to D<sub>127</sub> – Application dependent

this field shall consist of 32 bytes and is reserved for use by the application to store information such as specific copy protection data. If this setting is not specified by the application, the bytes shall be set to (00).

#### Physical Sector 0 / bytes D<sub>128</sub> to D<sub>191</sub> – List of DCBs

To improve the robustness and the time to access the actually valid DCBs, it is recommended to store a list representing the 16 locations of the Inner Disk Identification Zone with the Content Descriptor of the DCB contained in each of these locations. This list shall be formatted according to Table 13.

**Table 13 — Format of the List of DCBs**

Physical Sector of ECC block	Main Data byte position	Description	number of bytes
0	D <sub>128</sub> to D <sub>131</sub>	Content Descriptor of DCB in location 0	4
0	D <sub>132</sub> to D <sub>135</sub>	Content Descriptor of DCB in location 1	4
0	:	:	:
0	D <sub>(128+i×4)</sub> to D <sub>(131+i×4)</sub>	Content Descriptor of DCB in location i	4
0	:	:	:
0	D <sub>188</sub> to D <sub>191</sub>	Content Descriptor of DCB in location 15	4

#### bytes D<sub>(128+i×4)</sub> to D<sub>(131+i×4)</sub> – Content Descriptor of DCB in location i

each group of 4 bytes shall represent the Content Descriptor (see 24.1, bytes D<sub>0</sub> to D<sub>3</sub>) of the DCB in the specified location in the Inner Disk Identification Zone holding this FDCB.

Location i in the Inner Disk Identification Zone shall be the ECC Block starting with PSN (02EEC0) + i×16.

The List of DCBs shall include both FDCBs themselves. The position of the FDCBs are not restricted to locations 0 and 1. If not used the List of DCBs shall be set to all (00000000).

**Physical Sector 0 / bytes D<sub>192</sub> to D<sub>2 047</sub> – Reserved**

these bytes are reserved and shall be set to (00)

**Physical Sector 1 to 8 / bytes D<sub>0</sub> to D<sub>2 047</sub> – Formatting bitmap**

Physical Sectors 1 to 8 of the FDCBs contain a bitmap, where each bit reflects the recording status of one ECC block in the related layer. Bit 0 (the lsb) of Main Data byte D<sub>0</sub> of Sector 1 represents the first ECC block, indicated by the Bitmap Start Address, bit 1 of Main Data byte D<sub>0</sub> of Sector 1 represents the next ECC block, following the ECC Block indicated by the Bitmap Start Address, etc. All remaining bits in Physical Sectors 1 to 8 following the bitmap shall be set to ZERO.

The ECC blocks in the bitmap are identified by a sequence number  $i$ , where  $i$  starts at 0 for the ECC block at the Bitmap Start Address. Bit  $n$  of Main Data byte D <sub>$m$</sub>  of Sector  $k$  represents the  $i^{\text{th}}$  ECC block from the Bitmap Start Address:

$$i = [(k-1) \times 2\,048 + m] \times 8 + n, \text{ where } k = 1 \dots 9, m = 0 \dots 2\,047, n = 0 \dots 7$$

Address of the first Physical Sector of the  $i^{\text{th}}$  ECC block = Bitmap Start Address +  $i \times 16$ .

If the bit representing the  $i^{\text{th}}$  ECC block is set to ONE, then the  $i^{\text{th}}$  ECC block has not been recorded. If the bit representing the  $i^{\text{th}}$  ECC block is set to ZERO, then the  $i^{\text{th}}$  ECC block has been recorded.

**Physical Sector 9 to 15 / bytes D<sub>0</sub> to D<sub>2 047</sub> – Reserved**

all bytes in these sectors shall be set to (00)

**24.3 Format of the Write inhibit DCB (WDCB)**

The Inner Disk Identification Zone may optionally contain a DCB reflecting the write protect status of the disk. This WDCB shall have the content as defined in Table 14.

**Table 14 — Format of the WDCB**

Physical Sector of ECC block	Main Data byte position	Description	number of bytes
0	D <sub>0</sub> to D <sub>3</sub>	Content Descriptor	4
0	D <sub>4</sub> to D <sub>7</sub>	Unknown Content Descriptor Actions	4
0	D <sub>8</sub> to D <sub>39</sub>	Drive ID	32
0	D <sub>40</sub> to D <sub>43</sub>	WDCB update count	4
0	D <sub>44</sub> to D <sub>47</sub>	Write protect Actions	4
0	D <sub>48</sub> to D <sub>63</sub>	Reserved and set to (00)	16
0	D <sub>64</sub> to D <sub>95</sub>	WDCB Password	32
0	D <sub>96</sub> to D <sub>2 047</sub>	Reserved and set to (00)	1 952
1 to 15	D <sub>0</sub> to D <sub>2 047</sub>	Reserved and set to (00)	15×2 048

**Physical Sector 0 / bytes D<sub>0</sub> to D<sub>3</sub> – Content Descriptor**

these bytes identify the Write inhibit DCB and shall be set to (57444300), representing the characters “WDC” and the version number 0.

### Physical Sector 0 / bytes D<sub>4</sub> to D<sub>7</sub> – Unknown Content Descriptor Actions

shall be set to (0000000F) indicating that if this DCB is not known to the system, the DCB shall not be overwritten, the disk shall not be reformatted, writing to the Data Zone shall not be allowed, and transferring the DCB information from the drive to the host computer shall not be allowed.

### Physical Sector 0 / bytes D<sub>8</sub> to D<sub>39</sub> – Drive ID

these bytes shall contain the drive ID as specified in 24.1.

### Physical Sector 0 / bytes D<sub>40</sub> to D<sub>43</sub> – WDCB update count

these bytes shall specify the total number of update operations of the WDCB. This field shall be set to (00000000) during the creation of the WDCB, and shall be incremented by one each time the WDCB is re-written.

### Physical Sector 0 / byte D<sub>44</sub> to D<sub>47</sub> – Write protect Actions

These bits are provided to specify allowed and required actions. These bytes form a field consisting of 32 individual bits.

Bits b<sub>31</sub> to b<sub>8</sub> Reserved  
These bits shall be set to all ZERO.

Bit b<sub>7</sub> Write protect Change  
if set to ZERO, the Write protect Status bits b<sub>6</sub> to b<sub>0</sub> may be modified,  
  
if set to ONE and the password received from the host is the same as the WDCB Password in this DCB, the Write protect Status bits b<sub>6</sub> to b<sub>0</sub> may be modified,  
  
if set to ONE and the password received from the host is the different from the WDCB Password in this DCB, the Write protect Status bits b<sub>6</sub> to b<sub>0</sub> shall not be modified.

Bits b<sub>6</sub> to b<sub>2</sub> Reserved  
These bits shall be set to all ZERO.

Bits b<sub>1</sub>, b<sub>0</sub> Write protect Status  
if set to ONE/ONE recording in any area on the disk shall not be allowed,  
  
if set to ONE/ZERO recording in the actual User Data Area defined by an applied Defect Management System shall not be allowed,

NOTE The “User Data Area” is the part of the Data Zone meant for storing the User Data in case a Defect Management System is applied. This means that possible Sparing and Table Areas of a Defect Management System located in the Data Zone of the disk can be excluded from the write inhibition, in which case the Defect Management System can stay enabled.

if set to ZERO/ONE recording in the Data Zone on the disk (all of the area between the end of the Lead-in Zone and the start of the Lead-out Zone) shall not be allowed,

if set to ZERO/ZERO recording in all areas on the disk is allowed.

### Physical Sector 0 / bytes D<sub>48</sub> to D<sub>63</sub> – Reserved

these bytes are reserved and shall be set to (00)

**Physical Sector 0 / byte D<sub>64</sub> to D<sub>95</sub> – WDCB Password**

These bytes shall contain a user defined password consisting of up to 32 characters from the G0 set + SPACE according to ISO/IEC 4873. Trailing bytes not used shall be set to (00).

If all bytes of the WDCB Password field are set to (00), then all 32 bits of the Write protect Actions field shall be set to ZERO.

If the WDCB Password field is set to all (FF), then the disk is permanently write protected and further recording on the disk shall not be allowed. Bits  $b_7$ ,  $b_1$  and  $b_0$  of the Write protect Actions field shall be set to ONE.

**Physical Sector 0 / bytes D<sub>96</sub> to D<sub>2 047</sub> – Reserved**

these bytes are reserved and shall be set to (00)

**Physical Sector 1 to 15 / bytes D<sub>0</sub> to D<sub>2 047</sub> – Reserved**

all bytes in these sectors shall be set to (00)

**25 General**

All recordings shall occur only in grooved areas. The groove centreline is deviated from the average track centreline with a phase modulated sinewave. Physical addressing information can be decoded from this phase modulated wobble.

The format of the groove information on the disk is defined in 14.4. Clause 27 specifies the requirements for the signals from grooves, as obtained when using the Reference Drive as defined in clause 9.

**26 Method of testing****26.1 Environment**

All signals in Clause 27 shall be within their specified ranges with the disk in the test environment conditions defined in 8.1.1.

**26.2 Reference Drive**

All signals specified in Clause 27 shall be measured in the indicated channels of the Reference Drive as defined in Clause 9. The drive shall have the following characteristics for the purpose of these tests.

**26.2.1 Optics and mechanics**

The focused optical beam shall have the properties defined in 9.2 a) to i). The disk shall rotate as specified in 9.5.

**26.2.2 Read power**

The optical power incident on the read-out surface of the disk (used for reading the information) shall be  $1,2 \text{ mW} \pm 0,1 \text{ mW}$ .

**26.2.3 Read channels**

The drive shall have two read channels. Read Channel 1 gives a signal  $(I_1 + I_2)$  related to the total amount of light in the exit pupil of the objective lens. Read Channel 2 gives a signal  $(I_1 - I_2)$  related to the difference in the amount of light in the two halves of the exit pupil of the objective lens. These channels can be implemented as given in clause 9.

For measurement of the push-pull and track cross signals, the read channel signals shall be filtered by a 1<sup>st</sup> order LPF with a  $f_c$ (-3 dB) of 30 kHz.

For measurement of the wobble signal, the read channel signals shall be filtered by a 1<sup>st</sup> order Band Pass Filter with frequency range (-3 dB): 25 kHz, slope +20 dB/decade to 4,0 MHz, slope -20 dB/decade.

#### 26.2.4 Tracking

During the measurement of the signals, the axial tracking error between the focus of the optical beam and the recording layer shall not exceed 0,20  $\mu\text{m}$ ,

and the radial tracking error between the focus of the optical beam and the centre of a track shall not exceed 0,022  $\mu\text{m}$ .

#### 26.3 Definition of signals

All signals are linearly related to currents through a photo detector, and are therefore linearly related to the optical power falling on the detector.

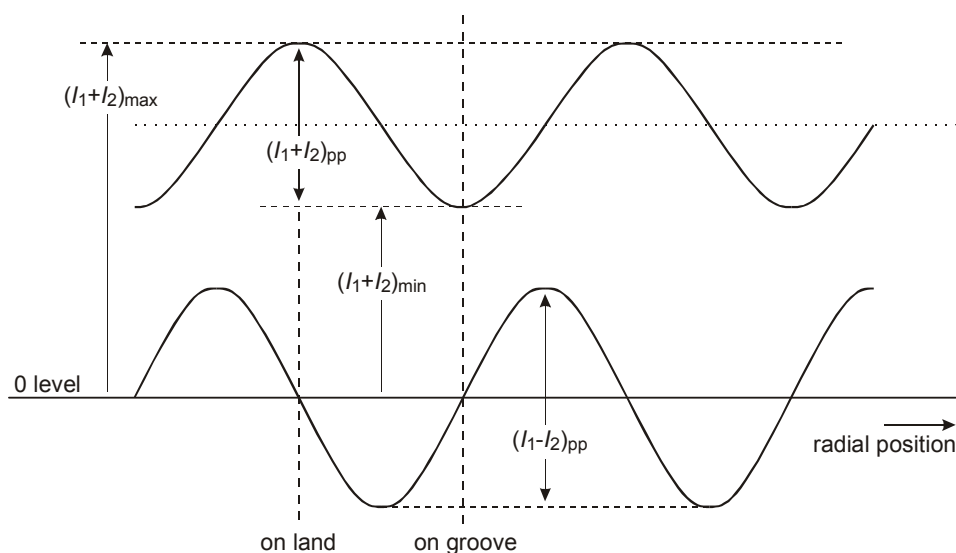


Figure 37 — Signals from grooves in the Read Channels when crossing the tracks

##### Push-pull signal

The push-pull signal is the filtered sinusoidal difference signal  $(I_1 - I_2)$  in Read Channel 2, when the focus of the optical beam crosses the tracks. The signal can be used by the drive for radial tracking.

##### Track cross signal

The track cross signal is the filtered sinusoidal sum signal  $(I_1 + I_2)$  in Read Channel 1, when the focus of the optical beam crosses the tracks.

##### Wobble signal

The wobble signal  $I_W$  is the filtered sinusoidal difference signal  $(I_1 - I_2)$  in Read Channel 2, while the drive meets the minimum tracking requirement.



## 27 Characteristics of the groove signals

### 27.1 Phase depth

The phase depth of the groove shall not exceed 90°.

### 27.2 Push-pull signal

The peak-to-peak value of the push-pull signal PP shall meet the following requirements:

$$\text{a) on both layers before recording: } 0,28 \leq \frac{(I_1 - I_2)_{pp}}{[(I_1 + I_2)_{\max} + (I_1 + I_2)_{\min}]/2} \leq 0,56$$

The max variation of the push-pull signal within one layer before recording shall be:

$$\frac{PP_{\max} - PP_{\min}}{PP_{\max} + PP_{\min}} < 0,15$$

$$\text{b) on both layers after recording: } 0,28 \leq \frac{(I_1 - I_2)_{pp}}{[(I_1 + I_2)_{\max} + (I_1 + I_2)_{\min}]/2} \leq 0,56$$

$$\text{c) Ratio of push-pull signal of unrecorded groove to push-pull signal of recorded groove within one layer shall be in the range of: } 0,75 \leq \frac{PP_{\text{before}}}{PP_{\text{after}}} \leq 1,25$$

### 27.3 Track Cross signal

The Track Cross signal for the unrecorded disk is not specified.

### 27.4 Normalized wobble signal

The deviation from the track centreline shall be measured by the normalized wobble signal. The amount of distance that the centre of the wobble groove deviates from the average track centreline can be calculated according to Annex L.

The wobble signal shall be measured in an empty track during the monotone wobble part, at locations where the amplitude is not enhanced due to the positive interference of the wobble from adjacent tracks and where the other layer is unrecorded.

The normalized wobble signal shall be

$$0,20 \leq \frac{I_{W,pp-\min}}{(I_1 - I_2)_{pp}} \leq 0,30$$

At locations where the amplitude of the wobble signal is enhanced due to the positive interference of the wobble from adjacent tracks, the maximum wobble signal shall be

$$\frac{I_{W,pp-\max}}{I_{W,pp-\min}} \leq 2,6$$

## 27.5 Characteristics of the wobble

The average Narrow band SNR of the wobble signal before recording shall be greater than 45 dB. The measurement shall be made using a resolution bandwidth of 1 kHz. At the location of the measurement the other layer shall be unrecorded.

The average Narrow band SNR of the wobble signal after recording shall be greater than 38 dB. The measurement shall be made using a resolution bandwidth of 1 kHz. At the location of the measurement the other layer shall be recorded.

## 28 Method of testing

The format of the information on the disk is defined in clause 13. Clause 29 specifies the requirements for the signals from recorded marks, as obtained when using the Reference Drive as defined in clause 9.

This clause 29 specifies the average quality of the rewritable information. Local deviations from the specified values, called defects, can cause tracking errors or errors in the Data fields. These errors are covered by Clause 31 and Clauses 32 and following.

### 28.1 Environment

All signals in 29.2.2 to 29.2.5 shall be within their specified ranges with the disk in the test environment conditions defined in 8.1.1.

### 28.2 Reference Drive

All signals specified in 29.2.2 to 29.2.5 shall be measured in the indicated channels of the Reference Drive as defined in clause 9. The drive shall have the following characteristics for the purpose of these tests.

#### 28.2.1 Optics and mechanics

The focused optical beam shall have the properties defined in 9.2 a) to i). The disk shall rotate as specified in 9.5.

#### 28.2.2 Read power

The optical power incident on the read-out surface of the disk (used for reading the information) shall be  $1,2 \text{ mW} \pm 0,1 \text{ mW}$ .

#### 28.2.3 Read channels

The drive shall have two read channels. Read Channel 1 gives a signal  $(I_1 + I_2)$  related to the total amount of light in the exit pupil of the objective lens. Read Channel 2 gives a signal  $(I_1 - I_2)$  related to the difference in the amount of light in the two halves of the exit pupil of the objective lens. These channels can be implemented as given in clause 9.

For measurement of the push-pull and track cross signals, the read channel signals shall be filtered by a 1<sup>st</sup> order LPF with a  $f_c$  (-3 dB) of 30 kHz.

The signal from Read channel 1 is not equalized except when measuring jitter. The threshold level for binarizing the read signal shall be controlled to minimize the effects of mark and space size changes due to parameter variations during writing. Jitter measurements shall be made using the Read Channel 1 with the characteristics in Annex E.

#### 28.2.4 Tracking

During recording and during the measurement of the signals, the axial tracking error between the focus of the optical beam and the recording layer shall not exceed 0,20  $\mu\text{m}$ ,

and the radial tracking error between the focus of the optical beam and the centre of a track shall not exceed 0,022  $\mu\text{m}$  when running at the Reference velocity and shall not exceed 0,045  $\mu\text{m}$  when running at a higher velocity.

NOTE At high recording or playback velocities, advanced servo systems might be needed to achieve tracking errors below these maximum values.

#### 28.2.5 Scanning velocity

All write tests are performed at each of the recording velocities defined in 14.4.2. The tests shall be performed with the related write strategy.

All read tests are performed at the Reference velocity.

### 28.3 Write conditions

Marks and spaces are written on the disk by pulsing a laser.

#### 28.3.1 Write pulse waveform

The laser power is modulated according to one of the write pulse waveform given in Annex G.

A 3T to 14T is written by applying a multiple-pulse train of write pulses.

The recording power has three levels: the Write power ( $P_w$ ), the Erase power ( $P_e$ ), and the Cooling power ( $P_c$ ), which are the optical powers incident at the entrance surface of the disk and used for writing marks and spaces. The values of these power levels shall be optimized according to Annex I.

The actual recording powers,  $P_w$ ,  $P_e$ , and  $P_c$  shall be within 5 % of their optimum values.

#### 28.3.2 Write power

The optimized recording powers,  $P_{wo}$ ,  $P_{eo}$  and  $P_{co}$  shall meet the following conditions

on Layer 0 at 2,4x recording speed for the N-1 strategy as defined in 14.4.2.2:

$$20,0 \text{ mW} \leq P_{wo} \leq 45,0 \text{ mW}$$

$$4,0 \text{ mW} \leq P_{eo} \leq 22,0 \text{ mW}$$

$$0,1 \text{ mW} \leq P_{co} \leq 0,7 \text{ mW}$$

on Layer 1 at 2,4x recording speed for the N/2 strategy as defined in 14.4.2.3.1:

$$20,0 \text{ mW} \leq P_{wo} \leq 45,0 \text{ mW}$$

$$4,0 \text{ mW} \leq P_{eo} \leq 22,0 \text{ mW}$$

$$0,1 \text{ mW} \leq P_{co} \leq 0,7 \text{ mW}$$

### 28.3.3 Write power shift for layer L1 due to recording on layer L0

Depending on the recorded/unrecorded status of layer L0, the optimum write power for layer L1 might exhibit some difference. This difference shall fulfil the following requirement:

$$0,95 \leq \frac{P_{W_{onL1,unrecL0}}}{P_{W_{onL1,recL0}}} \leq 1,05$$

## 28.4 Measurement conditions

The test for jitter shall be carried out on any group of five adjacent tracks, designated (m-2), (m-1), m, (m+1), (m+2), in the Information Zone of the disk. The jitter shall be measured on recordings made at all velocities specified in 14.4.2.2, byte 32, and in the Extended Information blocks defined under 14.4.2.3.

For measurement of jitter the system described in Annex E shall be used.

The Jitter shall be measured according to the following procedure:

Write random data on all five tracks 10 times each as specified in 28.3.1.

Read the data of track m under the conditions specified in 28.2.

## 29 Characteristics of the recorded signals

The following signals shall be measured, after recording with the write conditions as specified in 28.3.1.

### 29.1 Channel bit length

The average Channel bit length over each RUN shall be

$$146,6 \text{ nm} \pm 1,4 \text{ nm}$$

### 29.2 Definition of signals

All signals are linearly related to currents through a photo-diode detector, and are therefore linearly related to the optical power falling on the detector.

#### 29.2.1 High frequency signals (HF)

The HF signal is obtained by summing the currents of the four elements of the photo detector as generated in Read Channel 1. These currents are modulated by the effects of the marks and spaces representing the information on the recording layer.

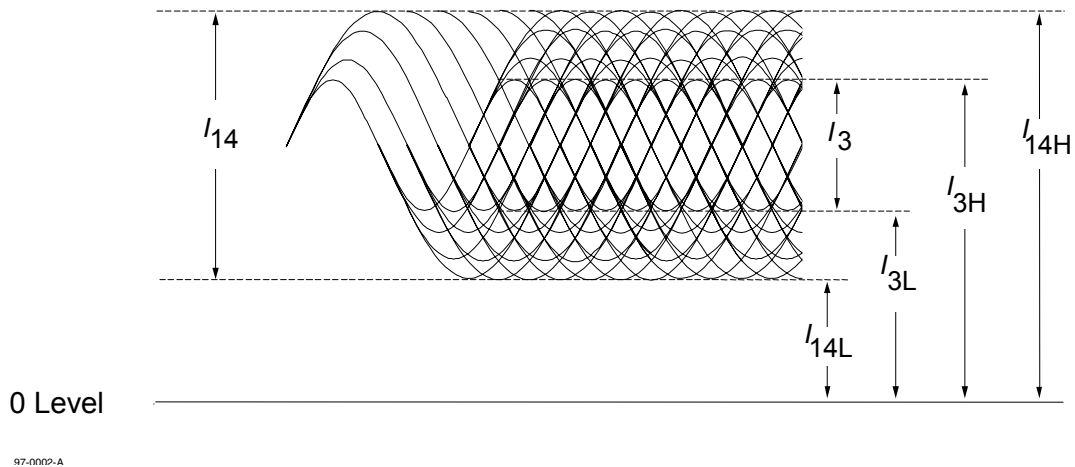


Figure 38 — Signals from spaces and marks in Read channel 1

### 29.2.2 Modulated amplitude

The modulated amplitude  $I_{14}$  is the peak-to-peak value of the HF signal generated by the largest mark and space lengths (see Figure 38). The peak value  $I_{14H}$  shall be the peak value of the HF signal before a.c. coupling. The modulated amplitude  $I_3$  is the peak-to-peak value generated by the shortest mark and space lengths. The 0 Level is the signal level obtained from the measuring device when no disk is inserted. These parameters shall meet the following requirements under all conditions, also such as a different number of overwrites, and when recordings have been made at different speeds.

$$I_{14}/I_{14H} \geq 0,48$$

$$I_3/I_{14} \geq 0,15$$

Within one layer,  $(I_{14Hmax} - I_{14Hmin})/I_{14Hmax} \leq 0,25$

Within one revolution,  $(I_{14Hmax} - I_{14Hmin})/I_{14Hmax} \leq 0,15$

### 29.2.3 Signal asymmetry

The signal asymmetry shall meet the following requirement:  $-0,05 \leq \left[ \frac{I_{14H} + I_{14L} - I_{3H} - I_{3L}}{2 I_{14}} \right] \leq +0,15$

### 29.2.4 Normalized Slicing Level jump

Between any 2 consecutive ECC Blocks, the Normalized Slicing Level (NSL) jump shall be:

$$\left| \frac{(I_{3H,2} + I_{3L,2}) - (I_{3H,1} + I_{3L,1})}{(I_{3H,2} - I_{3L,2}) + (I_{3H,1} - I_{3L,1})} \right| \leq 0,50 ,$$

where  $I_{3H,1}$  and  $I_{3L,1}$  are the  $I_3$  levels just before the linking position  
and  $I_{3H,2}$  and  $I_{3L,2}$  are the  $I_3$  levels just after the linking position.

This requirement shall be fulfilled also after a different number of overwrites for the 2 ECC Blocks (up to 500 DOW cycles).

### 29.2.5 Jitter

Jitter is the standard deviation  $\sigma$  of the time variations of the binary read signal. This binary read signal is created by a slicer, after feeding the HF signal from the HF read channel through an equalizer and LPF (see Annex E). The jitter of the leading and trailing edges is measured relative to the PLL clock and normalized by the Channel bit clock period.

The jitter shall be measured at the Reference velocity using the circuit specified in Annex E.

The jitter measurement shall be using the conditions specified in 28.4.

The measured jitter shall not exceed 9,0 %.

#### 29.2.5.1 Overwrite stability

The following test shall be applied to verify the stability of the overwrite capabilities of the disk after some storage time. For this purpose a band of at least 5 tracks shall be (over)written 10 times, at a velocity of 2,4x, with nominal recording powers and the write strategy parameters set to the values as defined in 14.4.2.2 or 14.4.2.3.1. Next the disk has to be stored at  $55\text{ °C} \pm 2\text{ °C}$  for 24 hours.

After 24 hours the original recorded tracks shall be overwritten once and the jitter is measured ( $\text{jitter}_{10+1}$ ). A new, empty area of the disk, close to the original recording, shall be (over)written 11 times and also here the jitter is measured ( $\text{jitter}_{11}$ ).

The difference in the measured jitter values shall be defined as  $\Delta\text{jitter}^2 = \text{jitter}_{10+1}^2 - \text{jitter}_{11}^2$ ,

where:  $\Delta\text{jitter} \leq 6,0\text{ \%}$ .

Additionally the number of PI errors over any 8 consecutive ECC Blocks shall not exceed 280 (see 31.2).

### 29.3 Read stability

When reading at a play-back speed of  $n \times$  the reference velocity with a read power of  $\{0,8 + 0,5 \times \sqrt{n}\}$  mW ( $n \geq 1$ ) at a temperature of  $55\text{ °C}$ , all parameters specified in 29.2.2 to 29.2.5 shall be within their specified ranges after 1 000 000 repeated reads.

NOTE When reading at higher play-back speeds, the signal-to-noise ratios in drives can be improved by applying higher read powers. In case of (partial) CAV operation care should be taken to set sufficiently low read powers when jumping towards inner diameters (although the rotational speed will stay the same, the linear speed will decrease when jumping inwards).

Reading with the 1x read power at lower speeds than the reference velocity might degrade the read stability

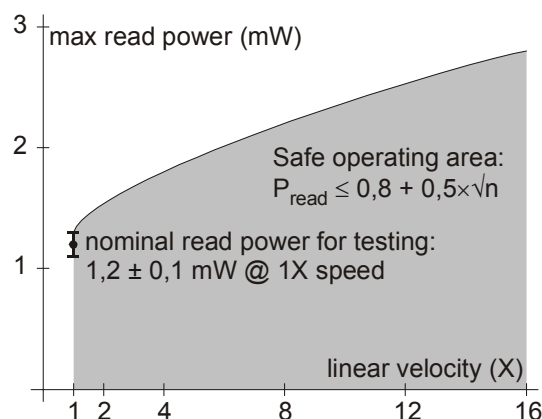


Figure 39 — Safe operating area when reading at higher speeds

### 30 Additional testing conditions

Recorded +RW disks compliant with this +RW DL International Standard shall also fulfil the following basic signal specifications when measured with the Pick Up Head according to ISO/IEC 16448.

#### 30.1 Test environment

All conditions are the same as in 28.1 to 28.2.5 except for the following.

##### 30.1.1 Optics

The focused optical beam used for reading data shall have the following properties:

- |  |  |
|--|--|
| a) Wavelength ( $\lambda$ )  | 650 nm $\pm$ 5 nm  |
| b) Numerical aperture of the objective lens (NA)   | 0,60 $\pm$ 0,01  |
| c) The objective lens shall be compensated for spherical aberrations caused by a parallel substrate with nominal thickness (0,6 mm) and nominal refractive index (1,55). |  |
| d) Wave front aberration   | 0,033 $\times \lambda$ rms max.  |
| e) Light intensity at the rim of the pupil of the intensity in and over  | 60 % to 70 % of the maximum the radial objective lens direction<br>90 % in the tangential direction.                   |
| f) Polarization of the light   | Circular   |
| g) Normalized detector size  | 100 $\mu\text{m}^2 \leq S / M^2 \leq 144 \mu\text{m}^2$<br>where S is the total surface of the quadrant photo detector |
| h) Read power  | 1,2 mW $\pm$ 0,1 mW  |
| i) Relative Intensity Noise (RIN)* of laser diode<br>*RIN (dB/Hz) = 10 log [(a.c. light power density / Hz) / d.c. light power]  | -134 dB/Hz max.  |

#### 30.2 Definition of signals

For the definition of the following signals see 29.2 and the underlying subclauses.

### 30.2.1 Modulated amplitude

$$I_{14}/I_{14H} \geq 0,48$$

$$I_3/I_{14} \geq 0,15$$

Within one layer,  $(I_{14Hmax} - I_{14Hmin})/I_{14Hmax} \leq 0,33$  (with PBS)

Within one layer,  $(I_{14Hmax} - I_{14Hmin})/I_{14Hmax} \leq 0,20$  (without PBS)

Within one revolution,  $(I_{14Hmax} - I_{14Hmin})/I_{14Hmax} \leq 0,15$  (with PBS)

Within one revolution,  $(I_{14Hmax} - I_{14Hmin})/I_{14Hmax} \leq 0,10$  (without PBS)

#### 30.2.1.1 Reflectance×Modulation product

The Reflectance of the disk (see 12.3) multiplied by the Modulation (= normalized  $I_{14}$  modulated amplitude) shall be  $RxM = R_{14H} \times I_{14}/I_{14H}$ ,  $RxM \geq 0,029$

At the measurement location both layers shall be recorded.

### 30.2.2 Signal asymmetry

$$-0,05 \leq \frac{\frac{I_{14H} + I_{14L}}{2} - \frac{I_{3H} + I_{3L}}{2}}{I_{14}} \leq +0,15$$

### 30.2.3 Jitter

The jitter shall be measured at the Reference velocity using the circuit specified in Annex E.

The jitter measurement shall be using the conditions specified in 28.4.

The measured jitter shall not exceed 9,0 %.

### 30.2.4 Track Cross signal

The Track Cross signal (see 26.3) shall meet the following requirement:  $\frac{(I_1 + I_2)_{pp}}{(I_1 + I_2)_{max}} \geq 0,10$

### 30.2.5 Differential phase tracking error signal

The output currents of the four quadrants of the split photo detector shown in Figure 40 are identified by  $I_a$ ,  $I_b$ ,  $I_c$ , and  $I_d$ .



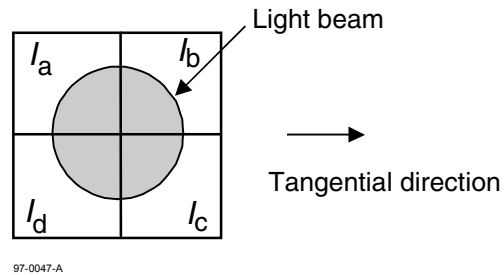


Figure 40 — Quadrant photo detector

The differential phase tracking error signal shall be derived from the phase differences between the sum of the currents of diagonal pairs of photo detector elements when the light beam crosses the tracks:

{Phase ( $I_a + I_c$ ) - Phase( $I_b + I_d$ )}, see Figure 41 and Annex F.

The phase difference signals shall be low-pass filtered with  $f_c$  (-3 dB) of 30 kHz.

This differential phase tracking error signal shall meet the following requirements (see Figure 41):

#### Amplitude

At the positive 0 crossing  $\overline{\Delta t}/T$  shall be in the range 0,5 to 1,1 at 0,10  $\mu\text{m}$  radial offset, where  $\overline{\Delta t}$  is the average time difference derived from the phase differences between the sum of the currents of diagonal pairs of photo detector elements, and  $T$  is the Channel bit clock period.

#### Asymmetry (see Figure 41)

The asymmetry shall meet the following requirement:  $\frac{|T_1 - T_2|}{|T_1 + T_2|} \leq 0,20$ ,

where  $T_1$  is the positive peak value of  $\overline{\Delta t}/T$  and  $T_2$  is the negative peak value of  $\overline{\Delta t}/T$

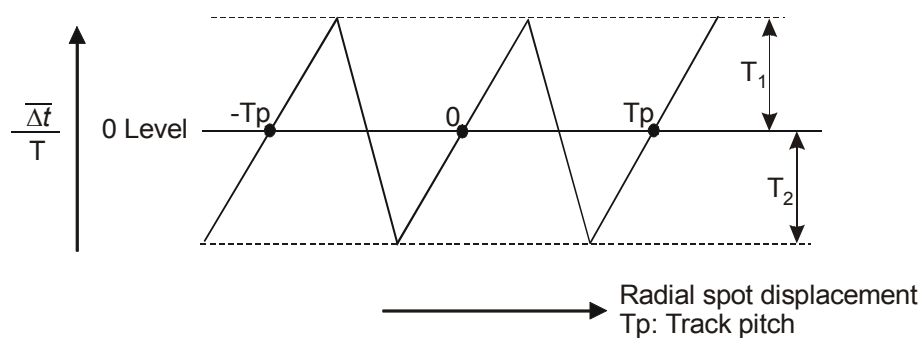


Figure 41 — Differential phase tracking error signal

#### 30.2.6 Tangential push-pull signal

This signal shall be derived from the instantaneous level of the differential output  $(I_a + I_d) - (I_b + I_c)$ . It shall meet the following requirements, see Figure 42:

$$0 \leq \frac{[(I_a + I_d) - (I_b + I_c)]_{pp}}{I_{14}} \leq 0,9$$

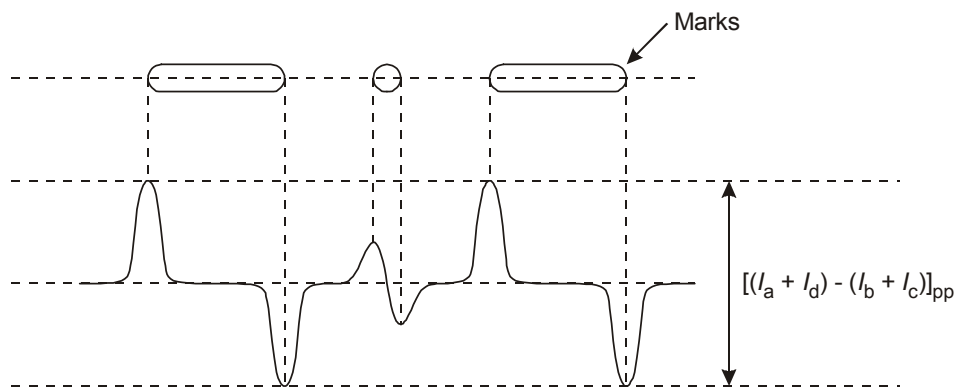


Figure 42 — Tangential push-pull signal

### 31 Quality of the recording layer

For the integrity of the data on the disk, the recording layer shall fulfil the following initial quality requirements.

#### 31.1 Defects

Defects are air bubbles and black spots. Their diameter shall meet the following requirements:

- for air bubbles it shall not exceed 100 µm,
- for black spots causing birefringence it shall not exceed 200 µm,
- for black spots not causing birefringence it shall not exceed 300 µm.

In addition, over a distance of 80 mm in scanning direction of tracks, the following requirements shall be met:

- the total length of defects larger than 30 µm shall not exceed 300 µm,
- there shall be at most 6 such defects.

#### 31.2 Data errors

A byte error occurs when one or more bits in a byte have a wrong value, as compared to their original recorded value.

A row of an ECC Block as defined in 13.3 that has at least 1 byte in error constitutes a PI error.

If a row of an ECC Block as defined in 13.3 contains more than 5 erroneous bytes, the row is said to be “PI-uncorrectable”.

The disk shall be recorded with arbitrary data in one single uninterrupted writing action from the start of the Lead-in Zone until the end of the Lead-out Zone (“Disk-At-Once” mode). Both layers shall be recorded, where Layer 1 shall be recorded after recording Layer 0.

During playback after the initial recording, the errors as detected by the error correction system shall meet the following requirements:

- in any 8 consecutive ECC Blocks the total number of PI errors before correction shall not exceed 280,
- in any ECC Block the number of PI-uncorrectable rows should not exceed 4.

## 32 Method of testing

Clause 31 describes a series of measurements to test conformance of the user data on the disk with this International Standard. It checks the legibility of the user-written data. The data is assumed to be arbitrary. The data may have been written by any drive in any operating environment (see 8.1.2). The read tests shall be performed on the Reference Drive as defined in clause 9.

Whereas clause 28 disregards defects, clause 33 includes them as an unavoidable deterioration of the read signals. The severity of a defect is determined by the correctability of the ensuing errors by the error detection and correction circuit in the read channel defined below. The requirements in clause 33 defines a minimum quality of the data, necessary for data interchange.

### 32.1 Environment

All signals in 33.1 to 33.2 shall be within their specified ranges with the disk in any environment in the range of allowed operating environments defined in 8.1.2. It is recommended that before testing, the entrance surface of the disk shall be cleaned according to the instructions of the manufacturer of the disk.

### 32.2 Reference Drive

All signals specified in clause 33 shall be measured in the indicated channels of the Reference Drive as defined in clause 9. The drive shall have the following characteristics for the purpose of these tests:

#### 32.2.1 Optics and mechanics

The focused optical beam shall have the properties already defined in 9.2 a) to i). The disk shall rotate as specified in 9.5.

#### 32.2.2 Read power

The optical power incident on the entrance surface of the disk (used for reading the information) shall be  $1,2 \text{ mW} \pm 0,1 \text{ mW}$ .

#### 32.2.3 Read channels

The drive shall have two read channels. Read Channel 1 gives a signal ( $I_1 + I_2$ ) related to the total amount of light in the exit pupil of the objective lens. Read Channel 2 gives a signal ( $I_1 - I_2$ ) related to the difference in the amount of light in the two halves of the exit pupil of the objective lens. These channels can be implemented as given in 9.3 and 9.6.

The signal from Read channel 1 is equalized and filtered before processing. The threshold level for binarizing the read signal shall be controlled to minimize the effects of mark and space size changes due to parameter variations during writing. For measurement of the disk quality as specified in clause 33, the equalizer, filter and slicer, and the characteristics of the PLL shall be the same as specified in Annex E for the jitter measurement.

#### 32.2.4 Error correction

Correction of errors in the data bytes shall be carried out by an error detection and correction system based on the definition in 13.3.

#### 32.2.5 Tracking

During the measurement of the signals, the axial tracking error between the focus of the optical beam and the recording layer shall not exceed  $0,20 \text{ } \mu\text{m}$ ,

and the radial tracking error between the focus of the optical beam and the centre of a track shall not exceed 0,022  $\mu\text{m}$ .

### **33 Minimum quality of a Recording Unit**

This clause specifies the minimum quality of the data of a Recording Unit as required for data interchange. The quality shall be measured on the Reference Drive as defined in clause 9 and Annex E.

A byte error occurs when one or more bits in a byte have a wrong value, as detected by the ECC and/or EDC circuits.

#### **33.1 Tracking**

The focus of the optical beam shall not jump tracks unintentionally.

#### **33.2 User-written data**

The user-written data in a Recording Unit as read in Read channel 1 shall not contain any byte errors that cannot be corrected by the error correction defined in 13.3. To relieve this requirement, a defect management system can be used, which autonomously replaces unreliable Recording Units (see clause 3).

## Annex A (normative)

### 80 mm +RW DL disk

The +RW DL Format also allows an 80 mm disk with capacities of 1,46 Gbytes and 2,92 Gbytes. All mechanical, physical and optical characteristics shall be equal to those of the 120 mm disks specified in this document, except for the following items:

see: **10.2 Overall dimensions**

The disk shall have an overall diameter  $d_1 = 80,00 \text{ mm} \pm 0,30 \text{ mm}$

see: **10.7 Information Zone**

The Information Zone shall extend over both recording layers between diameter  $d_6$  and diameter

$$d_7 = 77,5 \text{ mm min.}$$

see: **10.7.1 Sub-division of the Information Zone**

The end of the Data Zone on Layer 0 and the beginning of the Data Zone on Layer 1 shall be located at diameter

$$d_9 = 76,00 \text{ mm max,}$$

which is the beginning/end of the Middle Zones.

see: **11.1 Mass**

The mass of the disk shall be in the range of 6,0 g to 9,0 g.

see: **11.2 Moment of inertia**

The moment of inertia of the disk, relative to its rotation axis, shall not exceed  $0,010 \text{ g}\cdot\text{m}^2$ .

see: **11.3 Dynamic Imbalance**

The dynamic imbalance of the disk, relative to its rotation axis, shall not exceed  $1,5 \text{ g}\cdot\text{mm}$ .

see: **14.1 Track shape**

The tracks shall be continuous in the Information Zone.

The groove tracks shall start at a radius of  $22,00 \text{ mm max.}$  and end at a radius of  $38,75 \text{ mm min.}$

see: **14.4.1.1 ADIP word structure, bits 2 to 23**

Physical ADIP Address (033A7F), which is the last address corresponding to the Data Zone on Layer 0, shall be located at radius  $38,00 \text{ mm max}$

Physical ADIP Address (FCC580), which is the first address corresponding to the Data Zone on Layer 1, shall be located at radius  $38,00 \text{ mm max}$

see: **14.4.2.1 General information - Bytes 0 to 31**

**Byte 1 – Disk size and maximum transfer rate**

Bits  $b_7$  to  $b_4$  shall specify the disk size, they shall be set to 0001, indicating a 80 mm disk

**Bytes 4 to 15 – Data Zone allocation**

Bytes 13 to 15 shall be set to (0CE9FF) to specify PSN 846 335 as the last possible Physical Sector of the Data Zone on Layer 0.

see: **16 Layout of the Information Zone**

**Table A.1 — Layout of Layer 0 of a fully formatted disk**

	Description	Nominal radius in mm	PSN of the first Physical Sector	Number of Physical Sectors
Tracking direction ↓	<b>Lead-in</b>	all the same as 120 mm disk	---	---
	<b>Data</b>	Data Zone	start 24,000 mm (030000)	649 728 max
	<b>Middle Zone 0</b>	Buffer Zone 3	start 38,000 mm (at full capacity) (0CEA00) max	768
		Reserved Zone 4	(0CED00) max	256
		Guard Zone 2	(0CEE00) max	4 096 min
	<b>Outer Drive Area</b>	Reserved Zone 5	start 38,090 mm (0CFE00)	4 096
		Outer Disk Test Zone	start 38,162 mm (0D0E00)	8 192
		Guard Zone 3	start 38,305 mm end ≥ 38,500 (0D2E00)	11 216 nominal

**Table A.2 — Layout of Layer 1 of a fully formatted disk**

	Description	Nominal radius in mm	PSN of the first Physical Sector	Number of Physical Sectors
Tracking direction ↑	<b>Lead-out</b>	all the same as 120 mm disk	---	---
	<b>Data</b>	Data Zone	end 24,000 mm (F31600) min	649 728 max
	<b>Middle Zone 1</b>	Buffer Zone 3	end 38,000 mm (at full capacity) (F31300) min	768
		Reserved Zone 4	(F31200) min	256
		Guard Zone 2	(F30200)	4 096 min
	<b>Outer Drive Area</b>	Reserved Zone 5	end 38,090 mm (F2F200)	4 096
		Outer Disk Test Zone	end 38,162 mm (F2D200)	8 192
		Guard Zone 3	end 38,305 mm start ≥ 38,500 --	11 216 nominal

see: **17.10.1 Physical format information**

#### **Bytes 4 to 15 – Data Zone allocation**

Bytes 13 to 15 shall specify the maximum possible Physical Sector Number of the Data Zone on Layer 0 (this PSN represents the location of the layer jump, see 16.1). This value shall be ≤ (0CE9FF)

see: **18 Data Zone**

Two times 649 728 Physical Sectors for the storage of user data.

The start radius of the Data Zone on Layer 0 and Layer 1 is determined by the location of Physical ADIP Address (00C000) and (FCC580) respectively and the maximum/minimum end radius is determined by the location of Physical ADIP Address (033A80) and (FF4000) respectively (see 14.4.1.1, bit 2 to 23 and 13.7.1).

see: **19 Middle Zones**

Physical Sector 846 335 max	Data Zone on Layer 0	Physical Sector (0CE9FF) max
Physical Sector 846 336 max	Buffer Zone 3	Physical Sector (0CEA00) max
Physical Sector 847 103 max	768 Physical Sectors with Main Data set to (00)	Physical Sector (0CECFF) max
Physical Sector 847 104 max	Reserved Zone 4	Physical Sector (0CED00) max
Physical Sector 847 359 max	256 Physical Sectors with Main Data set to (00)	Physical Sector (0CEDFF) max
Physical Sector 847 360 max	Guard Zone 2	Physical Sector (0CEE00) max
Physical Sector 851 455	min 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (0CFDFF)
Physical Sector 851 456	Outer Drive Area	Physical Sector (0CFE00)

**Figure A.1 — Middle Zone on Layer 0**

Physical Sector 15 925 759	Outer Drive Area	Physical Sector (F301FF)
Physical Sector 15 925 760	Guard Zone 2	Physical Sector (F30200)
Physical Sector 15 929 855 min	min 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (F311FF) min
Physical Sector 15 929 856 min	Reserved Zone 4	Physical Sector (F31200) min
Physical Sector 15 930 111 min	256 Physical Sectors with Main Data set to (00)	Physical Sector (F312FF) min
Physical Sector 15 930 112 min	Buffer Zone 3	Physical Sector (F31300) min
Physical Sector 15 930 879 min	768 Physical Sectors with Main Data set to (00)	Physical Sector (F315FF) min
Physical Sector 15 930 880 min	Data Zone on Layer 1	Physical Sector (F31600) min

**Figure A.2 — Middle Zone on Layer 1**

see: **19.1 Buffer Zone 3**

The outermost possible start location of Buffer Zone 3 is (0CEA00) on Layer 0 and (F31300) on Layer 1.

see: **19.3 Guard Zones 2**

If the full storage capacity (649 728 sectors) of the disk is used, these zones shall contain 4 096 Physical Sectors.

If only  $649\,728 - n$  sectors of the Data Zone on each layer are used (see Figure 30),  
 while  $n \leq 6\,144$ , both Guard Zones 2 shall contain  $4\,096 + n$  Physical Sectors (no gap between Guard Zone 2 and the Outer Drive Area);  
 if  $n > 6\,144$ , both Guard Zones 2 shall contain at least 10 240 Physical Sectors, adjoining the Reserved Zone 4 (on each layer a gap between Guard Zone 2 and the Outer Drive Area is allowed).

In the latter case ( $n > 6\,144$ ), the Guard Zones 2 can be extended with Physical Sectors filled with Main Data set to (00) to fill up the gap up to a certain radius or up to the Outer Drive Area, or this gap can be left unrecorded. The choice for these options is left to the drive manufacturer.

see: **20 Outer Drive Areas**

---	Guard Zone 2 with Main Data set to (00) (gap)	---
Physical Sector 2 288 640	Reserved Zone 5 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (22EC00)
Physical Sector 2 292 735		Physical Sector (22FBFF)
Physical Sector 2 292 736	Outer Disk Test Zone 8 192 Physical Sectors	Physical Sector (22FC00)
Physical Sector 2 305 023		Physical Sector (232BFF)
Physical Sector 2 305 024	Guard Zone 3 nominal 11 216 Physical Sectors	Physical Sector (232C00)
---	with Main Data set to (00)	---

**Figure A.3 — Outer Drive Area on Layer 0**

---	Guard Zone 3 nominal 11 216 Physical Sectors	---
Physical Sector 14 472 191	with Main Data set to (00)	Physical Sector (DCD3FF)
Physical Sector 14 472 192	Outer Disk Test Zone 8 192 Physical Sectors	Physical Sector (DCD400)
Physical Sector 14 484 479		Physical Sector (DD03FF)
Physical Sector 14 484 480	Reserved Zone 5 4 096 Physical Sectors with Main Data set to (00)	Physical Sector (DD0400)
Physical Sector 14 488 575	(gap)	Physical Sector (DD13FF)
---	Guard Zone 2 with Main Data set to (00)	---

**Figure A.4 — Outer Drive Area on Layer 1**

see: **20.2 Outer Disk Test Zone**

8 192 Physical Sectors reserved for drive testing and OPC algorithm (see Annex I). This Zone shall be filled with Main Data set to (00).

see: **23.3 Sequential recording**

**Table A.3 — Length of Dummy Zone on Layer 0**

Length of the Recorded part of the Data Zone on Layer 0 (end radius)	End of the Dummy Zone on Layer 0 (radius)
less than 34,0 mm	35,0 mm min.
34,0 mm to 37,5 mm	end radius Data Zone + 1,0 mm min.
37,5 to 38,0 mm	38,5 mm

It is allowed to have an unrecorded area between the end of the Dummy Zone and Buffer Zone 3 located at radius 38 mm.



see: **24.2 Format of the FDCB**

**Byte D<sub>46</sub> – Recording status flag**

bits 6 to 5:

for Layer 0:

ZERO ZERO = No Middle Zone 0 and Outer Drive Area has been recorded

ZERO ONE = Temporary Middle Zone 0 has been recorded

ONE ZERO = Middle Zone 0 is recorded from address (0CEA00) to address (0CFDFF) (see clause 19 and 23.2.1)

ONE ONE = Middle Zone 0 and Outer Drive Area are fully recorded adjoining the actual last sector of the Data Zone on Layer 0 at PSN (end\_L0).

for Layer 1:

ZERO ZERO = No Middle Zone 1 and Outer Drive Area has been recorded

ZERO ONE = Temporary Middle Zone 1 has been recorded

ONE ZERO = Middle Zone 1 is recorded from address (F30200) to address (F315FF) (see clause 19 and 23.2.1)

ONE ONE = Middle Zone 1 and Outer Drive Area are fully recorded adjoining the actual first sector of the Data Zone on Layer 1 at PSN (end\_L0).

## Annex B (normative)

### Structure for Extended format information in the Data Zone

The ADIP Aux Frames in the Data Zone may be used to store information needed to support specific applications, such as e.g. encryption/decryption keys needed for a copy-protection system. This annex only specifies the general structure for such information.

#### B.1 Extended format information

The Extended Format Information consists of a table of contents (EFI TOC) and up to 16 distinct regions that contain additional format information. The EFI TOC defines the location and contents of the regions contained in the Extended Format Information, see B.1.1. The EFI TOC shall be stored in the ADIP Aux Frames (see 14.4.1.1) in the Data Zone, starting at the ADIP word that has Physical ADIP Address (00C000). The regions of the Extended Format Information shall be located in the ADIP Aux Frames in the Data Zone and/or shall be present as pre-recorded areas in the main data channel. Each region contains one or more copies of a data block of a particular type, as indicated in the EFI TOC. Each region shall be contained in one recording layer (regions shall not cross the layer jump).

Figure B.1 schematically shows an example lay-out, based on the VCPS copy-protection system, with the EFI TOC and the VCPS-defined regions that are contained in the ADIP Aux Frames in the Data Zone. The EFI TOC consists of 8 consecutive copies of an ETOC block, where each ETOC block contains the complete EFI TOC information (see B.1.1). The VCPS-defined hash region contains one or more copies of the DKB hash value, as specified in the EFI TOC. The VCPS-defined DKB region contains one or more copies of the DKB, as specified in the EFI TOC. Gaps may exist between any two regions. All bytes in the ADIP Aux Frames in the gaps shall be set to (00).

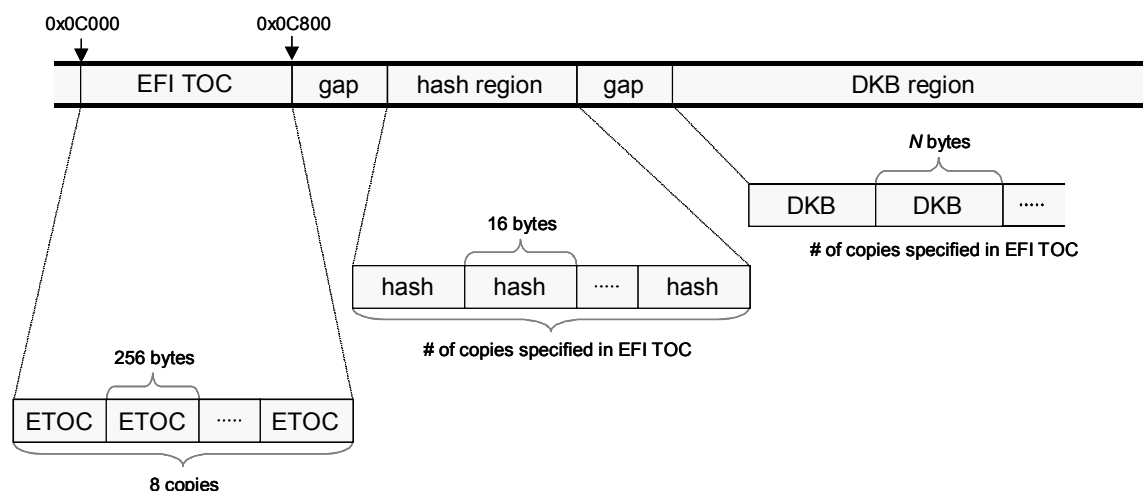


Figure B.1 — Example lay-out of Extended format information in ADIP

##### B.1.1 EFI TOC

The EFI TOC starts at the ADIP word that has Physical Address (00C000). The length of the EFI TOC is 8 ADIP Aux Frames, which is equivalent to 2048 consecutive ADIP words. As shown in Figure B.1, the EFI TOC consists of 8 consecutive copies of an ETOC block. The ETOC block consists of at most 16 Region Descriptors, as defined in Figure B.2. The combined size of all Region Descriptors contained in the ETOC

block shall be no more than 256 bytes. Remaining bytes shall be set to all zeros, such that the size of the ETOC block is exactly 256 bytes.

Bit	7	6	5	4	3	2	1	0
Byte								
0	Region Descriptor #1							
:								
:								
:	Region Descriptor #2							
:								
:								
:	:							
:								
:								
:	Region Descriptor #n							
:								
:								
:	(00)							
:	:							
255								
	(00)							

Figure B.2 — ETOC block

**Region Descriptor #i:** Region Descriptor #i contains information with respect to the *i*-th region of the Extended Format Information ( $1 \leq i \leq n \leq 16$ ). A Region Descriptor consists of a Basic Region Descriptor followed by zero or more Extended Region Descriptors. The format of a Basic Region Descriptor is defined in Figure B.3. The format of an Extended Region Descriptor is defined in Figure B.4.

Bit									
Byte	7	6	5	4	3	2	1	0	
0	(msb) Region Type Identifier (lsb)								
1									
2									
3	Extent	Version number							
4	(msb) Region Start Address (lsb)								
5									
6									
:	(msb) Data Block Size (lsb)								
9									
10									
11	Repeat Count							Private	
12	(msb) Alternative Location (lsb)								
:									
15									

Figure B.3 — Basic Region Descriptor

**Region Type Identifier:** The type of the data block that is contained in the region. Data blocks stored in different regions having the same Region Type Identifier shall be identical.

**Extent:** The Extent bit shall indicate if this Basic Region Descriptor is followed by an Extended Region Descriptor, as follows:

- 0: This Basic Region Descriptor is not followed by an Extended Region Descriptor.
- 1: This Basic Region Descriptor is followed by an Extended Region Descriptor.

**Version Number:** The revision of the data block type that is contained in the region.

**Region Start Address:** If the data block is stored in the ADIP Aux Frames, the Region Start Address is given as the Physical ADIP Address of the ADIP word that contains the first byte of the data block, divided by 256. The Region Start Address shall be greater than or equal to (00C8). If the data block is not stored in the ADIP

Aux Frames, the Region Start Address shall be zero. In that case the Alternative Location shall be non-zero and specify the location of the data block in the main data channel.

**Data Block Size:** The size in bytes of a single copy of the data block in the region. The Data Block Size shall be set to zero if the data block is not contained in the ADIP Aux Frames.

**Repeat Count:** The number of consecutive copies of the data block that are contained in the region. If the data block is stored in the ADIP Aux Frames and the region extends through the end of the Disk, Repeat Count shall be set to 0. The Repeat Count shall be set to zero if the data block is not contained in the ADIP Aux Frames.

**Reserved:** All reserved bits shall be set to '0'.

**Private:** The Private bit shall indicate if a Drive is permitted to output the contents of the region, as follows:

- 0: A Drive is permitted to output the contents of the region.
- 1: A Drive is not permitted to output the contents of the region.

**Alternative Location:** In addition to, or alternative to storage in the ADIP Aux Frames, the data block may be stored in a contiguous area of the main data channel. In that case, the Alternative Location specifies the first Physical Sector Number of the location in the main data channel that contains one or more copies of the data block. Otherwise, Alternative Location shall be set to zero. Note that the format of the data block as contained in the main data channel may be different from the format of the data block as contained in the ADIP Aux Frames.

Bit								
Byte	7	6	5	4	3	2	1	0
0	(msb) <div>Region Type Identifier</div> (lsb)							
1								
2								
3	Extent	Version number						
4	Reserved							
:								
15								

**Figure B.4 — Extended Region Descriptor**

**Region Type Identifier:** the Region Type Identifier shall be identical to the Region Type Identifier contained in the preceding Basic Region Descriptor.

**Extent:** The Extent bit shall indicate if this Extended Region Descriptor is followed by another Extended Region Descriptor, as follows:

- 0: This Extended Region Descriptor is not followed by another Extended Region Descriptor.
- 1: This Extended Region Descriptor is followed by another Extended Region Descriptor.

**Version Number:** the Version Number shall be identical to the Version Number contained in the preceding Basic Region Descriptor.

**Reserved:** All reserved bytes shall be set to (00).

## Annex C (normative)

### Measurement of light reflectivity

#### C.1 Calibration method

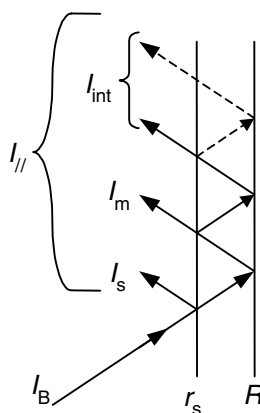
The reflectivity of a disk can be measured in several ways. The two most common methods are:

- parallel method,
- focused method.

For use in players the focused method with the help of a reference disk with known reflectance is the most relevant and easiest one, while for the calibration of the reference disk the parallel method is easier.

When measuring the reflectivity in the focused way, only the light returned by the reflective layer of the disk ( $I_m$ ) will fall onto the photo detector. The reflected light coming from the front surface of the disk and the light coming from the parasitic reflections inside the disk will mainly fall outside the photo detector. Because in the parallel method only the “total” reflected power ( $I_{//}$ ) can be measured, a calculation is needed to determine the “main” reflectance from the reflective layer.

A good reference disk shall be chosen, for instance 0,6 mm glass disk with a golden reflective mirror. This reference disk shall be measured by a parallel beam as shown in Figure C.1.



**Figure C.1 — Reflectivity calibration**

In this Figure the following applies:

$R$	=	reflectance of the recording layer (including the double pass substrate transmittance)
$r_s$	=	reflectance of the entrance surface
$R_{\text{ref}}$	=	reflectance as measured by the focussed beam (is by definition = $I_m / I_B$ )
$R_{//}$	=	reflectance as measured by the parallel beam (is by definition = $I_{//} / I_B$ )
$I_B$	=	power of incident beam
$I_s$	=	reflected power from entrance surface
$I_m$	=	reflected power from recording layer
$I_{\text{int}}$	=	reflected power from internal reflections between entrance surface and recording layer
$I_{//}$	=	measured reflected power ( $I_s + I_m + I_{\text{int}}$ )

The reflectance of the entrance surface is defined by:

$$r_s = \left( \frac{n-1}{n+1} \right)^2, \text{ where } n \text{ is the index of refraction of the substrate.}$$

The main reflected power  $I_m = I_{//} - I_s - I_{int}$  which leads to:

$$R_{ref} = \left[ \frac{(1-r_s)^2 \times (R_{//} - r_s)}{1-r_s \times (2-R_{//})} \right]$$

The reference disk shall be measured on a reference drive. The total detector current  $(I_1 + I_2)$  obtained from the reference disk, and measured by the focused beam is equated to  $I_m$  as determined above.

Now the arrangement is calibrated and the focused reflectance is a linear function of the reflectivity of the recording layer and the double pass substrate transmission, independently from the reflectivity of the entrance surface.

## C.2 Measuring method

### Reflectivity in the unrecorded Information Zone

A method of measuring the reflectance using the reference drive.

- (1) Measure the total detector current  $(I_1 + I_2)_s$  from the reference disk with calibrated reflectance  $R_{ref}$ .
- (2) Measure the total detector current  $(I_1 + I_2)_g$  from a groove track in an area of the disk under investigation where the groove track and the two adjacent tracks on each side of the track to be measured have been erased. Erasure of these tracks shall be done by irradiating the tracks using only the  $P_e$  power as determined from the OPC algorithm (see Annex I).
- (3) Calculate the unrecorded disk reflectance  $R_d$  as follows:

$$R_d = \frac{(I_1 + I_2)_g}{(I_1 + I_2)_s} \times R_{ref}$$

### Reflectivity in the recorded Information Zone

A method of measuring the reflectance using the reference drive.

- (1) Measure the total detector current  $(I_1 + I_2)_s$  from the reference disk with calibrated reflectance  $R_{ref}$ .
- (2) Measure  $I_{14H}$  from a recorded groove track in an area of the disk under investigation where at least the two adjacent tracks on each side of the track to be measured also have been recorded. Recording of these tracks shall be done using the optimum powers as determined from the OPC algorithm (see Annex I).
- (3) Calculate the recorded disk reflectance  $R_{14H}$  as follows:

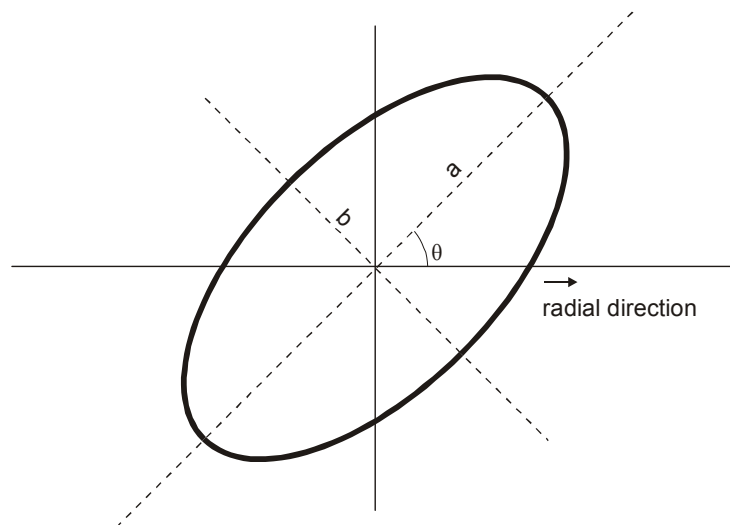
$$R_{14H} = \frac{I_{14H}}{(I_1 + I_2)_s} \times R_{ref}$$

## Annex D (normative)

### Measurement of birefringence

#### D.1 Principle of the measurement

In order to measure the birefringence, circularly polarized light in a parallel beam is used. The phase retardation is measured by observing the ellipticity of the reflected light.



**Figure D.1 — Ellipse with ellipticity  $e = b/a$  and orientation  $\theta$**

The orientation  $\theta$  of the ellipse is determined by the orientation of the optical axis

$$\theta = \gamma - \pi/4 \quad (1)$$

where  $\gamma$  is the angle between the optical axis and the radial direction.

The ellipticity,  $e = b/a$ , is a function of the phase retardation  $\delta$

$$e = \tan \left[ \frac{1}{2} \left( \frac{\pi}{2} - \delta \right) \right] \quad (2)$$

When the phase retardation  $\delta$  is known the birefringence  $BR$  can be expressed as a fraction of the wavelength

$$BR = \frac{\lambda}{2\pi} \delta \text{ nm} \quad (3)$$

Thus, by observing the elliptically polarized light reflected from the disk, the birefringence can be measured and the orientation of the optical axis can be assessed as well.

## D.2 Measurements conditions

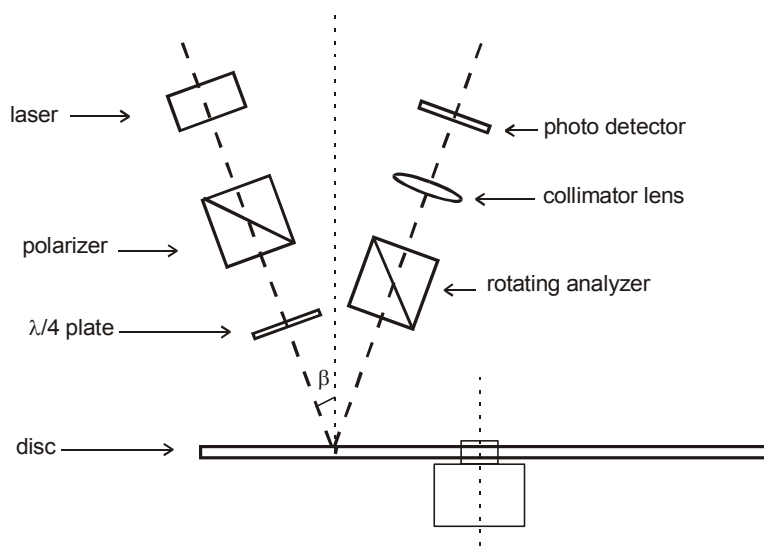
The measurement of the birefringence specified above shall be made under the following conditions.

Mode of measurement in reflection, double pass through the substrate.

Wavelength $\lambda$ of the laser light	640 nm $\pm$ 15 nm
Beam diameter (FWHM)	1,0 mm $\pm$ 0,2 mm
Angle $\beta$ of incidence in radial direction relative to the radial plane perpendicular to Reference Plane P	7,0° $\pm$ 0,2°
Disk mounting	horizontally
Rotation	less than 1 Hz
Temperature and relative humidity	as specified in 8.1.1

## D.3 Example of a measurement set-up

Whilst this International Standard does not prescribe a specific device for measuring birefringence, the device shown schematically in Figure D.2 as an example, is well suited for this measurement.



**Figure D.2 — Example of a device for the measurement of birefringence**

Light from a laser source, collimated into a polarizer (extinction ratio  $\approx 10^{-5}$ ), is made circular by a  $\lambda/4$  plate. The ellipticity of the reflected light is analyzed by a rotating analyzer and a photo detector. For every location on the disk, the minimum and the maximum values of the intensity are measured. The ellipticity can then be calculated as

$$e^2 = I_{\min} / I_{\max} \quad (4)$$

Combining equations (2), (3), and (4) yields

$$BR = \frac{\lambda}{4} - \frac{\lambda}{\pi} \arctan \sqrt{\frac{I_{\min}}{I_{\max}}}$$



This device can be easily calibrated as follows

$I_{\min}$  is set to 0 by measuring a polarizer or a  $\lambda/4$  plate,

$I_{\min} = I_{\max}$  when measuring a mirror

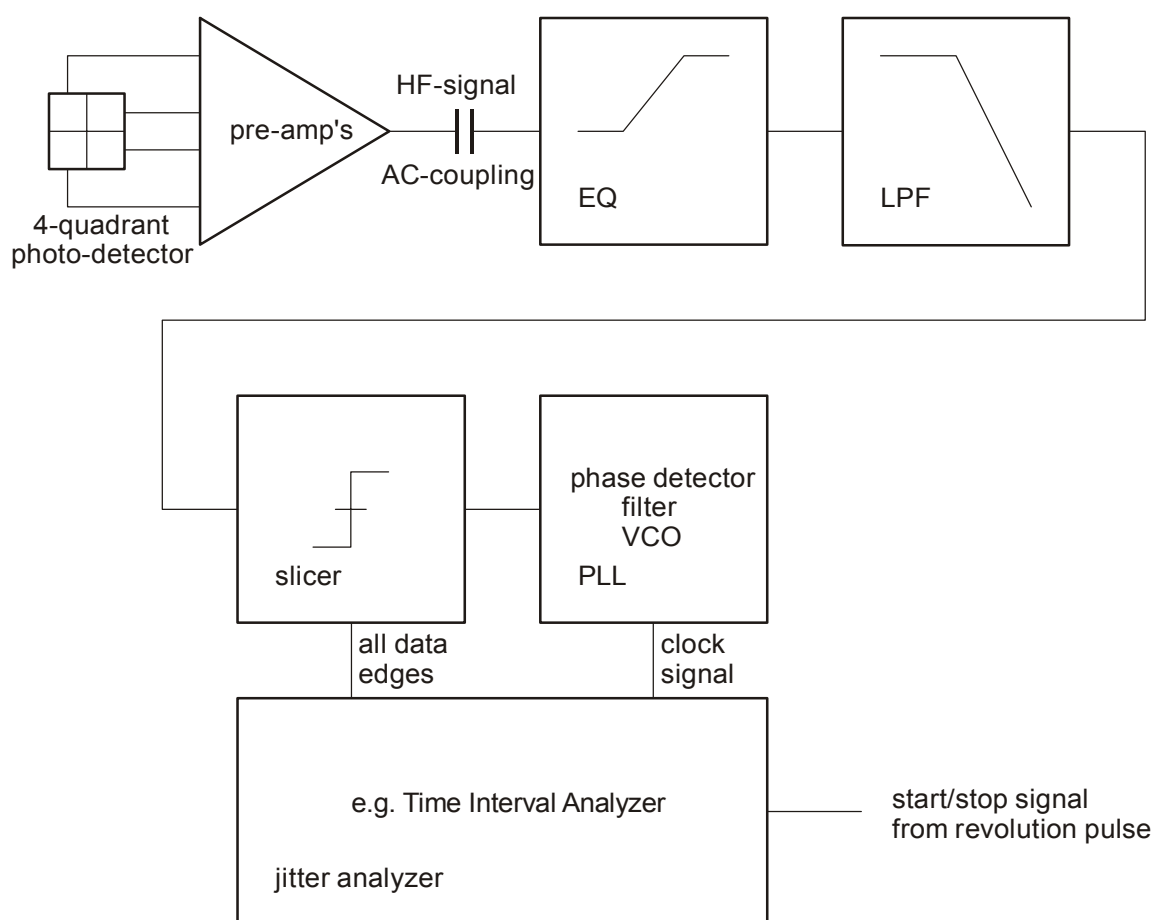
Apart of the d.c. contribution of the front surface reflection, a.c. components may occur, due to the interference of the reflection(s) of the front surface with the reflection(s) from the recording layer. These a.c. reflectivity effects are significant only if the disk substrate has an extremely accurate flatness and if the light source has a high coherence.

## Annex E (normative)

### Measuring conditions for operation signals

#### E.1 System diagram for jitter measurement and determination of the characteristics of user data

The general system diagram shall be as shown in Figure E.1.



**Figure E.1 — General diagram for jitter measurement**

## E.2 Open loop transfer function for PLL

The open-loop transfer function for the PLL shall be as shown in Figure E.2

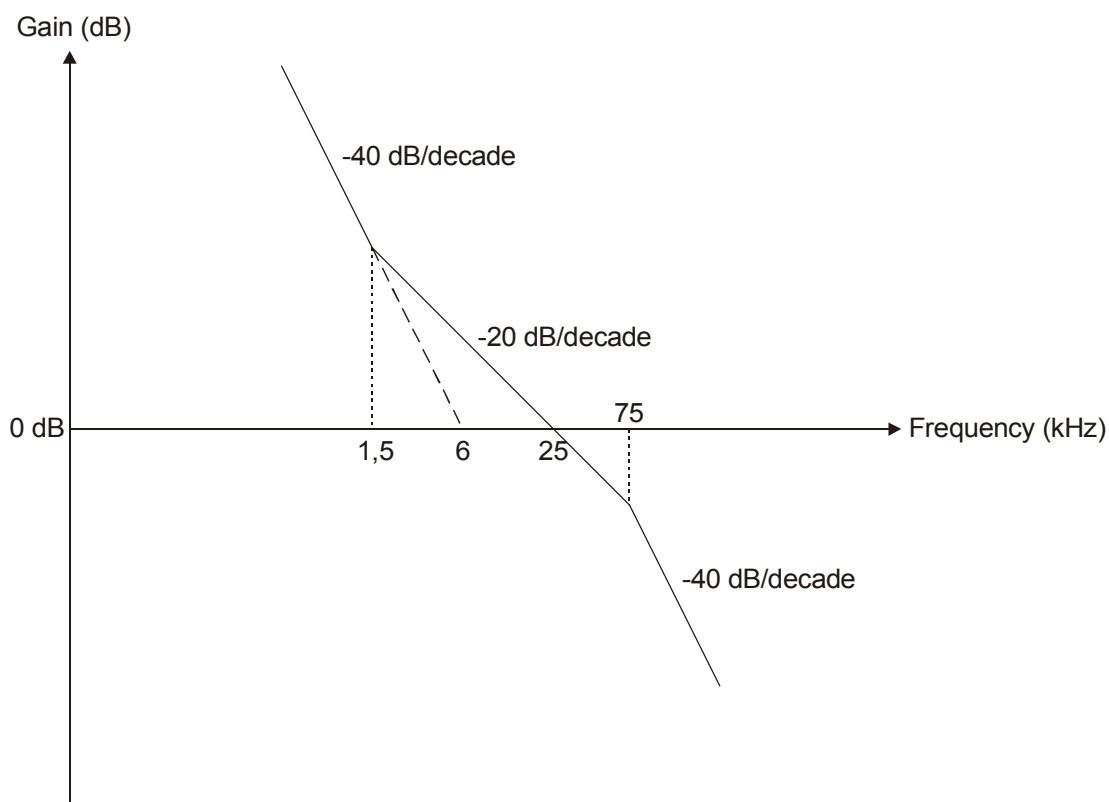


Figure E.2 — Schematic representation of the open-loop transfer function for PLL

## E.3 Slicer

The slicer shall be a 1<sup>st</sup> order, integrating feed-back auto-slicer with a -3 dB closed-loop bandwidth of 5 kHz.

## E.4 Conditions for measurement

The bandwidth of the pre-amplifier of the photo detector shall be greater than 20 MHz in order to prevent group-delay distortion.

Equalizer: 3-tap transversal filter with transfer function  $H(z) = 1,364 z^2 - 0,182 (1 + z^4)$

Low-pass filter: 6<sup>th</sup> order Bessel filter,  $f_c$  (-3 dB) = 8,2 MHz

Filtering plus equalization:

- Gain variation: 1 dB max. (below 7 MHz)
- Group delay variation: 1 ns max. (below 7 MHz)
- (Gain at 5,0 MHz - Gain at 0 Hz) = 3,2 dB  $\pm$  0,3 dB

a.c. coupling (high-pass filter) = 1<sup>st</sup> order,  $f_c$  (-3 dB) = 1 kHz

Correction of the angular deviation: only d.c. deviation shall be corrected.

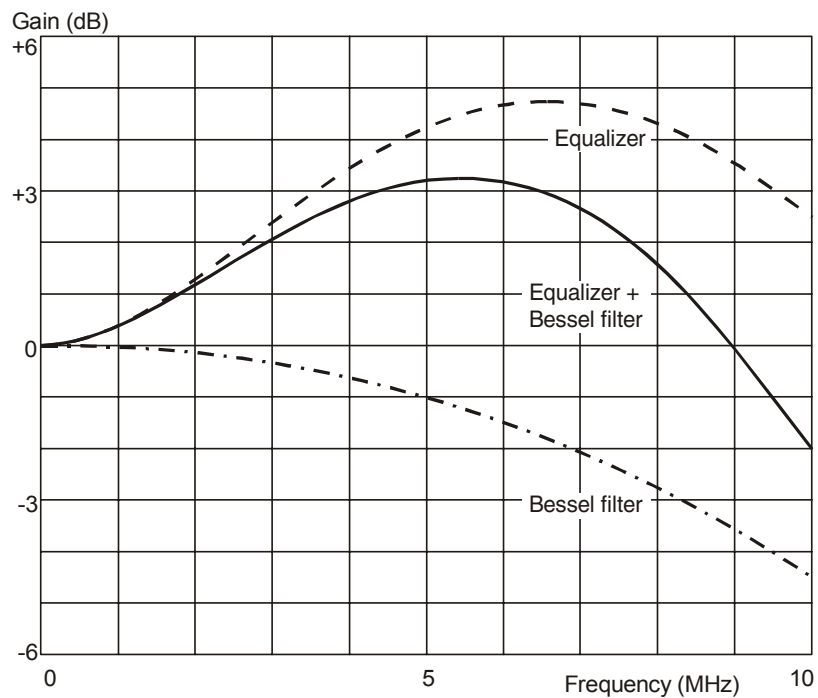


Figure E.3 — Frequency characteristics for the equalizer and the low-pass filter

## E.5 Measurement

The jitter of all leading and trailing edges over one revolution shall be measured.

## Annex F (normative)

### Measurement of the differential phase tracking error

#### F.1 Measuring method for the differential phase tracking error

The reference circuit for the measurement of the tracking error shall be that shown in Figure F.1. Each output of the diagonal pairs of elements of the quadrant photo detector shall be converted to binary signals independently after equalization of the wave form with the transfer function defined by:

$$H(i\omega) = (1 + 1,6 \times 10^{-7} \times i\omega) / (1 + 4,7 \times 10^{-8} \times i\omega)$$

The gain of the comparators shall be sufficient to reach full saturation on the outputs, even with minimum signal amplitudes. Phases of the binary pulse signal edges (signals B1 and B2) shall be compared to each other to produce a time-lead signal C1 and a time-lag signal C2. The phase comparator shall react to each individual edge with signal C1 or C2, depending on the sign of  $\Delta t_i$ . A tracking error signal shall be produced by smoothing the C1, C2 signals with low-pass filters and by subtracting by means of a unity gain differential amplifier. The low-pass filters shall be 1<sup>st</sup> order filters with a cut-off frequency (-3 dB) of 30 kHz.

Special attention shall be given to the implementation of the circuit because very small time differences have to be measured (1 % of T equals only 0,38 ns). Careful averaging is needed.

The average time difference between two signals from the diagonal pairs of elements of the quadrant detector shall be

$$\overline{\Delta t} = \frac{1}{N} \sum \Delta t_i$$

where N is the number of edges, both rising and falling.

#### F.2 Measurement of $\overline{\Delta t} / T$ without time interval analyzer

The relative time difference  $\overline{\Delta t} / T$  is represented by the amplitude of the tracking error signal provided that the amplitudes of the C1 and C2 signals and the frequency component of the read-out signals are normalized.

The relation between the tracking error amplitude  $\overline{\Delta TVE}$  and the time difference is given by:

$$\overline{\Delta TVE} = \frac{\sum \Delta t_i}{\sum T_i} V_{pc} = \frac{\sum \Delta t_i}{NnT} V_{pc} = \frac{\overline{\Delta t}}{T} \times \frac{V_{pc}}{n}$$

where:

$V_{pc}$  is the amplitude of the C1 and C2 signals

$T_i$  is the actual length of the read-out signal in the range 3T to 14T

$n.T$  is the weighted average value of the actual lengths

$N.n.T$  is the total averaging time.

The specification for the tracking gain can now be rewritten by using the tracking error amplitude as follows:

$$0.5 \times \left( \frac{V_{pc}}{n} \right) \leq \overline{\Delta TVE} \leq 1.1 \times \left( \frac{V_{pc}}{n} \right) \quad \text{at } 0,1 \mu\text{m radial offset.}$$

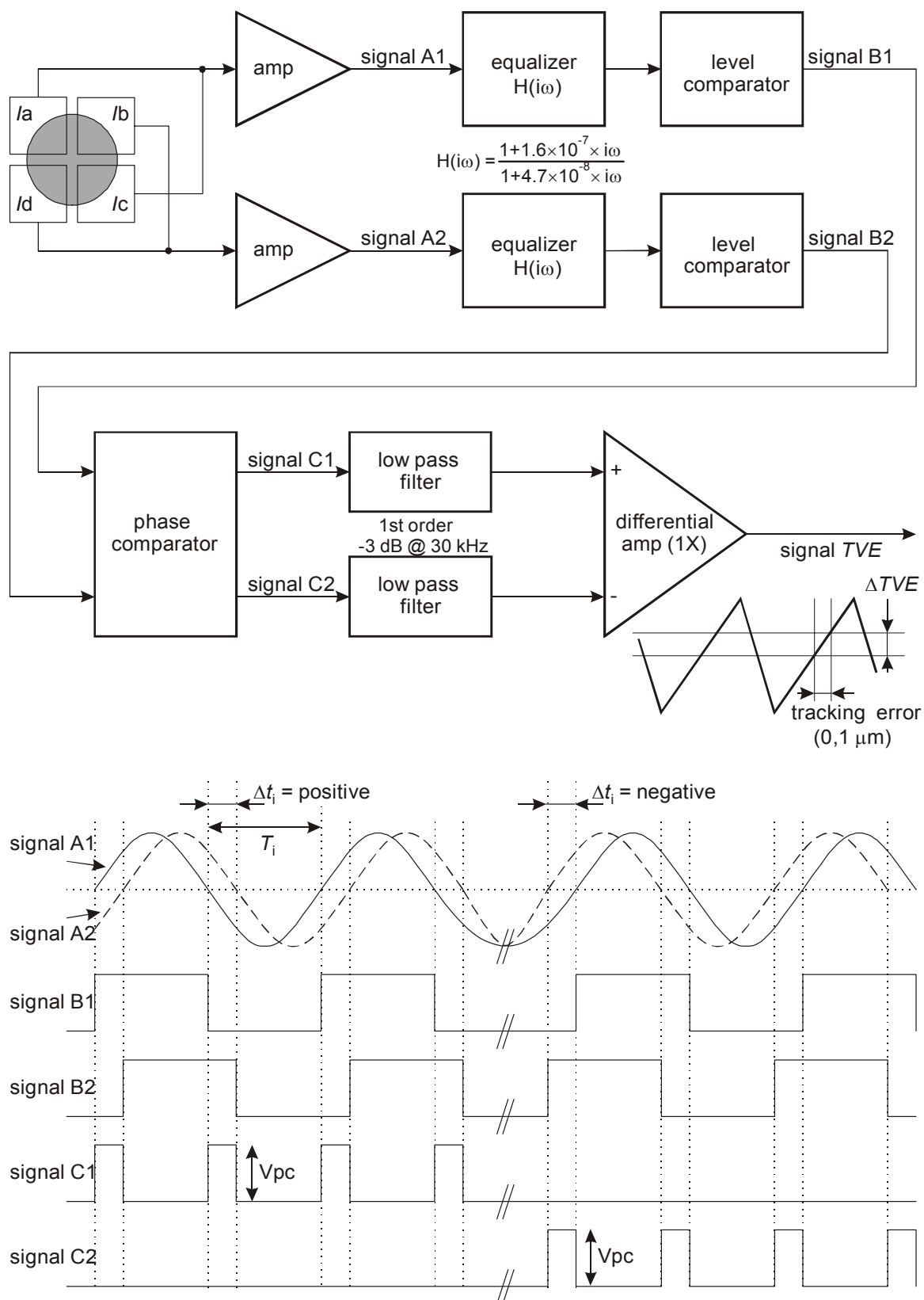


Figure F.1 — Circuit for tracking error measurements

### F.3 Calibration of the circuit

Assuming that  $V_{pc}$  equals  $\approx 5$  V and that the measured value of  $n$  equals  $\approx 5$ , then the above relation between the tracking error amplitude  $\overline{\Delta TVE}$  and the time difference  $\overline{\Delta t}$  can be simplified to:

$$\overline{\Delta TVE} = \frac{\overline{\Delta t}}{T} \times \frac{V_{pc}}{n} \approx \frac{\overline{\Delta t}}{T}$$

The average runlength  $n$  of the 8-to-16 modulated signal is depending on the data content and the averaging time. Therefore the circuit shall be calibrated with a fixed frequency signal, corresponding to a modulated signal with  $5T$  runlengths. For this purpose sinusoidal signals with a frequency of 2,616 MHz can be used.

Typically the pulses of signals C1 and C2 will be generated by some digital gate circuit with an output signal switching between ground and the supply voltage. This voltage swing is assumed to be about 5 volts, however, depending on the applied technology, it may deviate from 5 volts significantly.

Because the formal specification for the DPD signal is:

$$0,5 \leq \frac{\overline{\Delta t}}{T} \leq 1,1 \quad \text{at } 0,1 \mu\text{m radial offset,}$$

the measurement by means of  $\overline{\Delta TVE}$  is influenced by the actual values of  $V_{pc}$  and  $n$ . Therefore the following calibration procedure shall be applied.

#### F.3.1 Saturation of comparators

Make sure that the gain of the level comparators is such that for all actual input signal levels, the signals B1 and B2 are square wave signals. In this case the amplitude of the signal TVE is independent of the amplitude of the input signals.

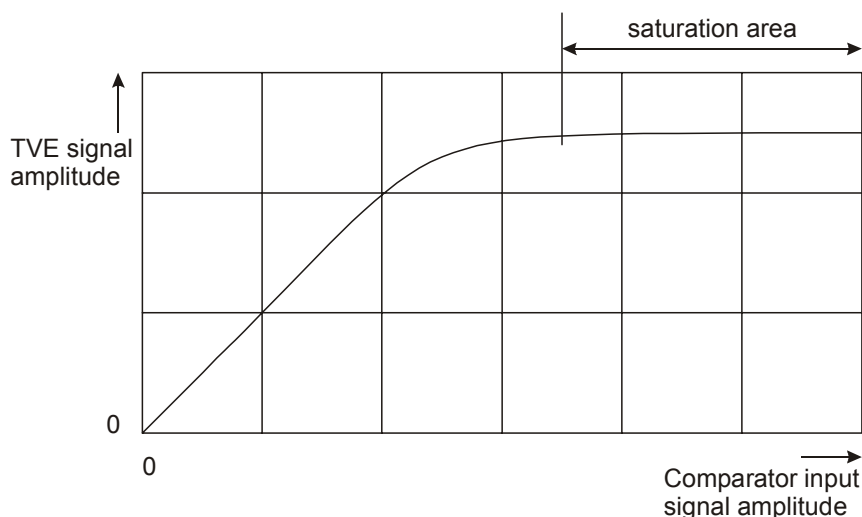


Figure F.2 — Tracking error signal amplitude versus comparator input signal amplitude

#### F.3.2 Correction for $n$ and $V_{pc}$

Because of the above mentioned deviations of  $n$  and  $V_{pc}$ , and possibly some other circuit parameters, a correction factor  $K$  has to be determined, such that:

$$\overline{\Delta t}/T \text{ (real)} = K \times \overline{\Delta TVE} \text{ (measured)}.$$

This can be achieved in the following way:

- a) Generate two sinusoidal signals A1 and A2 of frequency 2,616 MHz with a phase difference, and inject them into the two equalizer circuits.
- b) Measure the relation between  $\overline{\Delta t}/T$  and  $\overline{\Delta TVE}$ , and determine K from Figure F.3:

$$K = \frac{\overline{\Delta t}/T(\text{injected})}{\overline{\Delta TVE}(\text{measured})}. \text{ Now the set-up is ready for use.}$$

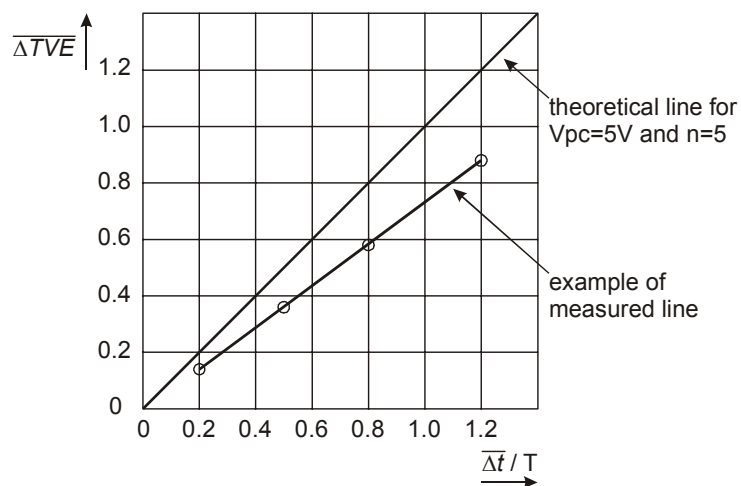


Figure F.3 —  $\overline{\Delta TVE}$  versus  $\overline{\Delta t}/T$



## Annex G (normative)

### The write pulse wave form for testing

For the two layers and for different speed ranges, different write strategies can be used. This version of this document specifies two options:

- a N-1 write strategy, where each runlength of NT is recorded by means of N-1 pulses, and
- a N/2 write strategy, where each runlength of NT is recorded by means of  $\text{int}(N/2)$  pulses.

#### G.1 N-1 write strategy

The write pulse waveform obtained from the NRZI data and the channel clock is shown in Figure G.1. It consists of N-1 pulses, where N is the length of the NRZI pulse expressed in channel clock cycles.

The values for Pw, Pe, and Pc are determined according to the OPC algorithm (see Annex I). An example of the write pulse waveform for the minimum mark, the minimum space and an 8T mark is shown in Figure G.2.

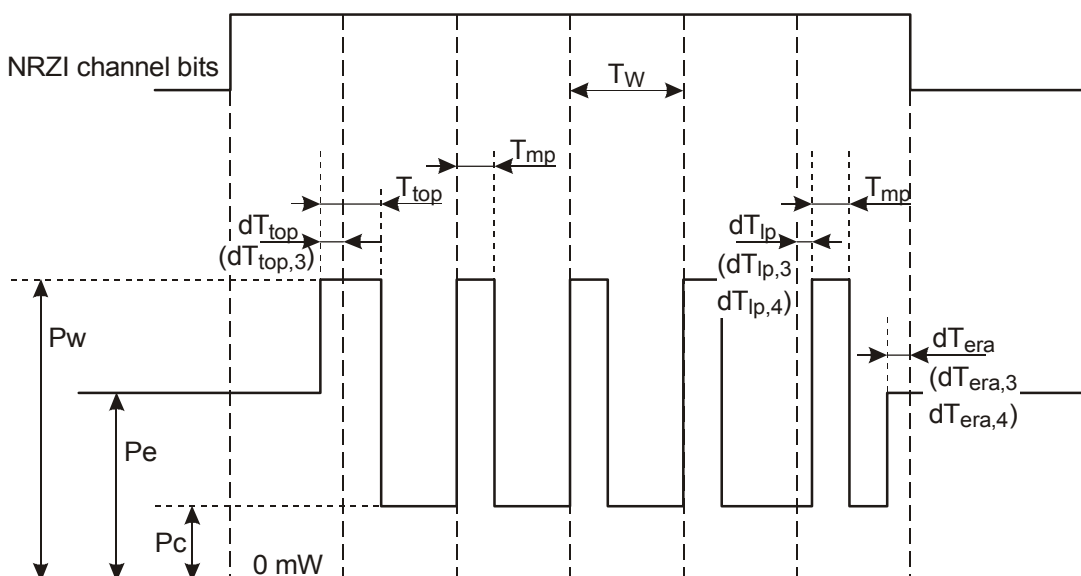


Figure G.1 — Write pulse waveform

#### G.1.1 Timing parameters

The pulse width times,  $T_{\text{top}}$  and  $T_{\text{mp}}$  shall be as indicated by byte 42 and byte 43 in 14.4.2.2 respectively. The duration is defined in fractions of the channel clock period:

$$T_{\text{top}} = i \times \frac{1}{16} T_W, \quad \text{where } i = 3, 4, \dots \text{ or } 15$$

$$T_{\text{mp}} = m \times \frac{1}{16} T_W, \quad \text{where } m = 3, 4, \dots \text{ or } 13$$

The laser power shall be switched to cooling level between each two write pulses for at least  $\frac{3}{16} T_W$ .

The first pulse lead/lag-times, the last pulse lead/lag-time and the erase lead/lag-time shall be as indicated by bytes 44/45, bytes 46/47/48 and bytes 49/50/51 in 14.4.2.2 respectively. The duration is defined in fractions of the channel clock period. (dTx is expressed as a positive value when leading to the related clock edge and is expressed as a negative value when lagging to the related clock edge)

$$dT_{top} = p \times \frac{1}{16} T_W, \quad \text{where } p = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 15 \text{ for any runlength} \geq 4T$$

$$dT_{top,3} = q \times \frac{1}{16} T_W, \quad \text{where } q = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 15 \text{ for runlengths} = 3T$$

$$dT_{lp} = r \times \frac{1}{16} T_W, \quad \text{where } r = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 7 \text{ for any runlength} \geq 5T$$

$$dT_{lp,3} = s \times \frac{1}{16} T_W, \quad \text{where } s = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 7 \text{ for runlengths} = 3T$$

$$dT_{lp,4} = t \times \frac{1}{16} T_W, \quad \text{where } t = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 7 \text{ for runlengths} = 4T$$

$$dT_{era} = u \times \frac{1}{16} T_W, \quad \text{where } u = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 15 \text{ when preceding mark length} \geq 5T$$

$$dT_{era,3} = v \times \frac{1}{16} T_W, \quad \text{where } v = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 15 \text{ when preceding mark length} = 3T$$

$$dT_{era,4} = w \times \frac{1}{16} T_W, \quad \text{where } w = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 15 \text{ when preceding mark length} = 4T$$

The accuracy of all pulse widths shall be better than  $\pm 0,5$  ns.

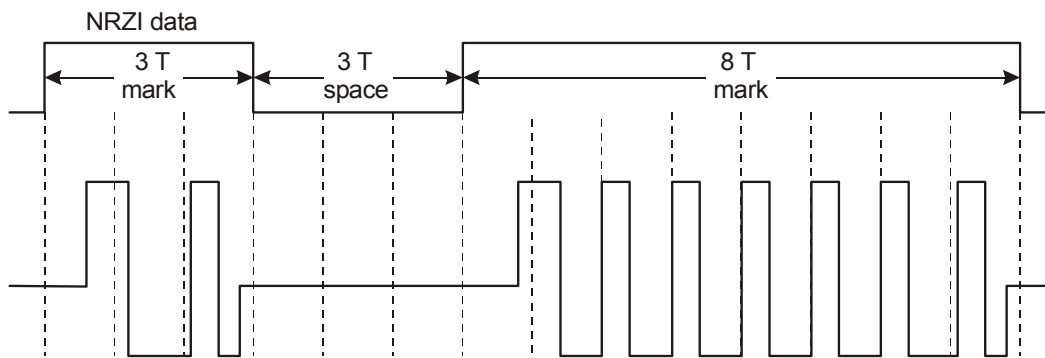


Figure G.2 — Example of a Multiple-pulse

## G.2 N/2 write strategy

The write pulse waveform obtained from the NRZI data and the channel clock is shown in Figure G.3. It consists of  $\text{int}(N/2)$  pulses, where  $\text{int}(x)$  represents the largest integer  $\leq x$  and  $N$  is the length of the NRZI pulse expressed in channel clock cycles. For the 3T runlength the write pulse waveform can consist of 2 pulses or one single pulse (in which case  $T_{lp,3}$  shall be set to 0).

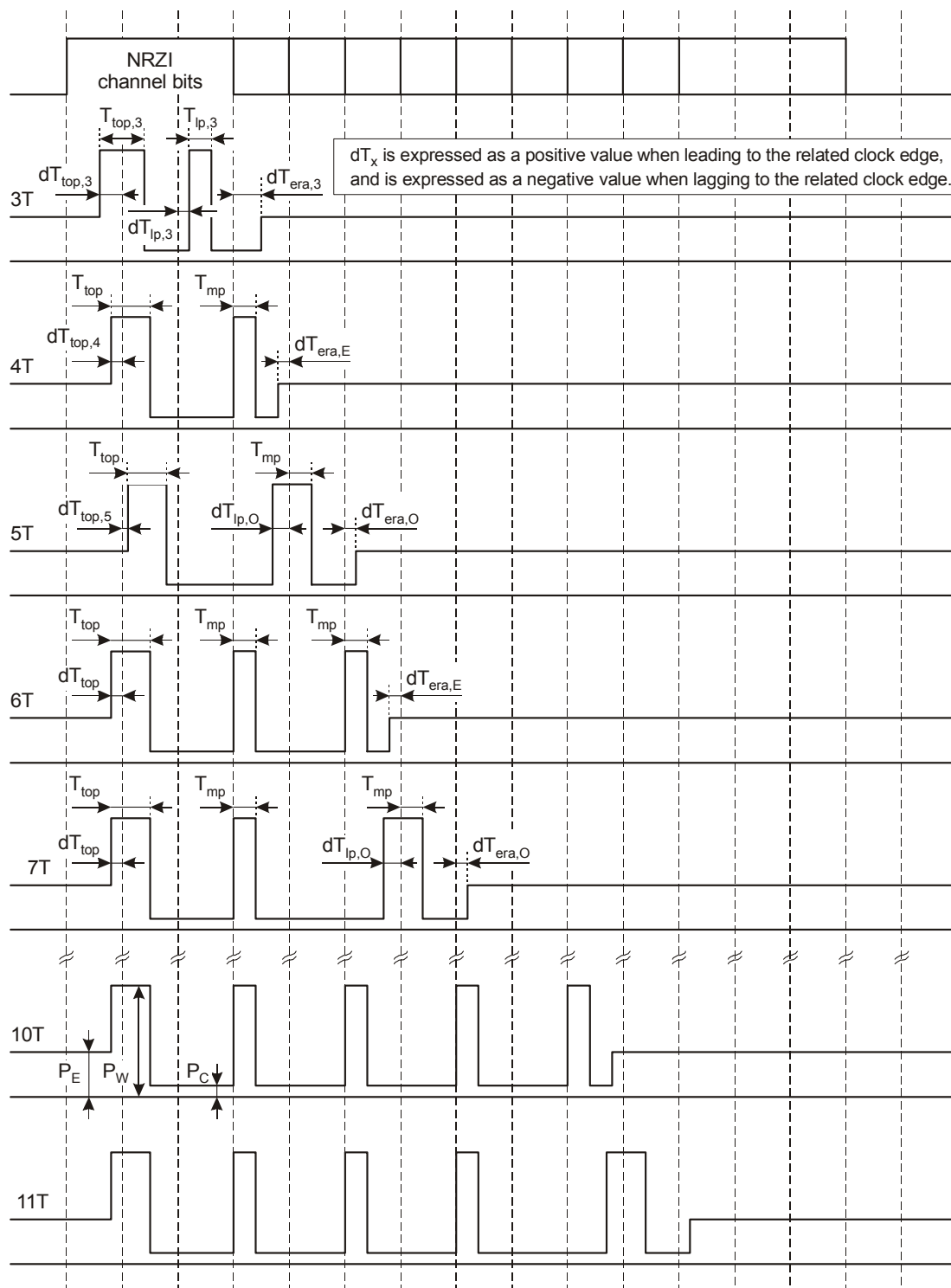


Figure G.3 — Write pulse waveform

The values for  $P_w$ ,  $P_e$ , and  $P_c$  are determined according to the OPC algorithm (see Annex I). An example of the write pulse waveform for the minimum mark, the minimum space and an 8T mark is shown in Figure G.4.

## G.2.1 Timing parameters

The pulse width times,  $T_{mp}$ ,  $T_{top}$ ,  $T_{top,3}$  and  $T_{lp,3}$  shall be as indicated by bytes 79, 80, 81 and 82 in 14.4.2.3.1. The duration is defined in fractions of the channel clock period:

$$T_{mp} = m \times \frac{1}{16} T_W, \quad \text{where } m = 3, 4, \dots \text{ or } 16$$

$$T_{top} = i \times \frac{1}{16} T_W, \quad \text{where } i = 3, 4, \dots \text{ or } 24$$

$$T_{top,3} = j \times \frac{1}{16} T_W, \quad \text{where } j = 3, 4, \dots \text{ or } 24$$

$$T_{lp,3} = k \times \frac{1}{16} T_W, \quad \text{where } k = 0, 3, 4, \dots \text{ or } 16$$

The laser power shall be switched to cooling level between each two write pulses for at least  $\frac{3}{16} T_W$ .

The first pulse lead/lag-times  $dT_{top}$  /  $dT_{top,5}$  /  $dT_{top,4}$  /  $dT_{top,3}$  and the erase lead/lag-time  $dT_{era,O}$  /  $dT_{era,E}$  /  $dT_{era,3}$  shall be as indicated by bytes 83/84/85/86 and 89/90/91 in 14.4.2.3.1. The duration is defined in fractions of the channel clock period:

$$dT_{top} = p \times \frac{1}{16} T_W, \quad \text{where } p = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 8$$

$$dT_{top,5} = q \times \frac{1}{16} T_W, \quad \text{where } q = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 8$$

$$dT_{top,4} = r \times \frac{1}{16} T_W, \quad \text{where } r = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 8$$

$$dT_{top,3} = s \times \frac{1}{16} T_W, \quad \text{where } s = -8, -7, \dots, -1, 0, 1, \dots \text{ or } 8$$

$$dT_{era,O} = u \times \frac{1}{16} T_W, \quad \text{where } u = -24, -23, \dots, -1, 0, 1, \dots \text{ or } 16$$

$$dT_{era,E} = v \times \frac{1}{16} T_W, \quad \text{where } v = -24, -23, \dots, -1, 0, 1, \dots \text{ or } 16$$

$$dT_{era,3} = w \times \frac{1}{16} T_W, \quad \text{where } w = -24, -23, \dots, -1, 0, 1, \dots \text{ or } 16$$

(positive values are leading, negative values are lagging, see Figure G.3)

The last pulse lead/lag-time  $dT_{lp,O}$  (only for odd runlengths  $\geq 5T$ ; the duration of the last pulse in this case shall be  $= T_{mp} + dT_{lp,O}$ ) shall be as indicated by byte 87 in 14.4.2.3.1. The duration is defined in fractions of the channel clock period:

$$dT_{lp,O} = x \times \frac{1}{16} T_W, \quad \text{where } x = 0, 1, \dots \text{ or } 8$$

(positive values are leading, negative values are lagging, see Figure G.3)

The last pulse lead/lag-time  $dT_{lp,3}$  (only for runlengths  $= 3T$ ) shall be as indicated by byte 88 in 14.4.2.3.1. The duration is defined in fractions of the channel clock period:

$$dT_{lp,3} = y \times \frac{1}{16} T_W, \quad \text{where } y = -16, -15, \dots, -1, 0, 1, \dots \text{ or } 8 \quad (dT_{lp,3} \text{ shall be set to } 0 \text{ if } T_{lp,3} = 0)$$

(positive values are leading, negative values are lagging, see Figure G.3)

The accuracy of all pulse widths shall be better than  $\pm 0,5 \text{ ns}$ .

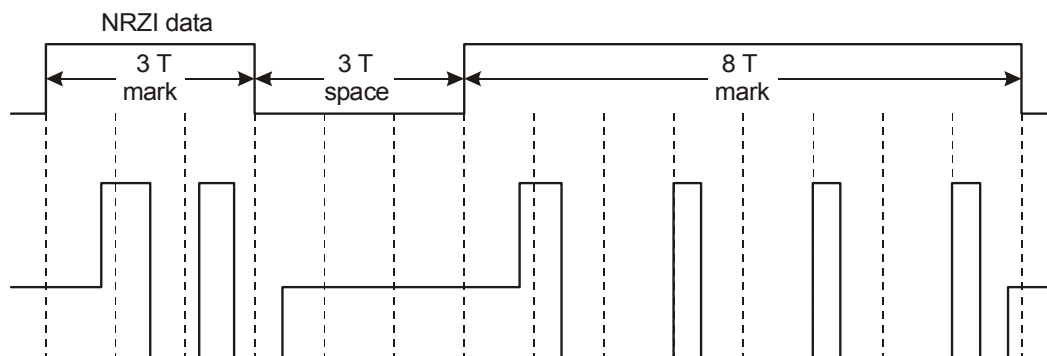


Figure G.4 — Example of a Multiple-pulse

### G.3 Rise and fall times

The rise times,  $T_r$ , and fall times,  $T_f$ , as specified in Figure G.5 shall not exceed 1,7 ns.

Possible overshoots shall be < 20% of the step size ( $P_1$ ,  $P_2$  or  $P_3$ ).

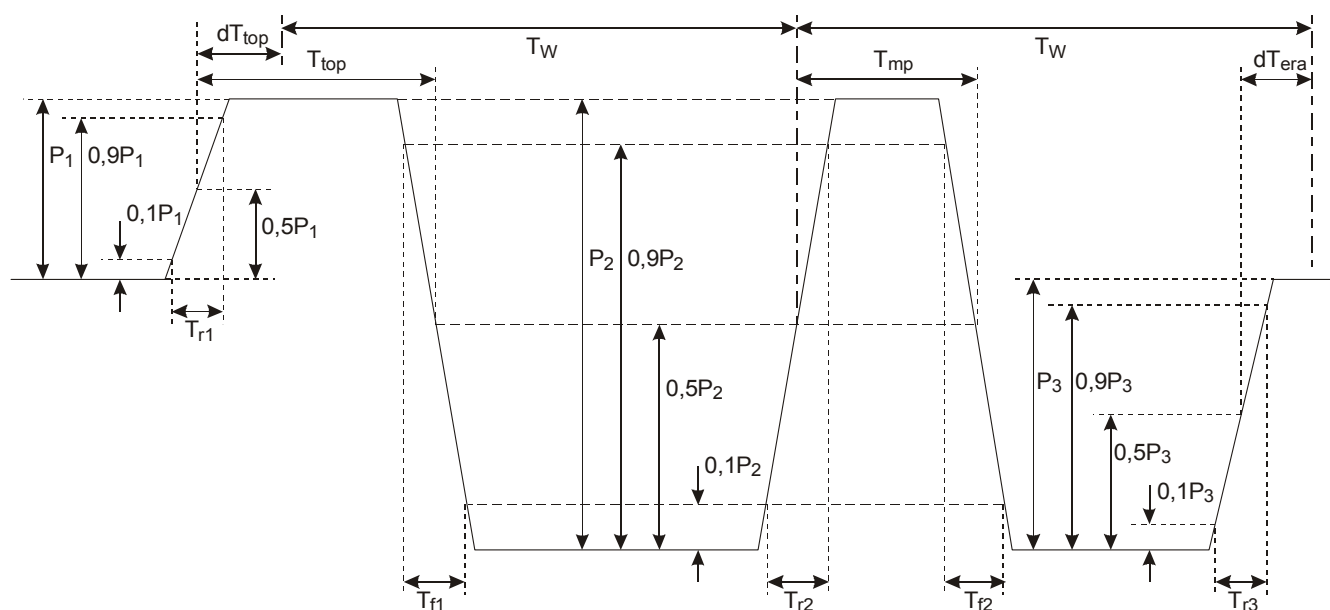
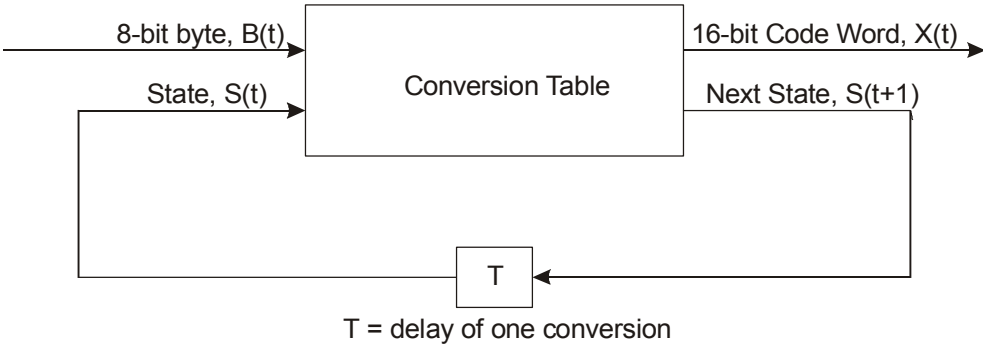


Figure G.5 — Rise Times and Fall Times

Annex H  
(normative)

8-to-16 Modulation

8-to-16 modulation shall satisfy RLL(2,10) requirements. The encoding system is shown in Figure H.1 with the conversion tables shown in table H.1 and table H.2.



Where:  
 $X(t) = H\{B(t), S(t)\}$        $X_{15}(t) = \text{msb}$  and  $X_0(t) = \text{lsb}$   
 $S(t+1) = G\{B(t), S(t)\}$   
H is the output function from the conversion tables  
G is the next-state function from the conversion tables

Figure H.1 — Code Word generating system

The States of the Code Words, X(t), shall be chosen to satisfy the RLL(2,10) requirements of a minimum of 2 ZEROs and a maximum of 10 ZEROs between ONEs of adjacent Code Words.

Code Word X(t)	Next State S(t+1)	Code Word X(t+1)
Ends with 1 or no trailing ZEROs	State 1	Starts with 2 to 9 leading ZEROs
Ends with 2 to 5 trailing ZEROs	State 2	Starts with 1 or up to 5 leading ZEROs and $X_{15}(t+1), X_3(t+1) = 0,0$
Ends with 2 to 5 trailing ZEROs	State 3	Starts with none or up to 5 leading ZEROs and $X_{15}(t+1), X_3(t+1) \neq 0,0$
Ends with 6 to 9 trailing ZEROs	State 4	Starts with 1 or no leading ZEROs

Figure H.2 — Determination of States

Note that when decoding the recorded data, knowledge about the encoder is required to be able to reconstitute the original bytes:  
 $B(t) = H^{-1}\{X(t), S(t)\}$

Because of the involved error propagation, such state-dependent decoding is to be avoided. In the case of this 8-to-16 modulation, the conversion tables have been chosen in such a way that knowledge about the State is

not required in most cases. As can be gathered from the tables, in some cases, two 8-bit bytes, for instance the 8-bit bytes 5 and 6 in States 1 and 2 in table G.1 generate the same 16-bit Code Words. The construction of the tables allows to solve this apparent ambiguity. Indeed, if two identical Code Words leave a State, one of them goes to State 2 and the other to State 3. Because the setting of bits  $X_{15}$  and  $X_3$  is always different in these two States, any Code Word can be uniquely decoded by analysing the Code Word itself together with bits  $X_{15}$  and  $X_3$  of the next Code Word:

$$B(t) = H^{-1}\{X(t), X_{15}(t+1), X_3(t+1)\}$$

The Substitution table, table H.2, is included to insure meeting the DCC requirements of 13.8.

**Table H.1 — Main Conversion Table**

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next State	Code Word		Next State	Code Word		Next State	Code Word		Next State
	msb	lsb		msb	lsb		msb	lsb		msb	lsb	
0	0010000000001001		1	0100000100100000		2	0010000000001001		1	0100000100100000		2
1	0010000000001010		1	0010000000001010		1	1000000100100000		3	1000000100100000		3
2	0010000100100000		2	0010000100100000		2	1000000000010010		1	1000000000010010		1
3	0010000001001000		2	0100010010000000		4	0010000001001000		2	0100010010000000		4
4	0010000001001000		2	0010000001001000		2	1000000100100000		2	1000000100100000		2
5	0010000000010010		2	0010000000010010		2	1001001000000000		4	1001001000000000		4
6	0010000000010010		3	0010000000010010		3	1000100100000000		4	1000100100000000		4
7	0010000001001000		3	0100000000010010		1	0010000001001000		3	0100000000010010		1
8	0010000001001000		3	0010000001001000		3	1000010010000000		4	1000010010000000		4
9	0010000100100000		3	0010000100100000		3	1001001000000001		1	1001001000000001		1
10	0010010010000000		4	0010010010000000		4	1000100100000001		1	1000100100000001		1
11	0010001001000000		4	0010001001000000		4	1000000001001000		3	1000000001001000		3
12	0010010010000001		1	0010010010000001		1	1000000001001000		2	1000000001001000		2
13	0010001001000001		1	0010001001000001		1	1000010010000001		1	1000010010000001		1
14	0010000001001001		1	0100000000010010		3	0010000001001001		1	0100000000010010		3
15	0010000100100001		1	0010000100100001		1	1000001001000001		1	1000001001000001		1
16	0010000001001001		1	0010000001001001		1	1000000001000001		1	1000000001000001		1
17	0010000000010010		1	0010000000010010		1	1000001001000000		4	1000001001000000		4
18	00010000000001001		1	0100000001001000		2	00010000000001001		1	0100000001001000		2
19	00100000000010001		1	00100000000010001		1	1001000100000000		4	1001000100000000		4
20	00010000000001010		1	00010000000001010		1	1000100010000000		4	1000100010000000		4
21	00001000000000010		1	00001000000000010		1	1000000001001001		1	1000000001001001		1
22	00000100000000001		1	00000100000000001		1	1000000000010010		1	1000000000010010		1
23	0010001000100000		2	0010001000100000		2	1000000000010000		2	1000000000010000		2
24	0010000100010000		2	0010000100010000		2	1000000000010000		3	1000000000010000		3
25	00100000010001000		2	01000000000100100		2	00100000010001000		2	01000000000100100		2
26	0010000001000100		2	0010000001000100		2	1000000000010010		1	1000000000010010		1
27	0001000100100000		2	0001000100100000		2	1000000000010001		1	1000000000010001		1
28	00100000000001000		2	01000000010010000		3	00100000000001000		2	01000000010010000		3
29	00010000010010000		2	00010000010010000		2	10010010000000010		1	10010010000000010		1
30	0001000001001000		2	01000000010010000		3	0001000001001000		2	01000000010010000		3
31	00010000000100100		2	00010000000100100		2	10010001000000001		1	10010001000000001		1
32	000100000000000100		2	000100000000000100		2	10001001000000010		1	10001001000000010		1
33	000100000000000100		3	000100000000000100		3	10001000100000001		1	10001000100000001		1
34	00010000000100100		3	00010000000100100		3	10000000000100100		2	10000000000100100		2
35	00010000010010000		3	01000001001000000		4	00010000010010000		3	01000001001000000		4
36	00010000010010000		3	00010000010010000		3	10000000000100100		3	10000000000100100		3
37	00010001001000000		3	00010001001000000		3	10000100010000000		4	10000100010000000		4
38	0010000000000001000		3	01001001000000001		1	0010000000000001000		3	01001001000000001		1

Table H.1 — Main Conversion Table (continued)

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next State	Code Word		Next State	Code Word		Next State	Code Word		Next State
	msb	lsb		msb	lsb		msb	lsb		msb	lsb	
39	0010000001000100		3	0010000001000100		3	1001000010000000		4	1001000010000000		4
40	0010000010001000		3	0100010010000001		1	0010000010001000		3	0100010010000001		1
41	0010000100010000		3	0010000100010000		3	1000010010000010		1	1000010010000010		1
42	0010001000100000		3	0010001000100000		3	1000001000100000		2	1000001000100000		2
43	0010010001000000		4	0010010001000000		4	1000010001000001		1	1000010001000001		1
44	0001001001000000		4	0001001001000000		4	1000001000100000		3	1000001000100000		3
45	0000001000000001		1	0100010001000000		4	1000001001000010		1	0100010001000000		4
46	0010010010000010		1	0010010010000010		1	1000001000100001		1	1000001000100001		1
47	0010000010001001		1	0100001001000001		1	0010000010001001		1	0100001001000001		1
48	0010010001000001		1	0010010001000001		1	1000000100010000		2	1000000100010000		2
49	0010001001000010		1	0010001001000010		1	1000000010001000		2	1000000010001000		2
50	0010001000100001		1	0010001000100001		1	1000000100010000		3	1000000100010000		3
51	0001000001001001		1	0100000100100001		1	0001000001001001		1	0100000100100001		1
52	0010000100100010		1	0010000100100010		1	1000000100100010		1	1000000100100010		1
53	0010000100010001		1	0010000100010001		1	1000000100010001		1	1000000100010001		1
54	0010000010010010		1	0010000010010010		1	1000000010010010		1	1000000010010010		1
55	0010000001000010		1	0010000001000010		1	1000000010001001		1	1000000010001001		1
56	0010000000100001		1	0010000000100001		1	1000000001000010		1	1000000001000010		1
57	0000100000001001		1	0100000010010001		1	0000100000001001		1	0100000010010001		1
58	0001001001000001		1	0001001001000001		1	1000000000100001		1	1000000000100001		1
59	0001000100100001		1	0001000100100001		1	0100000001001001		1	0100000001001001		1
60	0001000010010001		1	0001000010010001		1	1001001000010010		1	1001001000010010		1
61	0001000000100010		1	0001000000100010		1	1001001000001001		1	1001001000001001		1
62	0001000000010001		1	0001000000010001		1	1001000100000010		1	1001000100000010		1
63	0000100000010010		1	0000100000010010		1	1000000001000100		2	1000000001000100		2
64	0000010000000010		1	0000010000000010		1	0100000001001000		2	0100000001001000		2
65	0010010000100000		2	0010010000100000		2	1000010000100000		2	1000010000100000		2
66	0010001000010000		2	0010001000010000		2	1000001000010000		2	1000001000010000		2
67	0010000100001000		2	0100000000100010		1	0010000100001000		2	0100000000100010		1
68	0010000010000100		2	0010000010000100		2	1000000100001000		2	1000000100001000		2
69	001000000010000		2	001000000010000		2	1000000010000100		2	1000000010000100		2
70	0001000010001000		2	0100001000100000		2	0001000010001000		2	0100001000100000		2
71	0001001000100000		2	0001001000100000		2	0100000010001000		2	0100000010001000		2
72	0001000000001000		2	0100000100010000		2	0001000000001000		2	0100000100010000		2
73	0001000100010000		2	0001000100010000		2	1000000001000100		3	1000000001000100		3
74	0001000001000100		2	0001000001000100		2	0100000001001000		3	0100000001001000		3
75	0000100100100000		2	0000100100100000		2	1000010000100000		3	1000010000100000		3
76	0000100010010000		2	0000100010010000		2	1000001000010000		3	1000001000010000		3
77	0000100001001000		2	0100000001000100		2	0000100001001000		2	0100000001000100		2
78	0000100000100100		2	0000100000100100		2	1000000100001000		3	1000000100001000		3
79	0000100000000100		2	0000100000000100		2	10000000010000100		3	10000000010000100		3
80	00001000000000100		3	00001000000000100		3	01000000010001000		3	01000000010001000		3
81	00001000000100100		3	00001000000100100		3	1000100001000000		4	1000100001000000		4
82	0000100001001000		3	0100000001000100		3	0000100001001000		3	0100000001000100		3
83	0000100010010000		3	0000100010010000		3	10000000010001000		3	10000000010001000		3
84	0000100100100000		3	0000100100100000		3	1001001001001000		2	1001001001001000		2
85	0001000000001000		3	0100000100010000		3	0001000000001000		3	0100000100010000		3
86	0001000001000100		3	0001000001000100		3	1001001000100100		2	1001001000100100		2
87	0001000010001000		3	0100001000100000		3	0001000010001000		3	0100001000100000		3
88	0001000100010000		3	0001000100010000		3	1001001001001000		3	1001001001001000		3
89	0001001000100000		3	0001001000100000		3	1001000010000001		1	1001000010000001		1



Table H.1 — Main Conversion Table (continued)

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next State	Code Word		Next State	Code Word		Next State	Code Word		Next State
	msb	lsb		msb	lsb		msb	lsb		msb	lsb	
90	0010000000010000		3	0010000000010000		3	1000100100010010		1	1000100100010010		1
91	0010000010000100		3	0010000010000100		3	1000100100001001		1	1000100100001001		1
92	0010000100001000		3	0100000000010001		1	0010000100001000		3	0100000000010001		1
93	0010001000010000		3	0010001000010000		3	1000100010000010		1	1000100010000010		1
94	0010010000100000		3	0010010000100000		3	1000100001000001		1	1000100001000001		1
95	0000001000000010		1	0100100100000010		1	1000010010010010		1	0100100100000010		1
96	0000000100000001		1	0100100010000001		1	1000010010001001		1	0100100010000001		1
97	0010010010001001		1	0100010000100000		2	0010010010001001		1	0100010000100000		2
98	0010010010010010		1	0010010010010010		1	1001001000000100		2	1001001000000100		2
99	0010010001000010		1	0010010001000010		1	1001001000100100		3	1001001000100100		3
100	0010010000100001		1	0010010000100001		1	1000010001000010		1	1000010001000010		1
101	0010001001001001		1	0100010010000010		1	0010001001001001		1	0100010010000010		1
102	0010001000100010		1	0010001000100010		1	1000010000100001		1	1000010000100001		1
103	0010001000010001		1	0010001000010001		1	1000001001001001		1	1000001001001001		1
104	0010000100010010		1	0010000100010010		1	1000001000100010		1	1000001000100010		1
105	0010000010000010		1	0010000010000010		1	1000001000010001		1	1000001000010001		1
106	0010000100001001		1	0100001000010000		2	0010000100001001		1	0100001000010000		2
107	0010000001000001		1	0010000001000001		1	1000000100010010		1	1000000100010010		1
108	0001001001000010		1	0001001001000010		1	1000000100001001		1	1000000100001001		1
109	0001001000100001		1	0001001000100001		1	1000000010000010		1	1000000010000010		1
110	0001000100100010		1	0001000100100010		1	1000000001000001		1	1000000001000001		1
111	0001000100010001		1	0001000100010001		1	0100000010001001		1	0100000010001001		1
112	0001000010010010		1	0001000010010010		1	1001001001001001		1	1001001001001001		1
113	0001000001000010		1	0001000001000010		1	1001001000100010		1	1001001000100010		1
114	0001000010001001		1	0100010000100000		3	0001000010001001		1	0100010000100000		3
115	0001000000100001		1	0001000000100001		1	1001001000010001		1	1001001000010001		1
116	0000100100100001		1	0000100100100001		1	1001000100010010		1	1001000100010010		1
117	0000100010010001		1	0000100010010001		1	1001000100001001		1	1001000100001001		1
118	0000100001001001		1	0100010001000001		1	0000100001001001		1	0100010001000001		1
119	0000100000100010		1	0000100000100010		1	1000100100100100		2	1000100100100100		2
120	0000100000010001		1	0000100000010001		1	1000100100000100		2	1000100100000100		2
121	0000010000001001		1	0100001001000010		1	0000010000001001		1	0100001001000010		1
122	00000100000010010		1	00000100000010010		1	1000100000100000		2	1000100000100000		2
123	0010010010000100		2	0010010010000100		2	1000010010000100		2	1000010010000100		2
124	0010010000010000		2	0010010000010000		2	1000010000010000		2	1000010000010000		2
125	0010001000001000		2	0100001000100001		1	0010001000001000		2	0100001000100001		1
126	0010001001000100		2	0010001001000100		2	1000001001000100		2	1000001001000100		2
127	0001000100001000		2	0100000100100010		1	0001000100001000		2	0100000100100010		1
128	0010000100100100		2	0010000100100100		2	1000001000001000		2	1000001000001000		2
129	0000100010001000		2	0100000100010001		1	0000100010001000		2	0100000100010001		1
130	0010000100000100		2	0010000100000100		2	1000000100100100		2	1000000100100100		2
131	0010000000100000		2	0010000000100000		2	1001001000000100		3	1001001000000100		3
132	0001001000010000		2	0001001000010000		2	1000100100100100		3	1000100100100100		3
133	0000100000001000		2	0100000010010010		1	0000100000001000		2	0100000010010010		1
134	0001000010000100		2	0001000010000100		2	1000100000100000		3	1000100000100000		3
135	0000100000001000		2	0001000000010000		2	1000010010000100		3	1000010010000100		3
136	0000100100010000		2	0000100100010000		2	1000010000010000		3	1000010000010000		3
137	0000100001000100		2	0000100001000100		2	1000001001000100		3	1000001001000100		3
138	0000010001001000		2	0100000001000010		1	0000010001001000		2	0100000001000010		1
139	0000010010010000		2	0000010010010000		2	1000001000001000		3	1000001000001000		3

Table H.1 — Main Conversion Table (continued)

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next State	Code Word		Next State	Code Word		Next State	Code Word		Next State
	msb	lsb		msb	lsb		msb	lsb		msb	lsb	
140	0000010000100100		2	0000010000100100		2	1001000010000010		1	1001000010000010		1
141	00000100000000100		2	00000100000000100		2	1000000100000100		2	1000000100000100		2
142	00000100000000100		3	00000100000000100		3	1000000100100100		3	1000000100100100		3
143	0000010000100100		3	0000010000100100		3	1000000100000100		3	1000000100000100		3
144	0000010001001000		3	0100000010000100		2	0000010001001000		3	0100000010000100		2
145	0000010010010000		3	0000010010010000		3	1001000001000000		4	1001000001000000		4
146	00001000000001000		3	01000000000010000		2	00001000000001000		3	01000000000010000		2
147	0000100001000100		3	0000100001000100		3	10000000000100000		2	10000000000100000		2
148	0000100010001000		3	0100000010000100		3	0000100010001000		3	0100000010000100		3
149	0000100100010000		3	0000100100010000		3	10000000000100000		3	10000000000100000		3
150	00010000000010000		3	00010000000010000		3	0100000100001000		3	0100000100001000		3
151	0001000010000100		3	0001000010000100		3	10000000001000000		4	10000000001000000		4
152	0001000100001000		3	0100001000010000		3	0001000100001000		3	0100001000010000		3
153	0001001000010000		3	0001001000010000		3	1001000001000001		1	1001000001000001		1
154	0010000000100000		3	0010000000100000		3	0100000100001000		2	0100000100001000		2
155	0010000100000100		3	0010000100000100		3	1001000100100100		3	1001000100100100		3
156	0010000100100100		3	0010000100100100		3	1000100100100010		1	1000100100100010		1
157	0010001000001000		3	0100000000100001		1	0010001000001000		3	0100000000100001		1
158	0010001001000100		3	0010001001000100		3	1000100100000100		3	0100100100000000		4
159	0010010000010000		3	0010010000010000		3	1001001001000100		2	1001001001000100		2
160	0010010010000100		3	0010010010000100		3	1001001000001000		2	1001001000001000		2
161	0000001000010010		1	0100000000010000		3	1000100100010001		1	0100000000010000		3
162	0000001000001001		1	0100100100100100		2	1000100010010010		1	0100100100100100		2
163	0000000100000010		1	0100100100100100		3	1000100010001001		1	0100100100100100		3
164	0000000010000001		1	0100100100010010		1	1000100001000010		1	0100100100010010		1
165	0010010010010001		1	0010010010010001		1	1001000100100100		2	1001000100100100		2
166	0010010000100010		1	0010010000100010		1	1001000100000100		2	1001000100000100		2
167	0010010001001001		1	0100100100000100		2	0010010001001001		1	0100100100000100		2
168	0010010000010001		1	0010010000010001		1	1001001001000100		3	1001001001000100		3
169	0010001000010010		1	0010001000010010		1	1000100000100001		1	1000100000100001		1
170	0010000100000010		1	0010000100000010		1	1000010010010001		1	1000010010010001		1
171	0010001000001001		1	0100100000100000		3	0010001000001001		1	0100100000100000		3
172	0010000010000001		1	0010000010000001		1	1000010001001001		1	1000010001001001		1
173	0001001000100010		1	0001001000100010		1	1000010000100010		1	1000010000100010		1
174	0001001000010001		1	0001001000010001		1	1000010000010001		1	1000010000010001		1
175	0001000100010010		1	0001000100010010		1	1000001000010010		1	1000001000010010		1
176	0001000010000010		1	0001000010000010		1	1000001000001001		1	1000001000001001		1
177	0001001001001001		1	0100100010000010		1	0001001001001001		1	0100100010000010		1
178	0001000001000001		1	0001000001000001		1	1000000100000010		1	1000000100000010		1
179	0000100100100010		1	0000100100100010		1	1000000010000001		1	1000000010000001		1
180	0000100100010001		1	0000100100010001		1	0100100100001001		1	0100100100001001		1
181	0001000100001001		1	0100100000100000		2	0001000100001001		1	0100100000100000		2
182	0000100010010010		1	0000100010010010		1	0100010010001001		1	0100010010001001		1
183	0000100001000010		1	0000100001000010		1	0100001001001001		1	0100001001001001		1
184	0000100010001001		1	0100010010000100		3	0000100010001001		1	0100010010000100		3
185	0000100000100001		1	0000100000100001		1	1001000000100000		2	1001000000100000		2
186	0000010010010001		1	0000010010010001		1	1000100100001000		2	1000100100001000		2
187	0000010000100010		1	0000010000100010		1	1000100010000100		2	1000100010000100		2
188	0000010001001001		1	0100100001000001		1	0000010001001001		1	0100100001000001		1
189	0000010000010001		1	0000010000010001		1	1000100000010000		2	1000100000010000		2

Table H.1 — Main Conversion Table (continued)

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next State	Code Word		Next State	Code Word		Next State	Code Word		Next State
	msb	lsb		msb	lsb		msb	lsb		msb	lsb	
190	000000	1001001000	2	01000100	10000100	2	10000100	10001000	2	01000100	10000100	2
191	000000	1000100100	2	01000100	0000010000	2	10000100	0001000100	2	01000100	0000010000	2
192	000000	10000000100	2	010000100	1000100	2	10000100	0000001000	2	010000100	1000100	2
193	001001	0010001000	2	01000100	0000010000	3	00100100	10001000	2	01000100	0000010000	3
194	001001	000010001000	2	00100100	0001000100	2	100000100	1001001000	2	100000100	1001001000	2
195	001001	000000001000	2	01000100	10010010010	1	00100100	00000001000	2	01000100	10010010010	1
196	001000	10001000100	2	001000100	00100100	2	100000100	000100100	2	100000100	000100100	2
197	001000	10000000100	2	001000100	0000000100	2	100000100	0000000100	2	100000100	0000000100	2
198	001000	1001001000	2	01000100	000100010	1	001000100	1001001000	2	01000100	000100010	1
199	000100	1001000100	2	000100100	1000100	2	010000100	000001000	2	010000100	000001000	2
200	000100	001000100100	2	0001000100	100100	2	1001000000	100000	3	1001000000	100000	3
201	000100	00100000100	2	0001000100	000000100	2	1000100100	00001000	3	1000100100	00001000	3
202	000100	10000001000	2	01000100	0000100001	1	000100100	000001000	2	01000100	0000100001	1
203	000100	0000000100000	2	0001000000	100000	2	10001000100	0000100	3	10001000100	0000100	3
204	00001000	10000100	2	00001000	10000100	2	10000100	10001000	3	10000100	10001000	3
205	00001000	000000010000	2	00001000	000000010000	2	10000100	1000100	3	10000100	1000100	3
206	0000100	100001000	2	010000100	000100010	1	0000100	100001000	2	010000100	000100010	1
207	000000	10010001000	2	010000100	0000100001	1	000000	10010001000	2	010000100	0000100001	1
208	000000	10001000100	2	000000	10001000100	2	100000	1000100100	3	100000	1000100100	3
209	000000	100000001000	2	0100000100	0010010	1	000000	100000001000	2	0100000100	0010010	1
210	000000	10000000100	3	01000000	10000010	1	10000100	0000001000	3	01000000	10000010	1
211	000000	1000100100	3	0100000100	100100100	2	100000	1001001000	3	0100000100	100100100	2
212	000000	1001001000	3	0100000100	00000100	2	100000	10000000100	3	0100000100	00000100	2
213	000000	100000001000	3	010000000	1000001	1	000000	100000001000	3	010000000	1000001	1
214	000000	10001000100	3	000000	10001000100	3	010000	1000001000	3	010000	1000001000	3
215	000000	10010001000	3	0100000000	100000	2	000000	10010001000	3	0100000000	100000	2
216	00001000	000000010000	3	00001000	000000010000	3	100100	1000010000	3	100100	1000010000	3
217	00001000	1000010000	3	00001000	10000100	3	1001000	100000100	3	1001000	100000100	3
218	0000100	1000010000	3	0100000	100000100	3	0000100	100001000	3	0100000	100000100	3
219	000100	0000000100000	3	0001000000	100000	3	0100000	100001001	1	0100000	100001001	1
220	0001000	1000000100	3	0001000100	00000100	3	100100	1000010000	2	100100	1000010000	2
221	0001000	100100100	3	0001000100	100100100	3	1001000	100001000	2	1001000	100001000	2
222	000100	10000001000	3	0100000	100100100	3	000100	10000001000	3	0100000	100100100	3
223	000100	1001000100	3	000100100	1000100	3	100100	10000001000	3	100100	10000001000	3
224	001000	10000000100	3	001000100	0000000100	3	1000100	000000010000	3	1000100	000000010000	3
225	001000	1000100100	3	001000100	100100	3	100100	1001000010	1	100100	1001000010	1
226	001000	1001001000	3	010000	1001000100	3	001000	1001001000	3	010000	1001000100	3
227	001001	000000001000	3	0100100	100000100	3	001001	000000001000	3	0100100	1000000100	3
228	001001	000010001000	3	001001000	1000100	3	1001000	100001000	3	1001000	100001000	3
229	001001	00100010001000	3	0100000000	100000	3	00100100	10001000	3	0100000000	100000	3
230	001000	00000001000000	4	001000000	1000000	4	100100	1000100001	1	100100	1000100001	1
231	000000	1001001001	1	0100100	100100010	1	1001000	100100010	1	0100100	100100010	1
232	000000	10001000010	1	01001000	10000100	2	1001000	1000100001	1	01001000	10000100	2
233	000000	10000100001	1	0100100000	010000	2	10010000	10010010	1	0100100000	010000	2
234	0000000	100010010	1	010000000	1000000	4	10010000	10001001	1	010000000	1000000	4
235	0000000	1000010001	1	0100100	100010001	1	100100000	1000010	1	0100100	100010001	1
236	00000000	10000010	1	01001000	10010010	1	1001000000	100001	1	01001000	10010010	1
237	000000000	1000001	1	010010000	1000010	1	1000100	100100001	1	010010000	1000010	1
238	001001	00000010010	1	0010010000	10010	1	10001000	10010001	1	10001000	10010001	1
239	001000	10000000010	1	0010001000	000010	1	10010000	10000100	3	10010000	10000100	3

Table H.1 — Main Conversion Table (*concluded*)

8-bit byte	State 1		State 2		State 3		State 4	
	Code Word		Code Word		Code Word		Code Word	
	msb	lsb	msb	lsb	msb	lsb	msb	lsb
240	0010010000001001	1	0100100010000100	3	0010010000001001	1	0100100010000100	3
241	0010000100000001	1	0010000100000001	1	1001000010000100	2	1001000010000100	2
242	0001001000010010	1	0001001000010010	1	1000000010000000	4	1000000010000000	4
243	0001000100000010	1	0001000100000010	1	1000100001001001	1	1000100001001001	1
244	0001001000001001	1	0100100000100001	1	0001001000001001	1	0100100000100001	1
245	0001000010000001	1	0001000010000001	1	1000100000100010	1	1000100000100010	1
246	0000100100010010	1	0000100100010010	1	1000100000010001	1	1000100000010001	1
247	0000100010000010	1	0000100010000010	1	1000010000010010	1	1000010000010010	1
248	0000100100001001	1	0100010010010001	1	0000100100001001	1	0100010010010001	1
249	0000100001000001	1	0000100001000001	1	1000010000001001	1	1000010000001001	1
250	0000010010010010	1	0000010010010010	1	1000001000000010	1	1000001000000010	1
251	0000010001000010	1	0000010001000010	1	1000000100000001	1	1000000100000001	1
252	0000010010001001	1	0100010000100010	1	0000010010001001	1	0100010000100010	1
253	0000010000100001	1	0000010000100001	1	0100100010001001	1	0100100010001001	1
254	0000001001000100	2	0100010000010001	1	1001000000010000	2	0100010000010001	1
255	0000001000001000	2	0100001000010010	1	1000100100010000	2	0100001000010010	1

Table H.2 — Substitution Conversion Table

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next State	Code Word		Next State	Code Word		Next State	Code Word		Next State
	msb	lsb		msb	lsb		msb	lsb		msb	lsb	
0	0000010010000000		4	0000010010000000		4	0100100001001000		2	0100100001001000		2
1	0000100100000000		4	0000100100000000		4	0100100001001000		3	0100100001001000		3
2	0001001000000000		4	0001001000000000		4	0100100000001001		1	0100100000001001		1
3	0000001001000000		4	0100010000000001		1	1000001000000000		4	0100010000000001		1
4	0000000010010000		3	0100100000000010		1	1001000000000100		3	0100100000000010		1
5	0000000010010000		3	0100001000000000		4	1001000000100100		3	0100001000000000		4
6	0000000001001000		3	0100100000000100		2	1001000001001000		3	0100100000000100		2
7	0000000001001000		2	0100000100000000		4	1001000000000100		2	0100000100000000		4
8	0000000010010000		2	0100100010010000		3	1001000000100100		2	0100100010010000		3
9	0000000010010000		2	0100100000100100		2	1001000001001000		2	0100100000100100		2
10	0000010001000000		4	0000010001000000		4	1001001001000000		4	1001001001000000		4
11	0000100010000000		4	0000100010000000		4	1000100001001000		3	1000100001001000		3
12	0001000100000000		4	0001000100000000		4	0100010001001000		3	0100010001001000		3
13	0010001000000000		4	0010001000000000		4	1000100000000100		3	1000100000000100		3
14	0000001000100000		3	0100100000000100		3	1001000010010000		3	0100100000000100		3
15	0000000010001000		3	0100100010010000		2	1001000100100000		3	0100100010010000		2
16	0000000010001000		3	0100001000000001		1	0100100000001000		3	0100001000000001		1
17	0000000001000100		3	0100010000000010		1	0100100010001000		3	0100010000000010		1
18	0000000001000100		2	0100100000100100		3	1001000010010000		2	0100100000100100		3
19	0000000010001000		2	0100100100100000		3	1001000100100000		2	0100100100100000		3
20	0000000010001000		2	0100100100100000		2	0100010001001000		2	0100100100100000		2
21	0000001000100000		2	0100100000010010		1	0100100000001000		2	0100100000010010		1
22	0000010010000001		1	0000010010000001		1	1000100000100100		3	1000100000100100		3
23	0000100100000001		1	0000100100000001		1	1000100010010000		3	1000100010010000		3
24	0001001000000001		1	0001001000000001		1	0100100010001000		2	0100100010001000		2
25	0010010000000001		1	0010010000000001		1	1000100000000100		2	1000100000000100		2
26	0000000001001001		1	0100010000000100		3	1000010000000001		1	0100010000000100		3
27	0000000001001001		1	0100000100000001		1	1000100000000010		1	0100000100000001		1
28	0000000010010001		1	0100010000000100		2	1001000000001001		1	0100010000000100		2
29	0000001001000001		1	0100001000000010		1	1001000000010010		1	0100001000000010		1
30	0000100001000000		4	0000100001000000		4	1000100000100100		2	1000100000100100		2
31	0001000010000000		4	0001000010000000		4	1000100001001000		2	1000100001001000		2
32	0010000100000000		4	0010000100000000		4	0100010000001001		1	0100010000001001		1
33	0000010000100000		3	0000010000100000		3	0100100001001001		1	0100100001001001		1
34	0000001000010000		3	01000100000010010		1	1000100100100000		3	01000100000010010		1
35	00000000100001000		3	01001000000010001		1	1001000000001000		3	01001000000010001		1
36	00000000010000100		3	01000000010000000		4	1001000001000100		3	01000000010000000		4
37	0000010000100000		2	0000010000100000		2	1000001000000001		1	1000001000000001		1
38	00000000010000100		2	0100010000100100		3	1000100010010000		2	0100010000100100		3
39	00000000100001000		2	0100010000100100		2	1000100100100000		2	0100010000100100		2
40	0000001000010000		2	01001000000100010		1	1001000000001000		2	01001000000100010		1
41	0000010001000001		1	0000010001000001		1	1000010000000010		1	1000010000000010		1
42	0000010010000010		1	0000010010000010		1	1000000100000000		4	1000000100000000		4
43	0000100010000001		1	0000100010000001		1	1001000001000100		2	1001000001000100		2
44	0000100100000010		1	0000100100000010		1	1000100000001001		1	1000100000001001		1
45	0001000100000001		1	0001000100000001		1	1001000010001000		3	1001000010001000		3
46	0001001000000010		1	0001001000000010		1	1001000100010000		3	1001000100010000		3
47	0010001000000001		1	0010001000000001		1	1000100000010010		1	1000100000010010		1
48	0010010000000010		1	0010010000000010		1	0100010000001000		3	0100010000001000		3
49	0000000001000010		1	0100100010010001		1	1001000000010001		1	0100100010010001		1

Table H.2 — Substitution Conversion Table (concluded)

8-bit byte	State 1			State 2			State 3			State 4		
	Code Word		Next	Code Word		Next	Code Word		Next	Code Word		Next
	msb	lsb	State	msb	lsb	State	msb	lsb	State	msb	lsb	State
50	00000000	10001001	1	01001000	1000100	3	10010000	000100	1	01001000	1000100	3
51	00000000	10010010	1	01000100	10010000	3	10010000	1001001	1	01000100	10010000	3
52	00000000	100010001	1	01000100	10010000	2	10010000	10010001	1	01000100	10010000	2
53	00000000	100100010	1	01001000	1000100	2	10010001	100100001	1	01001000	1000100	2
54	00000001	1000100001	1	01001001	100100001	1	10010010	1001000001	1	01001001	100100001	1
55	00000001	1001000010	1	01001001	100010000	3	0100001	1000001001	1	01001001	100010000	3
56	00010000	001000000	4	00010000	001000000	4	1001001	1000100000	3	1001001	1000100000	3
57	00100000	100000000	4	00100000	100000000	4	10010000	10001000	2	10010000	10001000	2
58	00100100	10010000	3	00100100	10010000	3	10010001	100010000	2	10010001	100010000	2
59	00100100	10001000	3	01001001	100010000	2	00100100	1000100100	3	01001001	100010000	2
60	00100100	000100100	3	00100100	000100100	3	1001001	1000100000	2	1001001	1000100000	2
61	00100100	0000000100	3	00100100	00000000100	3	0100001	1001001000	2	0100001	1001001000	2
62	00010010	100100100	3	01000000	100000001	1	0001001	1001001000	3	01000000	100000001	1
63	00010010	1000100100	3	00010010	1000100100	3	0100001	1001001000	3	0100001	1001001000	3
64	00010010	0000000100	3	00010010	00000000100	3	01000100	10001000	3	01000100	10001000	3
65	00001001	100100100	3	00001001	100100100	3	01001001	100001000	3	01001001	100001000	3
66	00001001	1000000100	3	00001001	1000000100	3	100001	10000000100	3	100001	10000000100	3
67	00001000	000100000	3	00001000	000100000	3	100001	10000100100	3	100001	10000100100	3
68	00000100	10000100	3	00000100	10000100	3	100001	10001001000	3	100001	10001001000	3
69	00000100	0000010000	3	00000100	0000010000	3	100001	10010010000	3	100001	10010010000	3
70	00000010	1000100100	3	0100001	10000000100	2	1000100	0000001000	3	0100001	10000000100	2
71	00000010	0000001000	3	0100100	0000001000	3	10001000	10001000	3	0100100	0000001000	3
72	00000001	100100100	3	01000100	1000100	3	10001001	100010000	3	01000100	1000100	3
73	00000000	100000100	3	0100001	1000100100	3	1001000	00000010000	3	0100001	1000100100	3
74	00000100	0000010000	2	00000100	0000010000	2	10001000	1000100	3	10001000	1000100	3
75	00010010	100100100	2	0100001	10000000100	3	0001001	1001001000	2	0100001	10000000100	3
76	00000100	10000100	2	00000100	10000100	2	010001	100000001000	2	010001	100000001000	2
77	00001000	0000010000	2	00001000	0000010000	2	010001	1001001000	2	010001	1001001000	2
78	00100100	1000100100	2	0100000	1000000010	1	001001	10001001000	2	0100000	1000000010	1
79	00001001	100000100	2	00001001	100000100	2	01001001	100001000	2	01001001	100001000	2
80	00001001	100100100	2	00001001	100100100	2	100001	10000000100	2	100001	10000000100	2
81	00010010	0000000100	2	00010010	00000000100	2	100001	10000100100	2	100001	10000100100	2
82	00010010	1000100100	2	00010010	1000100100	2	100001	10001001000	2	100001	10001001000	2
83	00100100	00000000100	2	00100100	00000000100	2	100001	10010010000	2	100001	10010010000	2
84	00100100	00000100100	2	00100100	00000100100	2	1000100	000000001000	2	1000100	000000001000	2
85	00100100	10010000	2	00100100	10010000	2	010001	10001001001	1	010001	10001001001	1
86	00000000	100000100	2	0100001	1000100100	2	10001000	1000100	2	0100001	1000100100	2
87	00000000	100100100	2	01000100	1000100	2	10001000	10001000	2	01000100	1000100	2

## Annex I (normative)

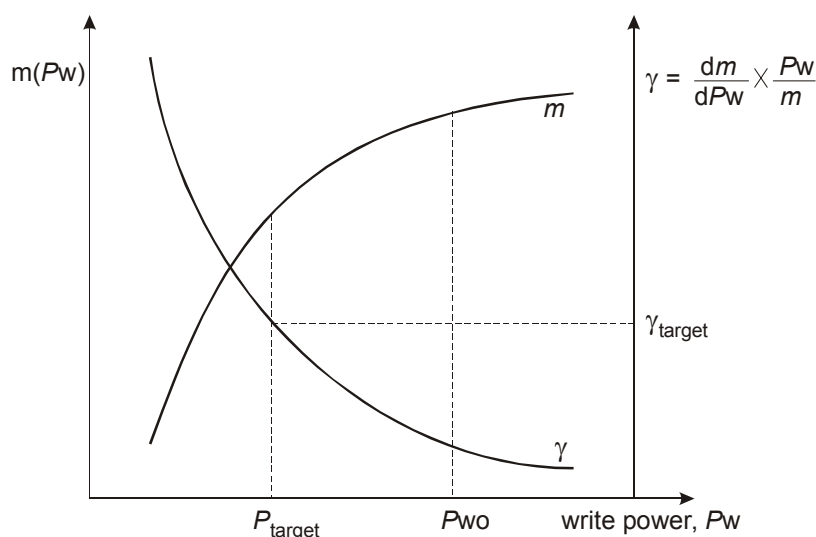
### Optimum Power Control

#### I.1 Optimum recording power

The optimum recording powers  $P_{wo}$ ,  $P_{eo}$ , and  $P_{co}$  depend on the disk, the drive and the recording speed.

The determination of values for  $P_{wo}$ ,  $P_{eo}$ , and  $P_{co}$  for the actual disk/drive combination at the actual recording speed, is called the Optimum Power Control procedure (OPC procedure).

For a sensitive OPC procedure, the modulation versus power curve  $m(P_w)$  shall be determined in a power range with sufficient variation of the modulation as a function of the power (slope  $\gamma = (dm/dP_w)/(m/P_w)$  between about 0,5 and 2,0; see Figure I.1). The OPC procedure determines for the actual disk/drive combination and recording speed, the value  $P_{target}$  of the power for which  $\gamma = \gamma_{target}$ .



**Figure I.1 — Modulation and Gamma functions versus power**

To facilitate the OPC procedure, values are provided for  $P_{IND}$ ,  $\gamma_{target}$ ,  $\rho$ ,  $\varepsilon_1$ ,  $\varepsilon_2$  and  $\beta_{optimum}$  in the Physical format information. These values can be used as starting values in test recordings for the determination of the actual optimum  $P_{wo}$ ,  $P_{eo}$ , and  $P_{co}$ .

The relevance of the parameters for determining  $P_{wo}$ ,  $P_{eo}$ , and  $P_{co}$  is shown in the following formulas and Figure I.1:

$m = I_{14} / I_{14H}$	: the modulation amplitude of the HF signal
$\gamma = (dm/dP_w) / (m/P_w)$	: the normalized slope of the function $m(P_w)$
$P_{IND}$	: indicated estimate for $P_{target}$ in the Physical format information
$P_{target} = P_w(\text{at } \gamma_{target})$	: the Write power at $\gamma = \gamma_{target}$
$\rho$	: the multiplication factor to obtain $P_{wo}$
$P_{wo} = \rho \times P_{target}$	: the optimum Write power
$\varepsilon_1$	: the Erase / Write power ratio

$\varepsilon_2$	: the Cooling / Write power ratio
$P_{eo} = \varepsilon_1 \times P_{wo}$	: the optimum Erase power $P_{eo}$
$P_{co} = \varepsilon_2 \times P_{wo}$	: the optimum Cooling power $P_{co}$
$\beta_{\text{optimum}}$	: an indication for the asymmetry when recording with $P_{wo}$ , $P_{eo}$ and $P_{co}$ (see I.3)

## I.2 Mathematical model for the modulation versus power function

To minimize the influences of random measuring errors and noise, the modulation versus power curve is approximated by the following function:  $m(P_w) = m_{\text{max}} \times (1 - \frac{P_{\text{thr}}}{P_w})$

with  $m_{\text{max}}$  = max modulation (saturation level) and  $P_{\text{thr}}$  = threshold power.

The  $\gamma$  value calculated from this approximation is:  $\gamma(P_w) = \frac{P_{\text{thr}}}{P_w - P_{\text{thr}}}$

and thus  $P_{\text{target}}$  is:  $P_{\text{target}} = P_{\text{thr}} \times (1 + \frac{1}{\gamma_{\text{target}}})$

The function  $f(P_w) = P_w \times m(P_w)$  will result in a straight line:  $P_w \times m(P_w) = m_{\text{max}} \times (P_w - P_{\text{thr}})$

By test recording random 8-to-16 modulated data with different Write powers  $P_{wi}$ , using  $P_{ei} = \varepsilon_1 \times P_{wi}$  and  $P_{ci} = \varepsilon_2 \times \rho \times P_{IND}$ , the accompanying modulation values  $m_i$  are obtained.

By fitting the straight line  $P_w \times m(P_w) = m_{\text{max}} \times (P_w - P_{\text{thr}})$  to several points  $(P_{wi}, m_i \times P_{wi})$ ,  $m_{\text{max}}$  and  $P_{\text{thr}}$  for these points can be determined easily (one should realize that due to the limited accuracy of the model,  $m_{\text{max}}$  can take values  $>1$ ).

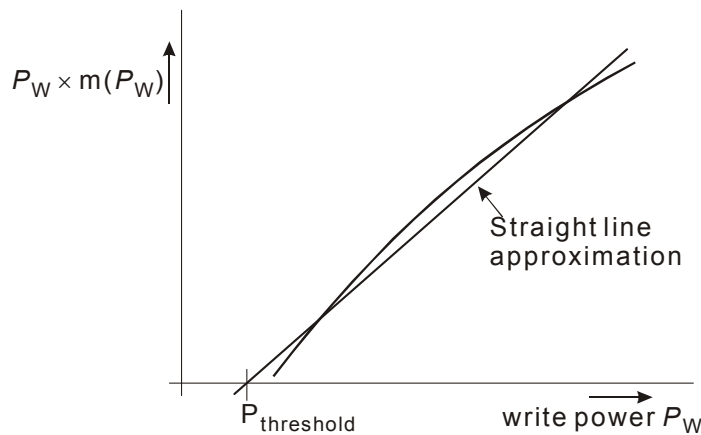


Figure I.2 — Modulation times Power versus Power function

## I.3 Procedure for the determination of the media parameters

For determining the values for  $P_{IND}$  (indicated value for  $P_{\text{target}}$ ),  $\gamma_{\text{target}}$ ,  $\rho$ ,  $\varepsilon_1$ ,  $\varepsilon_2$  and  $\beta_{\text{optimum}}$  in the Physical format information, media manufacturers first have to find the optimum recording powers  $P_{wo}$ ,  $P_{eo}$  and  $P_{co}$  for their media. This can be done by making test recordings with several combinations of  $P_w$ ,  $P_e$  and  $P_c$  and measuring the resulting recorded parameters according to 29.2.2 to 29.2.5, for recording at the specified (2,4x) velocity, and for read-out at the Reference velocity. These measurements shall be made at 23 °C using the optical system in 9.2.



After choosing the combination of  $P_w = P_{wo}$ ,  $P_e = P_{eo}$  and  $P_c = P_{co}$ , resulting in optimum recorded parameters, the ratios  $\varepsilon_1 = P_{eo}/P_{wo}$  and  $\varepsilon_2 = P_{co}/P_{wo}$  are fixed.

**Remark 1:** The optimum recording powers shall be the powers that give optimum results after 10 DOW cycles.

NOTE Because probably not all recorded parameters can be optimized independently, it is up to the media manufacturer to decide about the optimum combination of recorded parameters for his media.

In the next step the other parameters to be specified in the Physical format information have to be determined.

#### Procedure for determination of the $\beta_{\text{optimum}}$ value:

Using the definition of asymmetry directly, may result in complicated recorder electronics. Therefore a different parameter is used as a representation of asymmetry. This parameter  $\beta$  is based on using the AC coupled HF signal before equalization.

By definition:  $\beta = (A_1 + A_2)/(A_1 - A_2)$  as the difference between the peak levels  $A_1$  and  $A_2$  ( $A_1 + A_2$ ), normalized to the peak-peak value ( $A_1 - A_2$ ) of the HF signal. See Figure I.3.

$\beta$  defined in this way, will be approximately equal to the asymmetry.

Zero asymmetry of the measured HF signal will, in general, correspond to  $\beta \approx 0$ .

$\beta_{\text{optimum}} = \beta$  as determined by the media manufacturer after 10 DOW cycles using his chosen combination of  $P_{wo}$ ,  $P_{eo}$  and  $P_{co}$ .

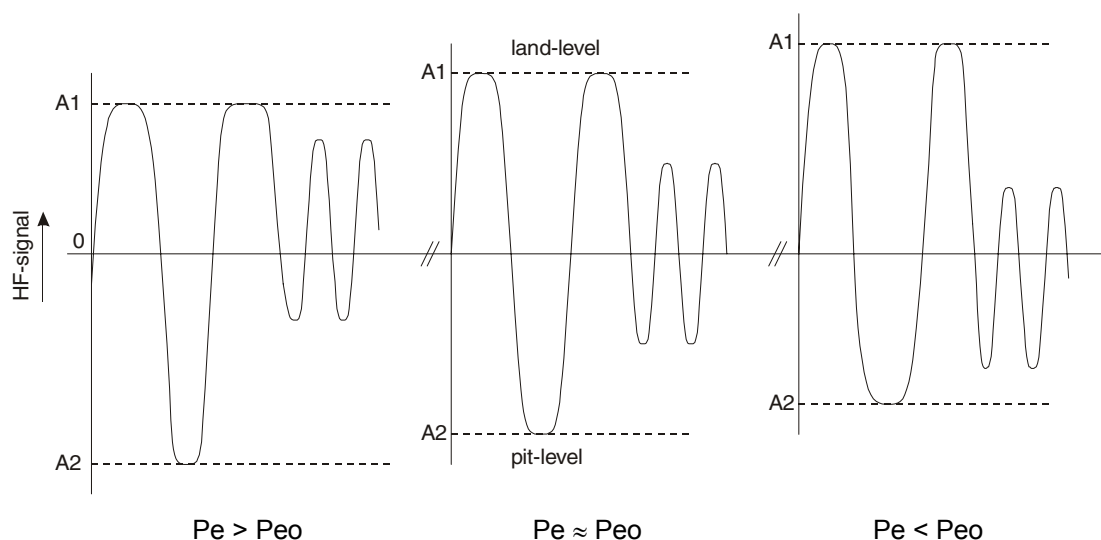


Figure I.3 — AC-coupled HF signals recorded with different erase powers

#### Procedure for determination of the $\gamma_{\text{target}}$ value:

The media manufacturer shall make a choice for the indicated estimate of the target Write power  $P_{\text{IND}}$ .

The multiplication factor is  $\rho = P_{wo}/P_{\text{IND}}$ .

Before determining the  $\gamma_{\text{target}}$  value according to the following procedure, the tracks to be used for the measurements shall be erased once by irradiating these tracks using only the  $P_e$  power ( $P_e = \varepsilon_1 \times \rho \times P_{\text{IND}}$ ).

After recording random 8-to-16 data with different Write powers  $P_{w_i}$  ranging from  $0,9 \times P_{\text{IND}}$  to  $1,1 \times P_{\text{IND}}$ , using  $P_{e_i} = \varepsilon_1 \times P_{w_i}$  and  $P_c = \varepsilon_2 \times \rho \times P_{\text{IND}}$ , the resulting modulations  $m_i$  are measured. Both the recordings and the measurement of  $m_i$  shall be performed on a reference drive according to 9.2 at  $T=23^\circ\text{C}$ .

Next the straight line fit according to I.2 is made to the obtained measuring points and  $\gamma_{\text{target}}$  can be calculated:  $\gamma_{\text{target}} = \frac{P_{\text{thr}}}{P_{\text{IND}} - P_{\text{thr}}}$

**Remark 2:** Because the measurement of the modulation becomes rather inaccurate at low values, the power ranges (and thus  $P_{\text{IND}}$ ) should be chosen such that the modulation at the lowest power value is  $> 30\%$ .

## I.4 Example OPC procedure for drives

By test recording random 8-to-16 data with different Write powers  $P_{w_i}$ , using  $P_{e_i} = \varepsilon_1 \times P_{w_i}$  and  $P_c = \varepsilon_2 \times \rho \times P_{\text{IND}}$ , the accompanying modulation values  $m_i$  are obtained.

By fitting the straight line:  $P_w \times m(P_w) = m_{\text{max}} \times (P_w - P_{\text{thr}})$  to several points ( $P_{w_i}$ ,  $m_i \times P_{w_i}$ ),  $m_{\text{max}}$  and  $P_{\text{thr}}$  for these points can be determined easily.

Now  $P_{\text{target}}$  for a specific power range can be calculated (see I.2) with the help of  $\gamma_{\text{target}}$  given in the Physical format information.

Because the mathematical model is only a first order approximation, an interpolation or iteration procedure might be needed to come to a sufficiently accurate value of  $P_{\text{target}}$ .

The following interpolation procedure is given as an example:

- 2 center power values are chosen for a straight line fit:  
 $P_{\text{fit},1} = 0.95 \times P_{\text{IND}}$  and  $P_{\text{fit},2} = 1.05 \times P_{\text{IND}}$ ,
- from the measured modulation values  $m_i$  at powers  $P_{w_i}$  ranging from  $0.9 \times P_{\text{fit},1}$  to  $1.1 \times P_{\text{fit},1}$ , the accompanying value of  $P_{\text{target},1}$  is determined,
- from the measured modulation values  $m_i$  at powers  $P_{w_i}$  ranging from  $0.9 \times P_{\text{fit},2}$  to  $1.1 \times P_{\text{fit},2}$ , the accompanying value of  $P_{\text{target},2}$  is determined,
- now  $P_{\text{target}}$  is calculated from the intersection of the line through the points ( $P_{\text{target},1}$ ,  $P_{\text{fit},1}$ ) and ( $P_{\text{target},2}$ ,  $P_{\text{fit},2}$ ) with the line represented by  $P_{\text{target}} = P_{\text{fit}}$ ,  
resulting in:  $P_{\text{target}} = \frac{P_{\text{target},2} \times P_{\text{fit},1} - P_{\text{target},1} \times P_{\text{fit},2}}{P_{\text{target},2} - P_{\text{target},1} - P_{\text{fit},2} + P_{\text{fit},1}}$

The final accuracy, if needed, can be improved by a number of iterations.

Now  $P_{w0}$ ,  $P_{e0}$  and  $P_{c0}$  are obtained by ( $\rho$ ,  $\varepsilon_1$ ,  $\varepsilon_2$  as given in the Physical format information):

$$P_{w0} = \rho \times P_{\text{target}}$$

$$P_{eo} = \varepsilon_1 \times P_{wo}$$

$$P_{co} = \varepsilon_2 \times P_{wo}$$

**Remark 3:** The OPC procedure should be performed in an area on the disk that is specially reserved for this purpose: the Disk Test Zone. It is recommended to use for each OPC procedure a randomly chosen location in these areas.

To increase the reliability of the OPC results it is strongly recommended to initialize the Disk Test Zones on both layers before using these areas by recording them with all (00) data using the following write power settings derived from the Physical format information:  $P_w = \rho \times P_{IND}$ ,  $P_e = \varepsilon_1 \times P_w$  and  $P_c = \varepsilon_2 \times P_w$ ,

or by using some optimum write power settings determined by the drive.

**Remark 4:** Before the OPC procedure, the tracks to be used (also on blank disks), shall be erased by irradiating the tracks using only the  $P_e$  power ( $P_e = \varepsilon_1 \times \rho \times P_{IND}$ ). After the OPC procedure the used tracks shall be overwritten with nominal power using Sectors with all Main Data set to (00) and correct address information (ID + IED).

## 1.5 Media margins under different overwrite conditions

To guarantee correct data interchange in case of overwriting at different powers, the disk shall be tested in the following way:

- the blank disk is first recorded one time at 9,2 m/s (2,4x) with the optimum Write powers as determined by the OPC procedure as described in this annex,
- the recorded information is overwritten one time at 9,2 m/s (2,4x) with 0,93× the optimum Write powers as determined by the OPC procedure as described in this annex,
- as a result the total number of PI errors before correction in any 8 consecutive ECC Blocks shall not exceed 280 (see 31.2).

As well the recordings as the measurements shall be performed with a Reference drive as defined in clause 9 and Annex E.

## 1.6 Media margins at non-optimum Write power

To create some margins for practical accuracy requirements for drive implementations, the disk should allow for some deviations of the Write power from the optimum values.

For actual Write powers  $P_w$  in the range of  $P_{w_{min}}$  to  $P_{w_{max}}$ , where  $P_{w_{min}} \leq 0,90 \times P_{wo}$ ,  $P_{w_{max}} \geq 1,07 \times P_{wo}$  and  $P_{w_{max}} - P_{w_{min}} \geq 0,20 \times P_{wo}$ , and  $P_e = \varepsilon_1 \times P_w$  and  $P_c = \varepsilon_2 \times P_w$ , with  $\varepsilon_1$  and  $\varepsilon_2$  according to the nominal values as indicated in 14.4.2, the disk shall fulfill all specifications at 10 DOW cycles.

Additionally the jitter shall stay below 10% for any number of overwrites up to 500 DOW cycles when recording with  $P_{wo}$ .

Furthermore it is recommended that the disk can be overwritten at least 1 000 times, while all parameters stay within their specified ranges.

## **Annex J** (normative)

### **Logical to Physical address translation**

The Logical to Physical address translation might be depending on an applied Defect Management system. Consequently the physical addresses of the start and the ending of the Logical Sector Numbering can be different from the values specified in clause 22.

## Annex K (informative)

### Recommended access method for players

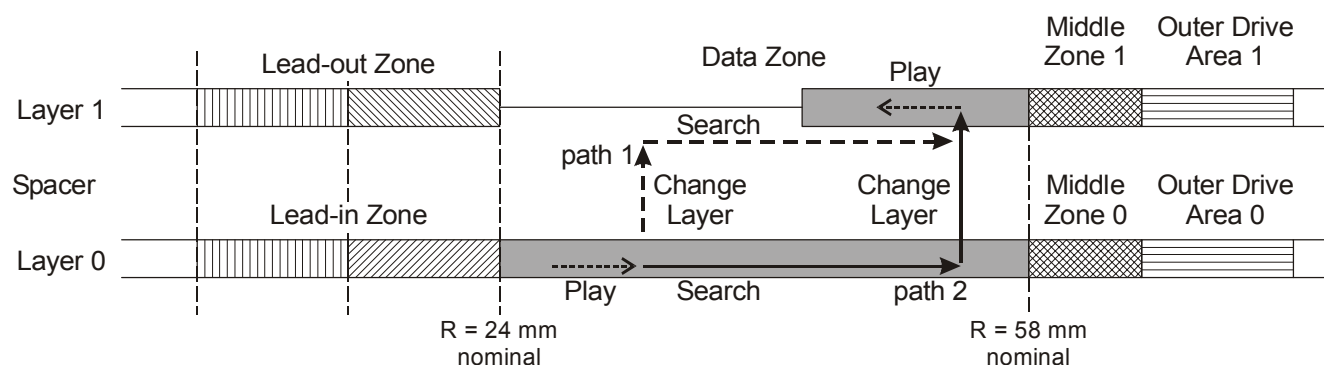
Read-Only devices in general are equipped with a differential phase tracking system (see 30.2.5) and therefore not able to access unrecorded disk areas.

In general two ways to access data on Layer 1 can be distinguished:

- 1) when playing on Layer 0, first jump to Layer 1 and then search for the required address (path 1 in Figure K.1),
- 2) when playing on Layer 0, first move to a location on Layer 0 opposite the required address on Layer 1 (e.g. to the inverted address), then jump to Layer 1 and search for the required address (path 2 in Figure K.1).

It is obvious that the second method (path 2) might improve the capability of Read-Only devices to playback sequentially recorded +RW DL disks.

In the opposite case (jumping from Layer 1 to Layer 0) the drive should first make the layer jump and then do the search.



**Figure K.1 — Recommended access for a partially recorded Layer 1**

## Annex L (informative)

### Measurement of the groove wobble amplitude

#### L.1 Relation between normalized wobble signal and wobble amplitude

The wobble amplitude in nm cannot easily be measured directly. However, it can be derived from the normalized wobble signal. The theoretical results for such a derivation are given below.

The peak value of the wobble signal  $I_W$  can be seen as:

$$I_{Wp} = A \times \sin(2 \times \pi \times a / p)$$

where:

$a$  = wobble amplitude in nm

$p$  = track pitch of the radial error signal

$A$  = the peak value of the radial error signal

In Figure L.1 the parameters  $a$ ,  $p$ ,  $A$  and  $I_{Wp}$  are shown. The groove has a peak displacement of ' $a$ ' (wobble amplitude) from the averaged centre of the groove to the actual centre of the groove. The normalized wobble signal can now be defined as:

$$\frac{I_{Wpp}}{(I_1 - I_2)_{pp}} = \frac{2 \times I_{Wp}}{2 \times A} = \sin\left(2 \times \pi \times \frac{a}{p}\right)$$

where

$$(I_1 - I_2)_{pp} = 2 \times A$$

The wobble signal  $I_W$  is not only dependent on the wobble amplitude  $a$ , but also the track pitch  $p$ . Due to normalization, dependencies on groove geometry, spot shape and optical aberrations have been eliminated.

#### L.2 Tolerances of the normalized wobble signal

From the above formulae for the normalized wobble signal, the tolerances as given in 27.4 can be converted to nm for a given track pitch of ' $p$ ' = 0,74  $\mu\text{m}$ .

Lower limit: 0,20 corresponds to  $a$  = 24 nm.

Upper limit: 0,30 corresponds to  $a$  = 36 nm.

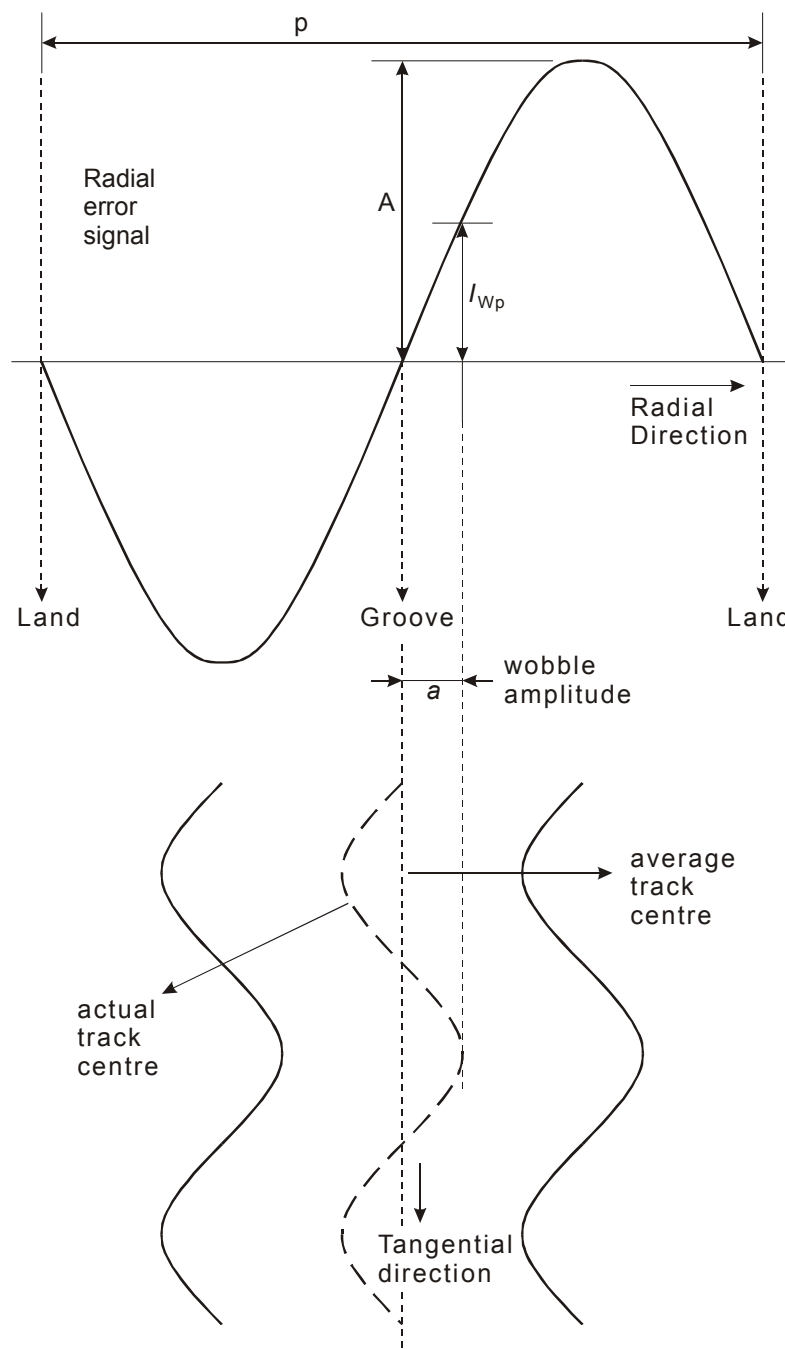


Figure L.1 — Wobble amplitude of the groove

## **Annex M** **(informative)**

### **Transportation**

#### **M.1 General**

As transportation occurs under a wide range of temperature and humidity variations, for differing periods, by many methods of transport and in all parts of the world, it is not practical to specify mandatory conditions for transportation or for packaging.

#### **M.2 Packaging**

The form of packaging should be agreed between sender and recipient or, in absence of such an agreement, is the responsibility of the sender. It should take into account the following hazards.

##### **M.2.1 Temperature and humidity**

Insulation and wrapping should be designed to maintain the conditions for storage over the estimated period of transportation.

##### **M.2.2 Impact loads and vibrations**

- a) Avoid mechanical loads that would distort the shape of the disk.
- b) Avoid dropping the disk.
- c) Disks should be packed in a rigid box containing adequate shock-absorbent material.
- d) The final box should have a clean interior and a construction that provides sealing to prevent the ingress of dirt and moisture.



## **Annex N**

(informative)

### **Defect Management and Physical Formatting**

To improve the efficiency and data reliability in general storage applications, the +RW DL disks which are in conformance with this International Standard can be combined with a system for Background Formatting and Defect Management. An example of such a system is the so-called Mount Rainier Defect Management described in the following document:

DVD+MRW Defect Management & Physical Formatting, which can be obtained from Royal Philips Electronics.

NOTE For more information see URL <http://www.ip.philips.com>.

## **Annex O** (informative)

### **Video Content Protection System**

DVD recorders, especially those equipped with digital interfaces, make it possible to produce perfect replicas of video content. To prevent unauthorized copying and/or distribution of such video data, this data should be protected by some encryption system. An example of a protection system for video recorded in the DVD+R/+RW Video Format is the so-called Video Content Protection System described in the following document:

VCPS: Video Content Protection System for the DVD+R/+RW Video Recording Format, System Description, which can be obtained from Royal Philips Electronics.

NOTE For more information see URL <http://www.ip.philips.com>.

## Annex P (informative)

### How to use the Physical format information in ADIP

To fully exploit the Physical format information in the ADIP, the following rules are given as a recommended guideline (see also the flowchart).

**Drives should read the ADIP and check for the following information:**

- 1) check the Disk Category in byte 0
  - ⇒ determine if the disk is a +R or +RW disk, also check if the disk is a single layer or a dual layer disk; use the related standard (see clause 3) for further interpretations.

**Drives shall respect the Disk Application Code:**

- 2) check the Disk Application Code in byte 17
  - ⇒ if the drive is not able to obey the rules related to a specific Disk Application Code, the drive shall block the disk for recording.

**If the drive can do “media recognition” (i.e. the drive can uniquely determine the manufacturer and the type of the disk and has optimum sets of write parameters for certain disks in its memory):**

- 3) check for Disk Manufacturer and Media Type ID (bytes 19 to 29)
  - ⇒ choose the optimum write strategy for this specific media from the drive's memory.

**If the drive fails to recognize the media:**

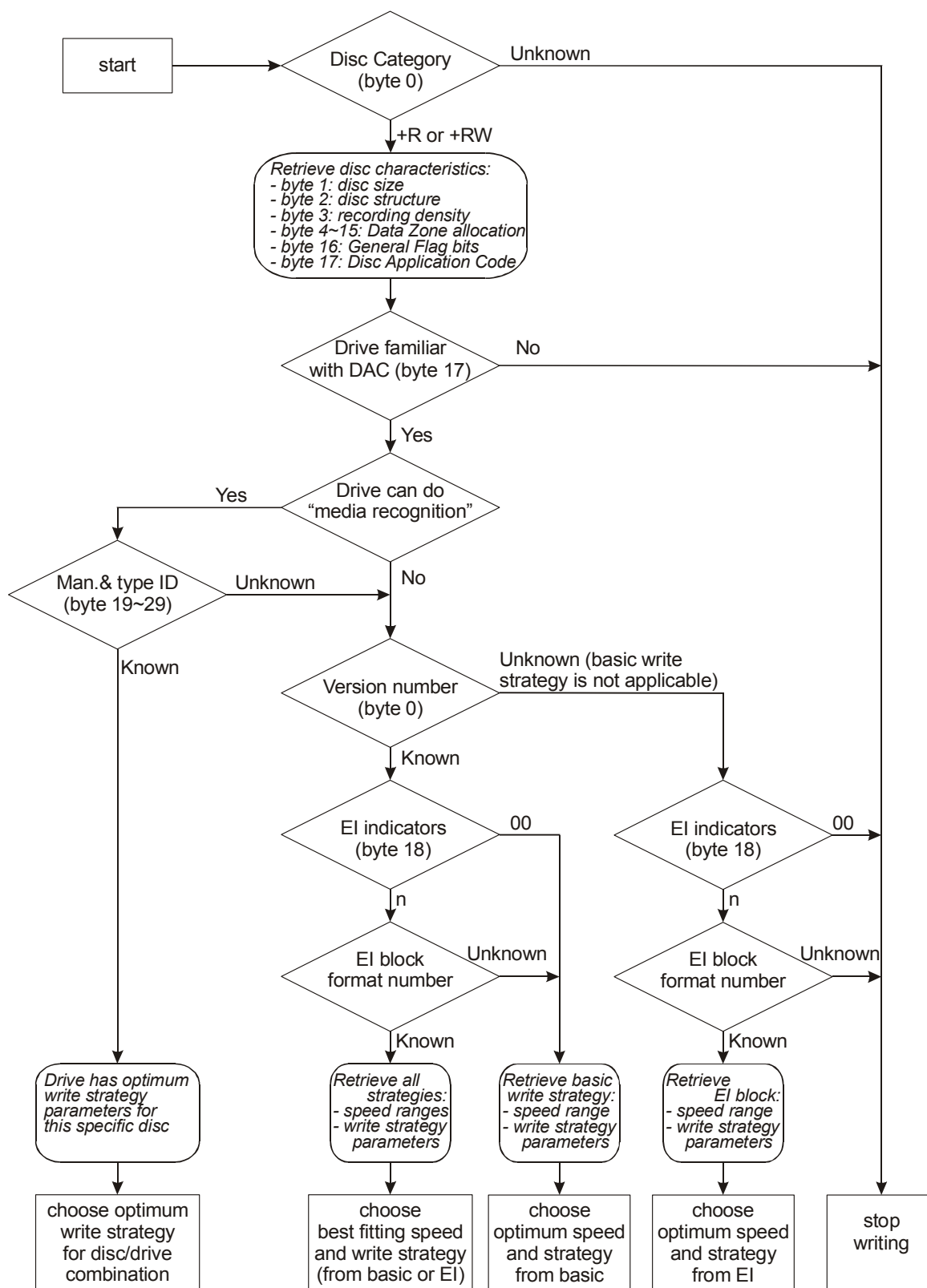
- 4) check the version number in byte 0
  - ⇒ if the version number is unknown: do not use the contents of bytes 32 to 63,
  - ⇒ if the version number is known: interpret bytes 32 to 63 according to the correct book version.
- 5) check byte 18 for the presence of Extended Information blocks
  - ⇒ if no EI blocks are present: only basic write strategy is available.

**If EI blocks present:**

- 6) check the Format number in each present EI block
  - ⇒ if the Format number is unknown: do not use the contents of the related EI block,
  - ⇒ if the Format number is known: interpret the EI block according to the correct book version.

**Now the drive can choose the best fitting recording speed and write strategy from the available options:**

- ⇒ basic write strategy (2.4x) as defined in bytes 32 to 63,
- ⇒ write strategy from one of the known EI blocks,
- ⇒ for each available write strategy the drive should check the actually supported recording speeds indicated in the related bytes (supported recording speeds can be adapted in future versions of this document).



Note: in future more than one EI block can exist on one disc!

Figure P.1 — Flowchart showing the use of Physical format information in ADIP

## Annex Q (informative)

### Values to be Implemented in Existing and Future Specifications

The values for bytes which this International Standard specifies are related to ReWritable disks which are in conformance with this International Standard, viz. +RW DL Format disks. It is expected that other categories of disks will be standardized in future. It is therefore recommended that the following values be used for these other disks. Further possible bit patterns are intended for future standardization.

All standards are subject to revisions, so the information in this annex can be subject to changes. Therefore it is recommended to check this information against the most recent edition of the indicated standards.

#### Identification Data

Bit $b_{31}$	shall be set to ZERO, indicating CLD format ONE, indicating Zoned format
Bit $b_{30}$	shall be set to ZERO, indicating pit tracking ONE, indicating groove tracking
Bit $b_{29}$	shall be set to ZERO if the reflectance is greater than 40 % with a PBS optical system ONE if the reflectance is less than 40 % with a PBS optical system
Bit $b_{28}$	Reserved, shall be set to ZERO
Bits $b_{27}$ to $b_{26}$	shall be set to ZERO ZERO in the Data Zone ZERO ONE in the Lead-in Zone ONE ZERO in the Lead-out Zone ONE ONE in the Middle Zone
Bit $b_{25}$	shall be set to ZERO, indicating read-only data ONE, indicating other than read-only data
Bit $b_{24}$	shall be set to ZERO on Layer 0 of DL disks, ONE on Layer 1 of DL disks, ZERO on SL disks.
Bits $b_{23}$ to $b_0$	shall specify the Physical Sector Number

## Physical format information in the Lead-in Zone

### Byte 0 - Disk Category and Version Number

Bits  $b_7$  to  $b_4$  shall specify the Disk Category

- if set to 0000, they indicate a DVD - Read-Only disk (DVD-RO)
- if set to 0001, they indicate a DVD Rewritable disk (DVD-RAM)
- if set to 0010, they indicate a DVD Recordable disk (DVD-R)
- if set to 0011, they indicate a DVD Re-recordable disk (DVD-RW)
- if set to 1001, they indicate a +RW Single Layer disk
- if set to 1010, they indicate a +R Single Layer disk
- if set to 1101, they indicate a +RW Dual Layer disk
- if set to 1110, they indicate a +R Dual Layer disk

Bits  $b_3$  to  $b_0$  shall specify the Version Number. Together with  $b_7$  to  $b_4$  they specify the related document.

- if  $b_7$  to  $b_4$  set to 0000 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 16448/16449 (DVD-RO)
- if  $b_7$  to  $b_4$  set to 0001 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 16824 (DVD-RAM 2,6 Gbytes)
- if  $b_7$  to  $b_4$  set to 0001 and  $b_3$  to  $b_0$  set to 0110, they specify ISO/IEC 17592 (DVD-RAM)
- if  $b_7$  to  $b_4$  set to 0010 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 20563 (DVD-R 3,95 Gbytes)
- if  $b_7$  to  $b_4$  set to 0010 and  $b_3$  to  $b_0$  set to 0101, they specify ISO/IEC 23912 (DVD-R)
- if  $b_7$  to  $b_4$  set to 0011 and  $b_3$  to  $b_0$  set to 0010, they specify ISO/IEC 17342 (DVD-RW)
- if  $b_7$  to  $b_4$  set to 1001 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 16969 (+RW 3,0 Gbytes)
- if  $b_7$  to  $b_4$  set to 1001 and  $b_3$  to  $b_0$  set to 0010, they specify ISO/IEC 17341 (+RW)
- if  $b_7$  to  $b_4$  set to 1001 and  $b_3$  to  $b_0$  set to 0011, they specify ISO/IEC 26925 (+RW HS)
- if  $b_7$  to  $b_4$  set to 1010 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 17344 (+R)
- if  $b_7$  to  $b_4$  set to 1101 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 29642 (+RW DL)
- if  $b_7$  to  $b_4$  set to 1110 and  $b_3$  to  $b_0$  set to 0001, they specify ISO/IEC 25434 (+R DL)

### Byte 1 - Disk size and maximum transfer rate

Bits  $b_7$  to  $b_4$  shall specify the disk size

- if set to 0000, they specify a 120 mm disk
- if set to 0001, they specify an 80 mm disk

Bits  $b_3$  to  $b_0$  shall specify the maximum transfer rate

if set to 0000, they specify a maximum transfer rate of 2,52 Mbits/s

if set to 0001, they specify a maximum transfer rate of 5,04 Mbits/s

if set to 0010, they specify a maximum transfer rate of 10,08 Mbits/s

if set to 1111, they specify no maximum transfer rate is specified.

### Byte 2 - Disk structure

Bit  $b_7$  shall be set to ZERO.

Bits  $b_6$  and  $b_5$  shall specify the disk Type

if set to 00, they specify a single recording layer per side

if set to 01, they specify two recording layers per side

Bit  $b_4$  shall specify the track path

if set to ZERO, it specifies PTP on DL disks or a SL disk,

if set to ONE, it specifies OTP on DL disks

Bits  $b_3$  to  $b_0$  specify the layer type

Bit  $b_3$  shall be set to ZERO

Bit  $b_2$  if set to

ZERO, shall specify that the disk does not contain re-writable Data Zones

ONE, shall specify that the disk contains re-writable Data Zones

Bit  $b_1$  if set to

ZERO, shall specify that the disk does not contain recordable Data Zones

ONE, shall specify that the disk contains recordable Data Zones

Bit  $b_0$  if set to

ZERO, shall specify that the disk does not contain embossed Data Zones

ONE, shall specify that the disk contains embossed Data Zones

### Byte 3 - Recording density

Bits  $b_7$  to  $b_4$  shall specify the average Channel bit length

if set to 0000, they specify 0,133  $\mu\text{m}$

if set to 0001, they specify 0,147  $\mu\text{m}$

if set to 0010, they indicate that this average length is in the range 0,205  $\mu\text{m}$  to 0,218  $\mu\text{m}$

if set to 1000, they specify 0,176 37  $\mu\text{m}$

Bits  $b_3$  to  $b_0$  shall specify the average track pitch

if set to 0000, they indicate a track pitch of 0,74  $\mu\text{m}$

if set to 0001, they indicate a track pitch of 0,80  $\mu\text{m}$ .



## Bibliography

- [1] ISO/IEC 16449:2002, *Information technology — 80 mm DVD — Read-only disk*
- [2] ISO/IEC 17341:2009, *Information technology — Data interchange on 120 mm and 80 mm optical disk using +RW format — Capacity: 4,7 Gbytes and 1,46 Gbytes per side (recording speed up to 4X)*
- [3] ISO/IEC 17344:2009, *Information technology — Data interchange on 120 mm and 80 mm optical disk using +R format — Capacity: 4,7 Gbytes and 1,46 Gbytes per side (recording speed up to 16X)*
- [4] ISO/IEC 25434:2008, *Information technology — Data interchange on 120 mm and 80 mm optical disk using +R DL format — Capacity: 8,55 Gbytes and 2,66 Gbytes per side (recording speed up to 16X)*
- [5] ISO/IEC 26925:2009, *Information technology — Data interchange on 120 mm and 80 mm optical disk using +RW HS format — Capacity: 4,7 Gbytes and 1,46 Gbytes per side (recording speed 8X)*

